

June 9, 2017

VIA ELECTRONIC FILING

Ms. Kimberly D. Bose
Secretary
Federal Energy Regulatory Commission
888 First Street, NE
Washington, D.C. 20426

**RE: Correction of Links in WECC Regional Reliability Standards FAC-501-WECC-1 and PRC-004-WECC-2
Docket Nos. RM09-9-000 and RM15-13-000**

Dear Ms. Bose:

This letter is to inform the Commission that, as a result of a reorganization of the Western Electricity Coordinating Council (“WECC”) website, NERC has identified the need to update the web locations of certain Tables referenced in the Applicability Section of two mandatory and enforceable Regional Reliability Standards, FAC-501-WECC-1 (Transmission Maintenance) and PRC-004-WECC-2 (Protection System and Remedial Action Scheme Misoperation). Clean and redline versions of PRC-004-WECC-2 and FAC-501-WECC-1 showing the updated links on the WECC website are attached as **Attachment 1** and **Attachment 2**, respectively.

For completeness, NERC has also updated these links in inactive Regional Reliability Standards PRC-004-WECC-1 (Protection System and Remedial Action Scheme Misoperation) and TOP-007-1a (System Operating Limits), which are maintained on NERC’s website.

NERC is not requesting Commission action on the instant filing. NERC submits the instant filing for informational purposes only.

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Respectfully submitted,

/s/ Lauren A. Perotti

Lauren A. Perotti

*Counsel for North American Electric
Reliability Corporation*

cc: Official service lists in Docket Nos. RM09-9-000, RM15-13-000

CERTIFICATE OF SERVICE

I hereby certify that I have served a copy of the foregoing document upon all parties listed on the official service lists compiled by the Secretary in the above-referenced proceedings.

Dated at Washington, D.C. this 9th day of June, 2017.

/s/ Lauren A. Perotti

Lauren A. Perotti
*Counsel for North American
Electric Reliability Corporation*

ATTACHMENT 1

A. Introduction

- 1. Title:** Transmission Maintenance
- 2. Number:** FAC-501-WECC-1
- 3. Purpose:** To ensure the Transmission Owner of a transmission path identified in the table titled “Major WECC Transfer Paths in the Bulk Electric System” including associated facilities has a Transmission Maintenance and Inspection Plan (TMIP); and performs and documents maintenance and inspection activities in accordance with the TMIP.
- 4. Applicability**
 - 4.1 Transmission Owners that maintain the transmission paths in the most current table titled “Major WECC Transfer Paths in the Bulk Electric System” provided at:
<https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf>.
- 5. Effective Date:** July 1, 2011

B. Requirements

- R.1.** Transmission Owners shall have a TMIP detailing their inspection and maintenance requirements that apply to all transmission facilities necessary for System Operating Limits associated with each of the transmission paths identified in table titled “Major WECC Transfer Paths in the Bulk Electric System.” *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
 - R1.1.** Transmission Owners shall annually review their TMIP and update as required. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- R.2.** Transmission Owners shall include the maintenance categories in Attachment 1-FAC-501-WECC-1 when developing their TMIP. *[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]*
- R.3.** Transmission Owners shall implement and follow their TMIP. *[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]*

C. Measures

- M1.** Transmission Owners shall have a documented TMIP per R.1.
 - M1.1** Transmission Owners shall have evidence they have annually reviewed their TMIP and updated as needed.
- M2.** Transmission Owners shall have evidence that their TMIP addresses the required maintenance details of R.2.
- M3.** Transmission Owners shall have records that they implemented and followed their TMIP as required in R.3. The records shall include:
 1. The person or crew responsible for performing the work or inspection,
 2. The date(s) the work or inspection was performed,
 3. The transmission facility on which the work was performed, and
 4. A description of the inspection or maintenance performed.

D. Compliance

1. Compliance Monitoring Process

1.1 Compliance Monitoring Responsibility

Compliance Enforcement Authority

1.2 Compliance Monitoring Period

The Compliance Enforcement Authority may use one or more of the following methods to assess compliance:

- Self-certification conducted annually
- Spot check audits conducted anytime with 30 days notice given to prepare
- Periodic audit as scheduled by the Compliance Enforcement Authority
- Investigations
- Other methods as provided for in the Compliance Monitoring Enforcement Program

The Reset Time Frame shall be one year.

