

## Standard PRC-005-4(X) – Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance

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### Standard Development Timeline

*This section is maintained by the drafting team during the development of the standard and will be removed when the standard becomes effective.*

#### Development Steps Completed

1. SAR posted for comment November 20 – December 19, 2013.

#### Description of Current Draft

The Project 2014-01, Standards Applicability for Dispersed Generation Resources Standards Drafting Team (DGR SDT) is posting proposed applicability changes to PRC-005-3 for comment and ballot. This draft contains the DGR SDT's recommended changes within the standard, which are intended to clarify application of the Requirements to Bulk Electric System (BES) dispersed power producing resources. Project 2014-01 does not have in its scope any technical content changes beyond revising the applicability to ensure consistent application of the Requirements of PRC-005 to dispersed power-producing resources.

In a parallel effort, the Protection System Maintenance and Testing Standard Drafting Team (PSMT SDT) has posted draft 1 of PRC-005-X for a 45-day comment period, and ballot in the last ten days of the comment period under the new Standards Process Manual (Effective: June 26, 2013).

Anticipated Actions	Anticipated Date
45-day Formal Comment Period with Initial Ballot	June – July 2014
45-day Additional Formal Comment Period with Additional Ballot (if necessary)	August – September 2014
Final ballot	October 2014
BOT adoption	November 2014

### **Definitions of Terms Used in Standard**

This section includes all newly defined or revised terms used in the proposed standard. Terms already defined in the Reliability Standards Glossary of Terms (Glossary) are not repeated here. New or revised definitions listed below become approved when the proposed standard is approved. When the standard becomes effective, this defined term will be removed from the individual standard and added to the Glossary.

**Protection System Maintenance Program (PSMP)** — An ongoing program by which Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components are kept in working order and proper operation of malfunctioning components is restored. A maintenance program for a specific Component includes one or more of the following activities:

- Verify — Determine that the Component is functioning correctly.
- Monitor — Observe the routine in-service operation of the Component.
- Test — Apply signals to a Component to observe functional performance or output behavior, or to diagnose problems.
- Inspect — Examine for signs of Component failure, reduced performance or degradation.
- Calibrate — Adjust the operating threshold or measurement accuracy of a measuring element to meet the intended performance requirement.

See Section A.6, Definitions Used in this Standard, for additional definitions that are new or modified for use within this standard.

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*When this standard has received ballot approval, the text boxes will be moved to the Application Guidelines Section of the Standard.*

### **A. Introduction**

- 1. Title:** Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance
- 2. Number:** PRC-005-X
- 3. Purpose:** To document and implement programs for the maintenance of all Protection Systems, Automatic Reclosing, and Sudden Pressure Relaying affecting the reliability of the Bulk Electric System (BES) so that they are kept in working order.
- 4. Applicability:**
  - 4.1. Functional Entities:**
    - 4.1.1** Transmission Owner
    - 4.1.2** Generator Owner
    - 4.1.3** Distribution Provider
    - 4.1.4** Balancing Authority
  - 4.2. Facilities:**
    - 4.2.1** Protection Systems and Sudden Pressure Relaying that are installed for the purpose of detecting Faults on BES Elements (lines, buses, transformers, etc.)
    - 4.2.2** Protection Systems used for underfrequency load-shedding systems installed per ERO underfrequency load-shedding requirements.
    - 4.2.3** Protection Systems used for undervoltage load-shedding systems installed to prevent system voltage collapse or voltage instability for BES reliability.
    - 4.2.4** Protection Systems installed as a Special Protection System (SPS) for BES reliability.
    - 4.2.5** Protection Systems for the following BES generator Facilities for generators not identified through Inclusion I4 of the BES definition:
      - 4.2.5.1** Protection Systems that act to trip the generator either directly or via lockout or auxiliary tripping relays.
      - 4.2.5.2** Protection Systems and Sudden Pressure Relaying for generator step-up transformers for generators that are part of the BES.
      - 4.2.5.3** Protection Systems and Sudden Pressure Relaying for station service or excitation transformers connected to the generator

bus of generators which are part of the BES, that act to trip the generator either directly or via lockout or tripping auxiliary relays.

**4.2.6.1** **Rationale for 4.2.5:** In order to differentiate between typical BES generator Facilities and BES generators at dispersed power producing facilities, section 4.2.5 was separated into two sections (4.2.5 and 4.2.6). The applicability to non-dispersed power producing facilities has been maintained and can be found in 4.2.5. The applicability to dispersed power producing Facilities has been modified and relocated from 4.2.5 to 4.2.6.

