

## Standard Development Timeline

*This section is maintained by the drafting team during the development of the standard and will be removed when the standard becomes effective.*

### Development Steps Completed

1. SAR posted for comment November 20 – December 19, 2013.
2. The Standards Committee authorized this posting on September 30, 2014.
- 2-3. Initial posting of revised standard PRC-001-1.1(ii) on November 5, 2014.

### Description of Current Draft

PRC-001-1.1(Xii)<sup>1</sup> is proposed for approval to align the applicability of PRC-001-1.1a with the revised definition of the Bulk Electric System (BES). Specifically, the Project 2014-01 – Standards Applicability for Dispersed Generation Resources standards drafting team (SDT) has coordinated with the other SDTs currently reviewing this standard and has recommended revisions to Requirement R3.1 to account for the unique characteristics of dispersed power producing resources.<sup>2</sup> ~~Given the timing of concurrent standards development of PRC, TOP, and IRO projects, PRC-001-1.1a may be retired pursuant to an Implementation Plan of a successor version of PRC-001. If this occurs, PRC-001-1.1(X) will not go into effect.~~ Project 2014-01 does not have in its scope any technical content changes beyond revising the applicability to ensure consistent application of the requirements of this standard to dispersed power producing resources.

Anticipated Actions	Anticipated Date
<del>45-day Additional Formal Comment Period with Additional Ballot (if necessary)</del>	<del>December 2014</del>
Final ballot	January 2015
BOT adoption	February 2015

<sup>1</sup> The standard version number ~~included an (X) to indicate the version numbering would be updated, and NERC has since assigned the appropriate version number prior to final ballot, currently includes an (X) to indicate the version numbering will be updated. Some standards are open in current projects, and others are pending with governmental authorities. As a result, NERC will assign the appropriate version number prior to adoption by the NERC Board of Trustees.~~

<sup>2</sup> The terms “dispersed generation resources” and “dispersed power producing resources” are used interchangeably in Project 2014-01 because the former term was used in the Standards Authorization Request for the project, while the latter term is in line with terminology used in the revised definition of the BES.

*When this standard has received ballot approval, the text boxes within the Applicability section of the standard will be moved to the Application Guidelines Section of the standard.*

**A. Introduction**

1. **Title:** System Protection Coordination

2. **Number:** PRC-001-1.1(~~Xii~~)

3. **Purpose:**

To ensure system protection is coordinated among operating entities.

4. **Applicability**

4.1. Balancing Authorities

4.2. Transmission Operators

4.3. Generator Operators

5. **Effective Date:**

See the Implementation Plan for ~~this standard~~ [PRC-001-1.1\(ii\)](#).

**B. Requirements**

**R1.** Each Transmission Operator, Balancing Authority, and Generator Operator shall be familiar with the purpose and limitations of Protection System schemes applied in its area.

**R2.** Each Generator Operator and Transmission Operator shall notify reliability entities of relay or equipment failures as follows:

**R2.1.** If a protective relay or equipment failure reduces system reliability, the Generator Operator shall notify its Transmission Operator and Host Balancing Authority. The Generator Operator shall take corrective action as soon as possible.

**R2.2.** If a protective relay or equipment failure reduces system reliability, the Transmission Operator shall notify its Reliability Coordinator and affected Transmission Operators and Balancing Authorities. The Transmission Operator shall take corrective action as soon as possible.

**R3.** A Generator Operator or Transmission Operator shall coordinate new protective systems and changes as follows.

**R3.1.** Each Generator Operator shall coordinate all new protective systems and all protective system changes with its Transmission Operator and Host Balancing Authority.

- Requirement R3.1 is not applicable to the individual generating units of dispersed power producing resources identified through Inclusion I4 of the Bulk Electric System definition.

<b>Rationale for the Applicability Exclusion in Requirement R3.1</b>
--

Coordination of new or changes to protective systems associated with dispersed power producing resources identified through Inclusion I4 of the BES definition are typically performed on the interconnecting facilities. New or changes to protective systems associated with these facilities should be coordinated with the TOP as these protective systems typically must be closely coordinated with the transmission protective systems to ensure the overall protection systems operates as designed. While the protective systems implemented on the individual generating units of dispersed power producing resources at these dispersed power producing facilities (i.e. individual wind turbines or solar panels/inverters) may in some cases need to be coordinated with other protective systems within the same dispersed power producing facility, new or changes to these protective systems do not need to be coordinated with the transmission protective systems, as this coordination would not provide reliability benefits to the BES.

- R3.2.** Each Transmission Operator shall coordinate all new protective systems and all protective system changes with neighboring Transmission Operators and Balancing Authorities.
- R4.** Each Transmission Operator shall coordinate Protection Systems on major transmission lines and interconnections with neighboring Generator Operators, Transmission Operators, and Balancing Authorities.
- R5.** A Generator Operator or Transmission Operator shall coordinate changes in generation, transmission, load or operating conditions that could require changes in the Protection Systems of others:
  - R5.1.** Each Generator Operator shall notify its Transmission Operator in advance of changes in generation or operating conditions that could require changes in the Transmission Operator's Protection Systems.
  - R5.2.** Each Transmission Operator shall notify neighboring Transmission Operators in advance of changes in generation, transmission, load, or operating conditions that could require changes in the other Transmission Operators' Protection Systems.
- R6.** Each Transmission Operator and Balancing Authority shall monitor the status of each Special Protection System in their area, and shall notify affected Transmission Operators and Balancing Authorities of each change in status.