1.3 Data Retention

The Transmission Owners shall keep evidence for Measure M1 through M3 for three years plus the current year, or since the last audit, whichever is longer.

1.4 Additional Compliance Information

No additional compliance information.

2. Violation Severity Levels

2.1. Lower: There shall be a Lower Level of non-compliance if any of the following conditions exist:

- 2.1.1** The TMIP does not include associated Facilities for one of the Paths identified in Attachment 1 FAC-501-WECC-1 as required by R.1 but Transmission Owners are performing maintenance and inspection for the missing Facilities.
- 2.1.2** Transmission Owners did not review their TMIP annually as required by R.1.1.
- 2.1.3** The TMIP does not include one maintenance category identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.1.4** Transmission Owners do not have maintenance and inspection records as required by R.3 but have evidence that they are implementing and following their TMIP.

2.2. Moderate: There shall be a Moderate Level of non-compliance if any of the following conditions exist:

- 2.2.1** The TMIP does not include associated Facilities for two of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.2.2** The TMIP does not include two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.

WECC Standard FAC-501-WECC-1 – Transmission Maintenance

- 2.2.3** Transmission Owners are not performing maintenance and inspection for one maintenance category identified in Attachment 1 FAC-501-WECC-1 as required in R3.
- 2.3. High:** There shall be a High Level of non-compliance if any of the following condition exists:
- 2.3.1** The TMIP does not include associated Facilities for three of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.3.2** The TMIP does not include three maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.3.3** Transmission Owners are not performing maintenance and inspection for two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required in R3.
- 2.4. Severe:** There shall be a Severe Level of non-compliance if any of the following condition exists:
- 2.4.1** The TMIP does not include associated Facilities for more than three of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.4.2** The TMIP does not exist or does not include more than three maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.4.3** Transmission Owners are not performing maintenance and inspection for more than two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required in R3.

Version History – Shows Approval History and Summary of Changes in the Action Field

Version	Date	Action	Change Tracking
1	April 16, 2008	Permanent Replacement Standard for PRC-STD-005-1	
1	October 29, 2008	NERC BOT conditional approval	
1	April 21, 2011	FERC Approved in Order 751	
1	June 1, 2017	Link updated in the Applicability section of the standard (4.1)	

WECC Standard FAC-501-WECC-1 – Transmission Maintenance

Attachment 1-FAC-501-WECC-1 Transmission Line and Station Maintenance Details

The maintenance practices in the TMIP may be performance-based, time-based, conditional based, or a combination of all three. The TMIP shall include:

1. A list of Facilities and associated Elements necessary to maintain the SOL for the transfer paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System;”
2. The scheduled interval for any time-based maintenance activities and/or a description supporting condition or performance-based maintenance activities including a description of the condition based trigger;
3. Transmission Line Maintenance Details:
 - a. Patrol/Inspection
 - b. Contamination Control
 - c. Tower and wood pole structure management
4. Station Maintenance Details:
 - a. Inspections
 - b. Contamination Control
 - c. Equipment Maintenance for the following:
 - Circuit Breakers
 - Power Transformers (including phase-shifting transformers)
 - Regulators
 - Reactive Devices (including, but not limited to, Shunt Capacitors, Series Capacitors, Synchronous Condensers, Shunt Reactors, and Tertiary Reactors)

A. Introduction

- 1. Title:** Transmission Maintenance
- 2. Number:** FAC-501-WECC-1
- 3. Purpose:** To ensure the Transmission Owner of a transmission path identified in the table titled “Major WECC Transfer Paths in the Bulk Electric System” including associated facilities has a Transmission Maintenance and Inspection Plan (TMIP); and performs and documents maintenance and inspection activities in accordance with the TMIP.
- 4. Applicability**
 - 4.1 Transmission Owners that maintain the transmission paths in the most current table titled “Major WECC Transfer Paths in the Bulk Electric System” provided at:
<http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20Paths%204-28-08.pdf>
<https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf>
- 5. Effective Date:** ~~On the first day of the first quarter, after applicable regulatory approval.~~ July 1, 2011