Protection Systems for the following BES generator Facilities for dispersed power producing resources identified through Inclusion I4 of the BES definition:

**4.2.6.1** Protection Systems for Facilities used in aggregating dispersed BES generation from the point where those resources aggregate to greater than 75 MVA to a common point of connection at 100 kV or above.

**Rationale for 4.2.6:** The Facilities listed that are applicable to dispersed power producing facilities are covered within 4.2.6. The intent is to NOT include the individual generating resources in the Protection System Maintenance Program, and as such the Protection Systems within the individual generating resources would not be within the scope of PRC-005. Only Protection Systems on equipment used in aggregating the dispersed BES generation from the point where those resources aggregate to greater than 75MVA to a common point of connection at 100kV would be included in the Protection System Maintenance Program, including the Protection Systems for those transformers used in aggregating generation.

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Automatic Reclosing<sup>1</sup>, including:

**4.2.7.1** Automatic Reclosing applied on the terminals of Elements connected to the BES bus located at generating plant substations where the total installed gross generating plant capacity is greater than the gross capacity of the largest BES generating unit within the Balancing Authority Area.

**4.2.7.2** Automatic Reclosing applied on the terminals of all BES Elements at substations one bus away from generating plants specified in Section 4.2.6.1 when the substation is less than 10 circuit-miles from the generating plant substation.

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<sup>1</sup> Automatic Reclosing addressed in Section 4.2.7.1 and 4.2.7.2 may be excluded if the equipment owner can demonstrate that a close-in three-phase fault present for twice the normal clearing time (capturing a minimum trip-close-trip time delay) does not result in a total loss of gross generation in the Interconnection exceeding the gross capacity of the largest BES generating unit within the Balancing Authority Area where the Automatic Reclosing is applied.

**4.2.6.3.** Automatic Reclosing applied as an integral part of an SPS specified in Section 4.2.4.

**5. Effective Date:** See Implementation Plan.

**6. Definitions Used in this Standard:**

**Automatic Reclosing** – Includes the following Components:

- Reclosing relay
- Control circuitry associated with the reclosing relay.

**Sudden Pressure Relaying** – A system that trips an interrupting device(s) to isolate the equipment it is monitoring and includes the following Components:

- Fault pressure relay – a mechanical relay or device that detects rapid changes in gas pressure, oil pressure, or oil flow that are indicative of Faults within liquid-filled, wire-wound equipment
- Control circuitry associated with a fault pressure relay

**Unresolved Maintenance Issue** – A deficiency identified during a maintenance activity that causes the Component to not meet the intended performance, cannot be corrected during the maintenance interval, and requires follow-up corrective action.

**Segment** – Components of a consistent design standard, or a particular model or type from a single manufacturer that typically share other common elements. Consistent performance is expected across the entire population of a Segment. A Segment must contain at least sixty (60) individual Components.

**Component Type** –

- Any one of the five specific elements of a Protection System.
- Any one of the two specific elements of Automatic Reclosing.
- Any one of the two specific elements of Sudden Pressure Relaying.

**Rationale for the deletion of part of the definition of Component:** The SDT determined that it was explanatory in nature and adequately addressed in the Supplementary Reference and FAQ Document.

**Component** – Any individual discrete piece of equipment included in a Protection System, Automatic Reclosing, or Sudden Pressure Relaying.

**Countable Event** – A failure of a Component requiring repair or replacement, any condition discovered during the maintenance activities in Tables 1-1 through 1-5, Table 3, Tables 4-1 through 4-2, and Table 5, which requires corrective action or a Protection System Misoperation attributed to hardware failure or calibration failure.

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Misoperations due to product design errors, software errors, relay settings different from specified settings, Protection System Component, Automatic Reclosing, or Sudden Pressure Relaying configuration or application errors are not included in Countable Events.