### **C. Measures**

- M1.** Each Generator Operator and Transmission Operator shall have and provide upon request evidence that could include but is not limited to, revised fault analysis study, letters of agreement on settings, notifications of changes, or other equivalent evidence that will be used to confirm that there was coordination of new protective systems or changes as noted in Requirements 3, 3.1, and 3.2.
- M2.** Each Transmission Operator and Balancing Authority shall have and provide upon request evidence that could include but is not limited to, documentation, electronic

logs, computer printouts, or computer demonstration or other equivalent evidence that will be used to confirm that it monitors the Special Protection Systems in its area. (Requirement 6 Part 1)

- M3.** Each Transmission Operator and Balancing Authority shall have and provide upon request evidence that could include but is not limited to, operator logs, phone records, electronic-notifications or other equivalent evidence that will be used to confirm that it notified affected Transmission Operator and Balancing Authorities of changes in status of one of its Special Protection Systems. (Requirement 6 Part 2)

## **D. Compliance**

### **1. Compliance Monitoring Process**

#### **1.1. Compliance Monitoring Responsibility**

Regional Reliability Organizations shall be responsible for compliance monitoring.

#### **1.2. Compliance Monitoring and Reset Time Frame**

One or more of the following methods will be used to assess compliance:

- Self-certification (Conducted annually with submission according to schedule.)
- Spot Check Audits (Conducted anytime with up to 30 days notice given to prepare.)
- Periodic Audit (Conducted once every three years according to schedule.)
- Triggered Investigations (Notification of an investigation must be made within 60 days of an event or complaint of noncompliance. The entity will have up to 30 days to prepare for the investigation. An entity may request an extension of the preparation period and the extension will be considered by the Compliance Monitor on a case-by-case basis.)

The Performance-Reset Period shall be 12 months from the last finding of non-compliance.

#### **1.3. Data Retention**

Each Generator Operator and Transmission Operator shall have current, in-force documents available as evidence of compliance for Measure 1.

Each Transmission Operator and Balancing Authority shall keep 90 days of historical data (evidence) for Measures 2 and 3.

If an entity is found non-compliant the entity shall keep information related to the noncompliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor,

The Compliance Monitor shall keep the last periodic audit report and all requested and submitted subsequent compliance records.

**1.4. Additional Compliance Information**

None.

**2. Levels of Non-Compliance for Generator Operators:**

**2.1. Level 1:** Not applicable.

**2.2. Level 2:** Not applicable.

**2.3. Level 3:** Not applicable.

**2.4. Level 4:** Failed to provide evidence of coordination when installing new protective systems and all protective system changes with its Transmission Operator and Host Balancing Authority as specified in R3.1.

**3. Levels of Non-Compliance for Transmission Operators:**

**3.1. Level 1:** Not applicable.

**3.2. Level 2:** Not applicable.

**3.3. Level 3:** Not applicable.

**3.4. Level 4:** There shall be a separate Level 4 non-compliance, for every one of the following requirements that is in violation:

**3.4.1** Failed to provide evidence of coordination when installing new protective systems and all protective system changes with neighboring Transmission Operators and Balancing Authorities as specified in R3.2.

**3.4.2** Did not monitor the status of each Special Protection System, or did not notify affected Transmission Operators, Balancing Authorities of changes in special protection status as specified in R6.

**4. Levels of Non-Compliance for Balancing Authorities:**

**4.1. Level 1:** Not applicable.

**4.2. Level 2:** Not applicable.

**4.3. Level 3:** Not applicable.

**4.4. Level 4:** Did not monitor the status of each Special Protection System, or did not notify affected Transmission Operators, Balancing Authorities of changes in special protection status as specified in R6.

**E. Regional Differences**

None identified.

**Version History**

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New

**Standard PRC-001-1.1(Xii) — System Protection Coordination**

0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
0	August 25, 2005	Fixed Standard number in Introduction from PRC-001-1 to PRC-001-0	Errata
1	November 1, 2006	Adopted by the NERC Board of Trustees	Revised
1.1	April 11, 2012	Errata adopted by the Standards Committee; (Capitalized “Protection System” in accordance with Implementation Plan for Project 2007-17 approval of revised definition of “Protection System”)	Errata associated with Project 2007-17
1.1	September 9, 2013	Informational filing submitted to reflect the revised definition of Protection System in accordance with the Implementation Plan for the revised term.	
<u>1.1(i)</u>	<u>November 13, 2014</u>	<u>Adopted by the NERC Board of Trustees</u>	<u>Replaced references to Special Protection System and SPS with Remedial Action Scheme and RAS</u>
<del>TBD (balloted as 1.1(Xii))</del>	TBD	<del>Adopted by the NERC Board of Trustees</del> <u>Standard revised in Project 2014-01</u>	<u>Standard revised in Project 2014-01:</u> Applicability revised to clarify application of requirements to BES dispersed power producing resources
<u>2</u>	<u>May 9, 2012</u>	<u>Adopted by Board of Trustees</u>	<u>Deleted Requirements R2, R5, and R6.</u>