B. Requirements

- R.1.** Transmission Owners shall have a TMIP detailing their inspection and maintenance requirements that apply to all transmission facilities necessary for System Operating Limits associated with each of the transmission paths identified in table titled “Major WECC Transfer Paths in the Bulk Electric System.” *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
 - R1.1.** Transmission Owners shall annually review their TMIP and update as required. *[Violation Risk Factor: Medium] [Time Horizon: Long-term Planning]*
- R.2.** Transmission Owners shall include the maintenance categories in Attachment 1-FAC-501-WECC-1 when developing their TMIP. *[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]*
- R.3.** Transmission Owners shall implement and follow their TMIP. *[Violation Risk Factor: Medium] [Time Horizon: Operations Assessment]*

C. Measures

- M1.** Transmission Owners shall have a documented TMIP per R.1.
 - M1.1** Transmission Owners shall have evidence they have annually reviewed their TMIP and updated as needed.
- M2.** Transmission Owners shall have evidence that their TMIP addresses the required maintenance details of R.2.
- M3.** Transmission Owners shall have records that they implemented and followed their TMIP as required in R.3. The records shall include:
 1. The person or crew responsible for performing the work or inspection,
 2. The date(s) the work or inspection was performed,
 3. The transmission facility on which the work was performed, and
 4. A description of the inspection or maintenance performed.

D. Compliance

1. Compliance Monitoring Process

1.1 Compliance Monitoring Responsibility

Compliance Enforcement Authority

1.2 Compliance Monitoring Period

The Compliance Enforcement Authority may use one or more of the following methods to assess compliance:

- Self-certification conducted annually
- Spot check audits conducted anytime with 30 days notice given to prepare
- Periodic audit as scheduled by the Compliance Enforcement Authority
- Investigations
- Other methods as provided for in the Compliance Monitoring Enforcement Program

The Reset Time Frame shall be one year.

1.3 Data Retention

The Transmission Owners shall keep evidence for Measure M1 through M3 for three years plus the current year, or since the last audit, whichever is longer.

1.4 Additional Compliance Information

No additional compliance information.

2. Violation Severity Levels

2.1. Lower: There shall be a Lower Level of non-compliance if any of the following conditions exist:

- 2.1.1** The TMIP does not include associated Facilities for one of the Paths identified in Attachment 1 FAC-501-WECC-1 as required by R.1 but Transmission Owners are performing maintenance and inspection for the missing Facilities.
- 2.1.2** Transmission Owners did not review their TMIP annually as required by R.1.1.
- 2.1.3** The TMIP does not include one maintenance category identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.1.4** Transmission Owners do not have maintenance and inspection records as required by R.3 but have evidence that they are implementing and following their TMIP.

2.2. Moderate: There shall be a Moderate Level of non-compliance if any of the following conditions exist:

- 2.2.1** The TMIP does not include associated Facilities for two of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.2.2** The TMIP does not include two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.

WECC Standard FAC-501-WECC-1 – Transmission Maintenance

- 2.2.3** Transmission Owners are not performing maintenance and inspection for one maintenance category identified in Attachment 1 FAC-501-WECC-1 as required in R3.
- 2.3. High:** There shall be a High Level of non-compliance if any of the following condition exists:
- 2.3.1** The TMIP does not include associated Facilities for three of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.3.2** The TMIP does not include three maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.3.3** Transmission Owners are not performing maintenance and inspection for two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required in R3.
- 2.4. Severe:** There shall be a Severe Level of non-compliance if any of the following condition exists:
- 2.4.1** The TMIP does not include associated Facilities for more than three of the Paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System” as required by R.1 and Transmission Owners are not performing maintenance and inspection for the missing Facilities.
- 2.4.2** The TMIP does not exist or does not include more than three maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required by R.2 but Transmission Owners are performing maintenance and inspection for the missing maintenance categories.
- 2.4.3** Transmission Owners are not performing maintenance and inspection for more than two maintenance categories identified in Attachment 1 FAC-501-WECC-1 as required in R3.