### B. Requirements and Measures

- R1.** Each Transmission Owner, Generator Owner, and Distribution Provider shall establish a Protection System Maintenance Program (PSMP) for its Protection Systems, Automatic Reclosing, and Sudden Pressure Relaying identified in Section 4.2, Facilities. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*

The PSMP shall:

- 1.1.** Identify which maintenance method (time-based, performance-based per PRC-005 Attachment A, or a combination) is used to address each Protection System, Automatic Reclosing, and Sudden Pressure Relaying Component Type. All batteries associated with the station dc supply Component Type of a Protection System shall be included in a time-based program as described in Table 1-4 and Table 3.
  - 1.2.** Include the applicable monitored Component attributes applied to each Protection System, Automatic Reclosing, and Sudden Pressure Relaying Component Type consistent with the maintenance intervals specified in Tables 1-1 through 1-5, Table 2, Table 3, Table 4-1 through 4-2, and Table 5 where monitoring is used to extend the maintenance intervals beyond those specified for unmonitored Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components.
- M1.** Each Transmission Owner, Generator Owner and Distribution Provider shall have a documented Protection System Maintenance Program in accordance with Requirement R1.

For each Protection System, Automatic Reclosing, and Sudden Pressure Relaying Component Type, the documentation shall include the type of maintenance method applied (time-based, performance-based, or a combination of these maintenance methods), and shall include all batteries associated with the station dc supply Component Types in a time-based program as described in Table 1-4 and Table 3. (Part 1.1)

For Component Types that use monitoring to extend the maintenance intervals, the responsible entity(s) shall have evidence for each Protection System, Automatic Reclosing, and Sudden Pressure Relaying Component Type (such as manufacturer's specifications or engineering drawings) of the appropriate monitored Component attributes as specified in Tables 1-1 through 1-5, Table 2, Table 3, Table 4-1 through 4-2, and Table 5. (Part 1.2)

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- R2.** Each Transmission Owner, Generator Owner, and Distribution Provider that uses performance-based maintenance intervals in its PSMP shall follow the procedure established in PRC-005 Attachment A to establish and maintain its performance-based intervals. *[Violation Risk Factor: Medium] [Time Horizon: Operations Planning]*
- M2.** Each Transmission Owner, Generator Owner, and Distribution Provider that uses performance-based maintenance intervals shall have evidence that its current performance-based maintenance program(s) is in accordance with Requirement R2, which may include, but is not limited to, Component lists, dated maintenance records, and dated analysis records and results.

**Rationale for R3 part 3.1 and 3.1.1.:** The SDT, upon further reflection, determined that the PRC-005-3 Implementation Plan actually included a requirement that entities with newly-identified Automatic Reclosing Components implement its PSMP for those Components, and therefore determined that it was more appropriate to include this information in the standard rather than the implementation plan.

- R3.** Each Transmission Owner, Generator Owner, and Distribution Provider that utilizes time-based maintenance program(s) shall, except as provided in part 3.1, maintain its Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components that are included within the time-based maintenance program in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Table 4-1 through 4-2, and Table 5. *[Violation Risk Factor: High] [Time Horizon: Operations Planning]*
- 3.1.** For each newly-identified Automatic Reclosing Component following a notification under Requirement R6, each Transmission Owner, Generator Owner, and Distribution Provider shall perform maintenance activities or provide documentation of prior maintenance activities according to either 3.1.1 or 3.1.2.
- 3.1.1.** Complete the maintenance activities prescribed within Tables 4-1, 4-2(a), and 4-2(b) for the newly-identified Automatic Reclosing Component prior to the end of the third calendar year following the notification under Requirement R6; or
- 3.1.2.** Provide documentation that the Automatic Reclosing Component was last maintained in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1, 4-2(a), and 4-2(b).
- M3.** Each Transmission Owner, Generator Owner, and Distribution Provider that utilizes time-based maintenance program(s) shall have evidence that it has maintained its Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components included within its time-based program in accordance with Requirement R3. The evidence may include, but is not limited to, dated maintenance records, dated

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maintenance summaries, dated check-off lists, dated inspection records, or dated work orders.

**Rationale for R4 part 4.1 and 4.1.1.:** The SDT, upon further reflection, determined that the PRC-005-3 Implementation Plan actually included a requirement that entities with newly-identified Automatic Reclosing Components implement its PSMP for those Components, and therefore determined that it was more appropriate to include this information in the standard rather than the implementation plan.