Version History – Shows Approval History and Summary of Changes in the Action Field

Version	Date	Action	Change Tracking
1	April 16, 2008	Permanent Replacement Standard for PRC-STD-005-1	
1	October 29, 2008	NERC BOT conditional approval	
1	April 21, 2011	FERC Approved in Order 751	
<u>1</u>	<u>June 1, 2017</u>	<u>Link updated in the Applicability section of the standard (4.1)</u>	

WECC Standard FAC-501-WECC-1 – Transmission Maintenance

Attachment 1-FAC-501-WECC-1 Transmission Line and Station Maintenance Details

The maintenance practices in the TMIP may be performance-based, time-based, conditional based, or a combination of all three. The TMIP shall include:

1. A list of Facilities and associated Elements necessary to maintain the SOL for the transfer paths identified in the most current Table titled “Major WECC Transfer Paths in the Bulk Electric System;”
2. The scheduled interval for any time-based maintenance activities and/or a description supporting condition or performance-based maintenance activities including a description of the condition based trigger;
3. Transmission Line Maintenance Details:
 - a. Patrol/Inspection
 - b. Contamination Control
 - c. Tower and wood pole structure management
4. Station Maintenance Details:
 - a. Inspections
 - b. Contamination Control
 - c. Equipment Maintenance for the following:
 - Circuit Breakers
 - Power Transformers (including phase-shifting transformers)
 - Regulators
 - Reactive Devices (including, but not limited to, Shunt Capacitors, Series Capacitors, Synchronous Condensers, Shunt Reactors, and Tertiary Reactors)

ATTACHMENT 2

A. Introduction

- 1. Title:** Protection System and Remedial Action Scheme Misoperation
- 2. Number:** PRC-004-WECC-2
- 3. Purpose:** Regional Reliability Standard to ensure all transmission and generation Protection System and Remedial Action Scheme (RAS) Misoperations on Transmission Paths and RAS defined in section 4 are analyzed and/or mitigated.

4. Applicability

- 4.1.** Transmission Owners of selected WECC major transmission path facilities and RAS listed in tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf> and “Major WECC Remedial Action Schemes (RAS)” provided at <https://www.wecc.biz/Reliability/TableMajorRAS4-28-08.pdf>.
 - 4.2.** Generator Owners that own RAS listed in the Table titled “Major WECC Remedial Action Schemes (RAS)” provided at <https://www.wecc.biz/Reliability/TableMajorRAS4-28-08.pdf>.
 - 4.3.** Transmission Operators that operate major transmission path facilities and RAS listed in Tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf> and “Major WECC Remedial Action Schemes (RAS)” provided at <https://www.wecc.biz/Reliability/TableMajorRAS4-28-08.pdf>.
- 5. Effective Date:** See Implementation Plan for the Revised Definition of “Remedial Action Scheme”

B. Requirements

The requirements below only apply to the major transmission paths facilities and RAS listed in the tables titled “Major WECC Transfer Paths in the Bulk Electric System” and “Major WECC Remedial Action Schemes (RAS).”

- R.1.** System Operators and System Protection personnel of the Transmission Owners and Generator Owners shall analyze all Protection System and RAS operations. [*Violation Risk Factor: Lower*] [*Time Horizon: Operations Assessment*]
 - R1.1.** System Operators shall review all tripping of transmission elements and RAS operations to identify apparent Misoperations within 24 hours.
 - R1.2.** System Protection personnel shall analyze all operations of Protection Systems and RAS within 20 business days for correctness to characterize whether a Misoperation has occurred that may not have been identified by System Operators.
- R.2.** Transmission Owners and Generator Owners shall perform the following actions for each Misoperation of the Protection System or RAS. It is not intended that Requirements R2.1 through R2.4 apply to Protection System and/or RAS actions that appear to be entirely reasonable and correct at the time of occurrence and associated system performance is fully compliant with NERC Reliability Standards. If the Transmission Owner or Generator Owner later finds the Protection System or RAS operation to be incorrect through System Protection personnel analysis, the requirements of R2.1 through R2.4 become applicable at the time the Transmission Owner or Generator Owner identifies the Misoperation:
 - R2.1.** If the Protection System or RAS has a Security-Based Misoperation and two or more Functionally Equivalent Protection Systems (FEPS) or Functionally Equivalent RAS (FERAS) remain in service to ensure Bulk Electric System (BES) reliability, the Transmission Owners or Generator Owners shall remove from service the Protection

System or RAS that misoperated within 22 hours following identification of the Misoperation. Repair or replacement of the failed Protection System or RAS is at the Transmission Owners' and Generator Owners' discretion. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*

R2.2. If the Protection System or RAS has a Security-Based Misoperation and only one FEPS or FERAS remains in service to ensure BES reliability, the Transmission Owner or Generator Owner shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*

R2.2.1. Following identification of the Protection System or RAS Misoperation, Transmission Owners and Generator Owners shall remove from service within 22 hours for repair or modification the Protection System or RAS that misoperated.

R2.2.2. The Transmission Owner or Generator Owner shall repair or replace any Protection System or RAS that misoperated with a FEPS or FERAS within 20 business days of the date of removal. The Transmission Owner or Generator Owner shall remove the Element from service or disable the RAS if repair or replacement is not completed within 20 business days.

R2.3. If the Protection System or RAS has a Security-Based or Dependability-Based Misoperation and a FEPS and FERAS is not in service to ensure BES reliability, Transmission Owners or Generator Owners shall repair and place back in service within 22 hours the Protection System or RAS that misoperated. If this cannot be done, then Transmission Owners and Generator Owners shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*

R2.3.1. When a FEPS is not available, the Transmission Owners shall remove the associated Element from service.

R2.3.2. When FERAS is not available, then

2.3.2.1. The Generator Owners shall adjust generation to a reliable operating level, or

2.3.2.2. Transmission Operators shall adjust the SOL and operate the facilities within established limits.

R2.4. If the Protection System or RAS has a Dependability-Based Misoperation but has one or more FEPS or FERAS that operated correctly, the associated Element or transmission path may remain in service without removing from service the Protection System or RAS that failed, provided one of the following is performed.

R2.4.1. Transmission Owners or Generator Owners shall repair or replace any Protection System or RAS that misoperated with FEPS and FERAS within 20 business days of the date of the Misoperation identification, or

R2.4.2. Transmission Owners or Generator Owners shall remove from service the associated Element or RAS. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*

R.3. Transmission Owners and Generation Owners shall submit Misoperation incident reports to WECC within 10 business days for the following. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*

R3.1. Identification of a Misoperation of a Protection System and/or RAS,

R3.2. Completion of repairs or the replacement of Protection System and/or RAS that misoperated.

C. Measures

Each measure below applies directly to the requirement by number.

- M1.** Transmission Owners and Generation Owners shall have evidence that they reported and analyzed all Protection System and RAS operations.
 - M1.1** Transmission Owners and Generation Owners shall have evidence that System Operating personnel reviewed all operations of Protection System and RAS within 24 hours.
 - M1.2** Transmission Owners and Generation Owners shall have evidence that System Protection personnel analyzed all operations of Protection System and RAS for correctness within 20 business days.
- M2.** Transmission Owners and Generation Owners shall have evidence for the following.
 - M2.1** Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.2** Transmission Owners and Generation Owners shall have evidence that they removed from service and repaired the Protection System or RAS that misoperated per measurements M2.2.1 through M2.2.2.
 - M2.2.1** Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.2.2** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days or either removed the Element from service or disabled the RAS.
 - M2.3** The Transmission Owners and Generation Owners shall have evidence that they repaired the Protection System or RAS that misoperated within 22 hours following identification of the Protection System or RAS Misoperation.
 - M2.3.1** The Transmission Owner shall have evidence that it removed the associated Element from service.
 - M2.3.2** The Generator Owners and Transmission Operators shall have documentation describing all actions taken that adjusted generation or SOLs and operated facilities within established limits.
 - M2.4** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated including documentation that describes the actions taken.
 - M2.4.1** Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days of the misoperation identification.
 - M2.4.2** Transmission Owners and Generation Owners shall have evidence that they removed the associated Element or RAS from service.
- M3.** Transmission Owners and Generation Owners shall have evidence that they reported the following within 10 business days.

- M3.1** Identification of all Protection System and RAS Misoperations and corrective actions taken or planned.
- M3.2** Completion of repair or replacement of Protection System and/or RAS that misoperated.

D. Compliance

1. Compliance Monitoring Process

1.1 Compliance Monitoring Responsibility

Compliance Enforcement Authority

1.2 Compliance Monitoring Period

Compliance Enforcement Authority may use one or more of the following methods to assess compliance:

- Misoperation Reports
- Reports submitted quarterly
- Spot check audits conducted anytime with 30 days notice given to prepare
- Periodic audit as scheduled by the Compliance Enforcement Authority
- Investigations
- Other methods as provided for in the Compliance Monitoring Enforcement Program

1.2.1 The Performance-reset Period is one calendar month.

1.3 Data Retention

Reliability Coordinators, Transmission Owners, and Generation Owners shall keep evidence for Measures M1 and M2 for five calendar years plus year to date.