- R4.** Each Transmission Owner, Generator Owner, and Distribution Provider that utilizes performance-based maintenance program(s) in accordance with Requirement R2 shall, except as provided in part 4.1, implement and follow its PSMP for its Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components that are included within the performance-based program(s). [*Violation Risk Factor: High*] [*Time Horizon: Operations Planning*]
- 4.1.** For each newly-identified Automatic Reclosing Component following a notification under Requirement R6, each Transmission Owner, Generator Owner, and Distribution Provider shall perform maintenance activities or provide documentation of prior maintenance activities according to either 4.1.1 or 4.1.2.
- 4.1.1.** Complete the maintenance activities prescribed within Tables 4-1, 4-2(a), and 4-2(b) for the newly-identified Automatic Reclosing Component prior to the end of the third calendar year following the notification under Requirement R6; or
- 4.1.2.** Provide documentation that the Automatic Reclosing Component was last maintained in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1, 4-2(a), and 4-2(b).
- M4.** Each Transmission Owner, Generator Owner, and Distribution Provider that utilizes performance-based maintenance intervals in accordance with Requirement R2 shall have evidence that it has implemented the Protection System Maintenance Program for the Protection System, Automatic Reclosing, and Sudden Pressure Relaying Components included in its performance-based program in accordance with Requirement R4. The evidence may include, but is not limited to, dated maintenance records, dated maintenance summaries, dated check-off lists, dated inspection records, or dated work orders.
- R5.** Each Transmission Owner, Generator Owner, and Distribution Provider shall demonstrate efforts to correct identified Unresolved Maintenance Issues. [*Violation Risk Factor: Medium*] [*Time Horizon: Operations Planning*]
- M5.** Each Transmission Owner, Generator Owner, and Distribution Provider shall have evidence that it has undertaken efforts to correct identified Unresolved Maintenance



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Issues in accordance with Requirement R5. The evidence may include, but is not limited to, work orders, replacement Component orders, invoices, project schedules with completed milestones, return material authorizations (RMAs) or purchase orders.

**Rationale for R6:** The information addressed in Requirement R6 is necessary for Transmission Owners, Generator Owners, and Distribution Provides to accurately apply Section 4.2.7, Applicability. The Balancing Authority is the entity that maintains the information and should have the responsibility to provide this information to the applicable entities. The drafting team reconsidered the inclusion of the Balancing Authority and determined it is appropriate to include the requirement the standard. This requirement may be relocated to another standard during future reviews of standards for quality and content.

The periodicity was chosen to balance the needs of the Transmission Owner, Generator Owner, and Distribution Provider to obtain the information with the needs of the Balancing Authority to provide an accurate gross capacity (considering retirement or installation of generating units and/or changes in its Balancing Authority Area) in order to properly include Automatic Reclosing in a PSMP.

- R6.** Each Balancing Authority shall, at least once every calendar year with not more than 15 calendar months between notifications, notify each Transmission Owner, Generator Owner, and Distribution Provider within its Balancing Authority Area of the gross capacity, in MW or MVA, of the largest BES generating unit within the Balancing Authority Area. [*Violation Risk Factor: Medium*] [*Time Horizon: Operations Planning*]
- M6.** Each Balancing Authority shall have dated documentation that it notified each Transmission Owner, Generator Owner, and Distribution Provider in accordance with Requirement R6. Examples of evidence may include, but are not limited to, copies of correspondence, such as e-mails or memoranda.

### C. Compliance

#### 1. Compliance Monitoring Process

##### 1.1. Compliance Enforcement Authority

As defined in the NERC Rules of Procedure, “Compliance Enforcement Authority” means NERC or the Regional Entity in their respective roles of monitoring and enforcing compliance with the NERC Reliability Standards.

##### 1.2. Evidence Retention

The following evidence retention periods identify the period of time an entity is required to retain specific evidence to demonstrate compliance. For instances where the evidence retention period specified below is shorter than the time

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since the last audit, the Compliance Enforcement Authority may ask an entity to provide other evidence to show that it was compliant for the full time period since the last audit.

The Transmission Owner, Generator Owner, Distribution Provider, and Balancing Authority shall each keep data or evidence to show compliance as identified below unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation.

For Requirement R1, the Transmission Owner, Generator Owner, and Distribution Provider shall each keep its current dated Protection System Maintenance Program, as well as any superseded versions since the preceding compliance audit, including the documentation that specifies the type of maintenance program applied for each Protection System Component Type.

For Requirement R2, Requirement R3, Requirement R4, and Requirement R5, the Transmission Owner, Generator Owner, and Distribution Provider shall each keep documentation of the most recent performance of each distinct maintenance activity for the Protection System, Automatic Reclosing, or Sudden Pressure Relaying Component, or all performances of each distinct maintenance activity for the Protection System, Automatic Reclosing, or Sudden Pressure Relaying Component since the previous scheduled audit date, whichever is longer.