1.4. Additional Compliance Information

None.

2. Violation Severity Levels

R1

Lower	Moderate	High	Severe
System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System Operation or RAS operation within 24 hours but did review the Protection System Operation or RAS operation within six business days.	System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System operation or RAS operation within six business days.	System Protection personnel of the Transmission Owner and Generator Owner did not analyze the Protection System operation or RAS operation within 20 business days but did analyze the Protection System operation or RAS operation within 25 business days.	System Protection personnel of the Transmission Owner or Generator Owner did not analyze the Protection System operation or RAS operation within 25 business days.

R2.1 and R2.2.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

R2.3

Lower	Moderate	High	Severe
The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

WECC Standard PRC-004-WECC-2 — Protection System and Remedial Action Scheme Misoperation

R2.2.2 and R2.4

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 25 business days but did perform the required activities within 28 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 28 business days but did perform the required activities within 30 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 30 business days.

R3.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 10 business days but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 15 business days but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 25 business days.

R3.2

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 10 business days of the completion but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 15 business days of the completion but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 20 business days of the completion but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 25 business days of the completion.

Version History — Shows Approval History and Summary of Changes in the Action Field

Version	Date	Action	Change Tracking
1	April 16, 2008	Permanent Replacement Standard for PRC-STD-001-1 and PRC-STD-003-1	
1	April 21, 2011	FERC Order issued approving PRC-004-WECC-1 (approval effective June 27, 2011)	
2	November 13, 2014	Adopted by the NERC Board of Trustees	
2	November 19, 2015	FERC Order issued approving PRC-004-WECC-2. Docket No. RM15-13-000.	
2	May 26, 2017	All links were updated in the Applicability section of the standard (4.1, 4.2 and 4.3)	

A. Introduction

- 1. Title:** Protection System and Remedial Action Scheme Misoperation
- 2. Number:** PRC-004-WECC-2
- 3. Purpose:** Regional Reliability Standard to ensure all transmission and generation Protection System and Remedial Action Scheme (RAS) Misoperations on Transmission Paths and RAS defined in section 4 are analyzed and/or mitigated.

4. Applicability

- 4.1.** Transmission Owners of selected WECC major transmission path facilities and RAS listed in tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20Paths%204-28-08.pdf> ~~https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf~~ and “Major WECC Remedial Action Schemes (RAS)” provided at <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf> ~~https://www.wecc.biz/Reliability/TableMajorRAS4-28-08.pdf.~~
 - 4.2.** Generator Owners that own RAS listed in the Table titled “Major WECC Remedial Action Schemes (RAS)” provided at <https://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf> ~~wecc.biz/Reliability/TableMajorRAS4-28-08.pdf.~~
 - 4.3.** Transmission Operators that operate major transmission path facilities and RAS listed in Tables titled “Major WECC Transfer Paths in the Bulk Electric System” provided at <https://www.wecc.biz/Reliability/TableMajorPaths4-28-08.pdf> <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20Paths%204-28-08.pdf> and “Major WECC Remedial Action Schemes (RAS)” provided at <https://www.wecc.biz/Reliability/TableMajorRAS4-28-08.pdf> <http://www.wecc.biz/Standards/Approved%20Standards/Supporting%20Tables/Table%20Major%20RAS%204-28-08.pdf>.
- 5. Effective Date:** See Implementation Plan for the Revised Definition of “Remedial Action Scheme”

B. Requirements

The requirements below only apply to the major transmission paths facilities and RAS listed in the tables titled “Major WECC Transfer Paths in the Bulk Electric System” and “Major WECC Remedial Action Schemes (RAS).”

- R.1.** System Operators and System Protection personnel of the Transmission Owners and Generator Owners shall analyze all Protection System and RAS operations. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*
 - R1.1.** System Operators shall review all tripping of transmission elements and RAS operations to identify apparent Misoperations within 24 hours.
 - R1.2.** System Protection personnel shall analyze all operations of Protection Systems and RAS within 20 business days for correctness to characterize whether a Misoperation has occurred that may not have been identified by System Operators.
- R.2.** Transmission Owners and Generator Owners shall perform the following actions for each Misoperation of the Protection System or RAS. It is not intended that Requirements R2.1 through R2.4 apply to Protection System and/or RAS actions that appear to be entirely reasonable and correct at the time of occurrence and associated system performance is fully

compliant with NERC Reliability Standards. If the Transmission Owner or Generator Owner later finds the Protection System or RAS operation to be incorrect through System Protection personnel analysis, the requirements of R2.1 through R2.4 become applicable at the time the Transmission Owner or Generator Owner identifies the Misoperation:

- R2.1.** If the Protection System or RAS has a Security-Based Misoperation and two or more Functionally Equivalent Protection Systems (FEPS) or Functionally Equivalent RAS (FERAS) remain in service to ensure Bulk Electric System (BES) reliability, the Transmission Owners or Generator Owners shall remove from service the Protection System or RAS that misoperated within 22 hours following identification of the Misoperation. Repair or replacement of the failed Protection System or RAS is at the Transmission Owners' and Generator Owners' discretion. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
- R2.2.** If the Protection System or RAS has a Security-Based Misoperation and only one FEPS or FERAS remains in service to ensure BES reliability, the Transmission Owner or Generator Owner shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
 - R2.2.1.** Following identification of the Protection System or RAS Misoperation, Transmission Owners and Generator Owners shall remove from service within 22 hours for repair or modification the Protection System or RAS that misoperated.
 - R2.2.2.** The Transmission Owner or Generator Owner shall repair or replace any Protection System or RAS that misoperated with a FEPS or FERAS within 20 business days of the date of removal. The Transmission Owner or Generator Owner shall remove the Element from service or disable the RAS if repair or replacement is not completed within 20 business days.
- R2.3.** If the Protection System or RAS has a Security-Based or Dependability-Based Misoperation and a FEPS and FERAS is not in service to ensure BES reliability, Transmission Owners or Generator Owners shall repair and place back in service within 22 hours the Protection System or RAS that misoperated. If this cannot be done, then Transmission Owners and Generator Owners shall perform the following. *[Violation Risk Factor: High] [Time Horizon: Same-day Operations]*
 - R2.3.1.** When a FEPS is not available, the Transmission Owners shall remove the associated Element from service.
 - R2.3.2.** When FERAS is not available, then
 - 2.3.2.1.** The Generator Owners shall adjust generation to a reliable operating level, or
 - 2.3.2.2.** Transmission Operators shall adjust the SOL and operate the facilities within established limits.
- R2.4.** If the Protection System or RAS has a Dependability-Based Misoperation but has one or more FEPS or FERAS that operated correctly, the associated Element or transmission path may remain in service without removing from service the Protection System or RAS that failed, provided one of the following is performed.
 - R2.4.1.** Transmission Owners or Generator Owners shall repair or replace any Protection System or RAS that misoperated with FEPS and FERAS within 20 business days of the date of the Misoperation identification, or
 - R2.4.2.** Transmission Owners or Generator Owners shall remove from service the

associated Element or RAS. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*

R.3. Transmission Owners and Generation Owners shall submit Misoperation incident reports to WECC within 10 business days for the following. *[Violation Risk Factor: Lower] [Time Horizon: Operations Assessment]*

R3.1. Identification of a Misoperation of a Protection System and/or RAS,

R3.2. Completion of repairs or the replacement of Protection System and/or RAS that misoperated.

C. Measures

Each measure below applies directly to the requirement by number.

M1. Transmission Owners and Generation Owners shall have evidence that they reported and analyzed all Protection System and RAS operations.

M1.1 Transmission Owners and Generation Owners shall have evidence that System Operating personnel reviewed all operations of Protection System and RAS within 24 hours.

M1.2 Transmission Owners and Generation Owners shall have evidence that System Protection personnel analyzed all operations of Protection System and RAS for correctness within 20 business days.

M2. Transmission Owners and Generation Owners shall have evidence for the following.

M2.1 Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.

M2.2 Transmission Owners and Generation Owners shall have evidence that they removed from service and repaired the Protection System or RAS that misoperated per measurements M2.2.1 through M2.2.2.

M2.2.1 Transmission Owners and Generation Owners shall have evidence that they removed the Protection System or RAS that misoperated from service within 22 hours following identification of the Protection System or RAS Misoperation.

M2.2.2 Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days or either removed the Element from service or disabled the RAS.

M2.3 The Transmission Owners and Generation Owners shall have evidence that they repaired the Protection System or RAS that misoperated within 22 hours following identification of the Protection System or RAS Misoperation.