For Requirement R6, the Balancing Authority shall keep documentation for three calendar years that it provided information identifying the largest BES generating unit to the Transmission Owners, Generator Owners, and Distribution Providers in its Balancing Authority Area.

The Compliance Enforcement Authority shall keep the last audit records and all requested and submitted subsequent audit records.

### **1.3. Compliance Monitoring and Assessment Processes:**

- Compliance Audits
- Self-Certifications
- Spot Checking
- Compliance Violation Investigations
- Self-Reporting
- Complaints

**1.4. Additional Compliance Information**

None

**Table of Compliance Elements**

Requirement Number	Lower VSL	Moderate VSL	High VSL	Severe VSL
R1	The entity's PSMP failed to specify whether one Component Type is being addressed by time-based or performance-based maintenance, or a combination of both (part 1.1).	The entity's PSMP failed to specify whether two Component Types are being addressed by time-based or performance-based maintenance, or a combination of both (part 1.1).	<p>The entity's PSMP failed to specify whether three Component Types are being addressed by time-based or performance-based maintenance, or a combination of both. (part 1.1).</p> <p>OR</p> <p>The entity's PSMP failed to include the applicable monitoring attributes applied to each Component Type consistent with the maintenance intervals specified in Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-2, and Table 5 where monitoring is used to extend the maintenance intervals beyond those specified for unmonitored Components (part 1.2).</p>	<p>The entity failed to establish a PSMP.</p> <p>OR</p> <p>The entity's PSMP failed to specify whether four or more Component Types are being addressed by time-based or performance-based maintenance, or a combination of both (part 1.1).</p> <p>OR</p> <p>The entity's PSMP failed to include applicable station batteries in a time-based program (part 1.1).</p>
R2	The entity uses performance-based maintenance intervals in its PSMP but failed to reduce Countable Events to no more than 4% within three years.	NA	The entity uses performance-based maintenance intervals in its PSMP but failed to reduce Countable Events to no more than 4% within four years.	<p>The entity uses performance-based maintenance intervals in its PSMP but:</p> <ol style="list-style-type: none"> <li>1) Failed to establish the technical justification described within Requirement R2 for the initial use of the performance-based PSMP</li> </ol> <p>OR</p> <ol style="list-style-type: none"> <li>2) Failed to reduce Countable Events to no more than 4% within five years</li> </ol> <p>OR</p> <ol style="list-style-type: none"> <li>3) Maintained a Segment with</li> </ol>

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Requirement Number	Lower VSL	Moderate VSL	High VSL	Severe VSL
				less than 60 Components OR 4) Failed to: <ul style="list-style-type: none"> <li>• Annually update the list of Components,                              OR</li> <li>• Annually perform maintenance on the greater of 5% of the Segment population or 3 Components,                              OR</li> <li>• Annually analyze the program activities and results for each Segment.</li> </ul>
R3	For Components included within a time-based maintenance program, the entity failed to maintain 5% or less of the total Components included within a specific Component Type in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-2, and Table 5.  For Automatic Reclosing Components added to a time-based maintenance program per information from the Balancing Authority, the entity failed to	For Components included within a time-based maintenance program, the entity failed to maintain more than 5% but 10% or less of the total Components included within a specific Component Type in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-2, and Table 5.  For Automatic Reclosing Components added to a time-based maintenance program per information from the Balancing	For Components included within a time-based maintenance program, the entity failed to maintain more than 10% but 15% or less of the total Components included within a specific Component Type in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-2, and Table 5.  For Automatic Reclosing Components added to a time-based maintenance program per information from the Balancing	For Components included within a time-based maintenance program, the entity failed to maintain more than 15% of the total Components included within a specific Component Type in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-2, and Table 5.  For Automatic Reclosing Components added to a time-based maintenance program per