M2.3.1 The Transmission Owner shall have evidence that it removed the associated Element from service.

M2.3.2 The Generator Owners and Transmission Operators shall have documentation describing all actions taken that adjusted generation or SOLs and operated facilities within established limits.

M2.4 Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated including documentation that describes the actions taken.

M2.4.1 Transmission Owners and Generation Owners shall have evidence that they repaired or replaced the Protection System or RAS that misoperated within 20 business days of the misoperation identification.

M2.4.2 Transmission Owners and Generation Owners shall have evidence that they removed the associated Element or RAS from service.

M3. Transmission Owners and Generation Owners shall have evidence that they reported the following within 10 business days.

M3.1 Identification of all Protection System and RAS Misoperations and corrective actions taken or planned.

M3.2 Completion of repair or replacement of Protection System and/or RAS that misoperated.

D. Compliance

1. Compliance Monitoring Process

1.1 Compliance Monitoring Responsibility

Compliance Enforcement Authority

1.2 Compliance Monitoring Period

Compliance Enforcement Authority may use one or more of the following methods to assess compliance:

- Misoperation Reports
- Reports submitted quarterly
- Spot check audits conducted anytime with 30 days notice given to prepare
- Periodic audit as scheduled by the Compliance Enforcement Authority
- Investigations
- Other methods as provided for in the Compliance Monitoring Enforcement Program

1.2.1 The Performance-reset Period is one calendar month.

1.3 Data Retention

Reliability Coordinators, Transmission Owners, and Generation Owners shall keep evidence for Measures M1 and M2 for five calendar years plus year to date.

1.4 Additional Compliance Information

None.

2. Violation Severity Levels

R1

Lower	Moderate	High	Severe
System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System Operation or RAS operation within 24 hours but did review the Protection System Operation or RAS operation within six business days.	System Operating personnel of the Transmission Owner or Generator Owner did not review the Protection System operation or RAS operation within six business days.	System Protection personnel of the Transmission Owner and Generator Owner did not analyze the Protection System operation or RAS operation within 20 business days but did analyze the Protection System operation or RAS operation within 25 business days.	System Protection personnel of the Transmission Owner or Generator Owner did not analyze the Protection System operation or RAS operation within 25 business days.

R2.1 and R2.2.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Owner and Generator Owner did not remove from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Owner and Generator Owner did not perform the removal from service, repair, or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

R2.3

Lower	Moderate	High	Severe
The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 22 hours but did perform the requirements within 24 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 24 hours but did perform the requirements within 28 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required in less than 28 hours but did perform the requirements within 32 hours.	The Transmission Operator and Generator Owner did not adjust generation to a reliable operating level, adjust the SOL and operate the facilities within established limits or implement other compliance measures for the Protection System or RAS that misoperated as required within 32 hours.

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R2.2.2 and R2.4

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 25 business days but did perform the required activities within 28 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustment to comply with the requirements within 28 business days but did perform the required activities within 30 business days.	The Transmission Owner and Generator Owner did not perform the required repairs, replacement, or system operation adjustments to comply with the requirements within 30 business days.

R3.1

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 10 business days but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 15 business days but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 20 business days but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the Misoperation and corrective actions taken or planned to comply with the requirements within 25 business days.

R3.2

Lower	Moderate	High	Severe
The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 10 business days of the completion but did perform the required activities within 15 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 15 business days of the completion but did perform the required activities within 20 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 20 business days of the completion but did perform the required activities within 25 business days.	The Transmission Owner and Generator Owner did not report the completion of repair or replacement of Protection System and/or RAS that misoperated to comply with the requirements within 25 business days of the completion.

Version History — Shows Approval History and Summary of Changes in the Action Field

Version	Date	Action	Change Tracking
1	April 16, 2008	Permanent Replacement Standard for PRC-STD-001-1 and PRC-STD-003-1	
1	April 21, 2011	FERC Order issued approving PRC-004-WECC-1 (approval effective June 27, 2011)	
2	November 13, 2014	Adopted by the NERC Board of Trustees	
2	November 19, 2015	FERC Order issued approving PRC-004-WECC-2. Docket No. RM15-13-000.	
<u>2</u>	<u>May 26, 2017</u>	<u>All links were updated in the Applicability section of the standard (4.1, 4.2 and 4.3)</u>	