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Requirement Number	Lower VSL	Moderate VSL	High VSL	Severe VSL
	maintain 5% or less of the total Components in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1 through 4-2.	Authority, the entity failed to maintain more than 5% but 10% or less of the total Components in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1 through 4-2.	Authority, the entity failed to maintain more than 10% but 15% or less of the total Components in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1 through 4-2.	information from the Balancing Authority, the entity failed to maintain more than 15% of the total Components in accordance with the minimum maintenance activities and maximum maintenance intervals prescribed within Tables 4-1 through 4-2.
R4	<p>For Components included within a performance-based maintenance program, the entity failed to maintain 5% or less of the annual scheduled maintenance for a specific Component Type in accordance with their performance-based PSMP.</p> <p>For Automatic Reclosing Components added to a performance-based maintenance program per information from the Balancing Authority, the entity failed to maintain 5% or less of the total Components in accordance with their performance-based PSMP.</p>	<p>For Components included within a performance-based maintenance program, the entity failed to maintain more than 5% but 10% or less of the annual scheduled maintenance for a specific Component Type in accordance with their performance-based PSMP.</p> <p>For Automatic Reclosing Components added to a performance-based maintenance program per information from the Balancing Authority, the entity failed to maintain more than 5% but 10% or less of the total Components in accordance with their performance-based PSMP.</p>	<p>For Components included within a performance-based maintenance program, the entity failed to maintain more than 10% but 15% or less of the annual scheduled maintenance for a specific Component Type in accordance with their performance-based PSMP.</p> <p>For Automatic Reclosing Components added to a performance-based maintenance program per information from the Balancing Authority, the entity failed to maintain more than 10% but 15% or less of the total Components in accordance with their performance-based PSMP.</p>	<p>For Components included within a performance-based maintenance program, the entity failed to maintain more than 15% of the annual scheduled maintenance for a specific Component Type in accordance with their performance-based PSMP.</p> <p>For Automatic Reclosing Components added to a performance-based maintenance program per information from the Balancing Authority, the entity failed to maintain more than 15% of the total Components in accordance with their performance-based PSMP.</p>
R5	The entity failed to undertake efforts to correct 5 or fewer identified Unresolved Maintenance Issues.	The entity failed to undertake efforts to correct greater than 5 but less than or equal to 10 identified	The entity failed to undertake efforts to correct greater than 10 but less than or equal to 15 identified Unresolved Maintenance	The entity failed to undertake efforts to correct greater than 15 identified Unresolved Maintenance

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Requirement Number	Lower VSL	Moderate VSL	High VSL	Severe VSL
R6		Unresolved Maintenance Issues.	Issues.	Issues.  The entity failed to notify each Transmission Owner, Generator Owner, and Distribution Provider within its Balancing Authority Area at least once every calendar year of the gross capacity, in MW or MVA, of the largest BES generating unit within the Balancing Authority Area.  OR  The entity had more than 15 calendar months between notifications to each Transmission Owner, Generator Owner, and Distribution Provider of the gross capacity, in MW or MVA, of the largest BES generating unit within the Balancing Authority Area.

**D. Regional Variances**

None.

**E. Interpretations**

None.

**F. Supplemental Reference Documents**

The following documents present a detailed discussion about determination of maintenance intervals and other useful information regarding establishment of a maintenance program.

## Standard PRC-005-X(X) – Protection System, Automatic Reclosing, and Sudden Pressure Relaying Maintenance

1. *Supplementary Reference and FAQ - PRC-005-X Protection System Maintenance*, Protection System Maintenance and Testing Standard Drafting Team (April 2014)
2. *Considerations for Maintenance and Testing of Auto-reclosing Schemes*, NERC System Analysis and Modeling Subcommittee, and NERC System Protection and Control Subcommittee (November 2012)

*Sudden Pressure Relays and Other Devices that Respond to Non-Electrical Quantities – SPCS Input for Standard Development in Response to FERC Order No. 758*, NERC System Protection and Control Subcommittee (December 2013)

### Version History

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
1	December 1, 2005	<ol style="list-style-type: none"> <li>1. Changed incorrect use of certain hyphens (-) to “en dash” (–) and “em dash (—).”</li> <li>2. Added “periods” to items where appropriate.</li> <li>3. Changed “Timeframe” to “Time Frame” in item D, 1.2.</li> </ol>	01/20/05
1a	February 17, 2011	Added Appendix 1 - Interpretation regarding applicability of standard to protection of radially connected transformers	Project 2009-17 interpretation
1a	February 17, 2011	Adopted by Board of Trustees	
1a	September 26, 2011	FERC Order issued approving interpretation of R1 and R2 (FERC’s Order is effective as of September 26, 2011)	
1.1b	May 9, 2012	PRC-005-1.1b was adopted by the Board of Trustees as part of Project 2010-07	



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Version	Date	Action	Change Tracking
		(Generator Requirements at the Transmission Interface).	
2	November 7, 2012	Adopted by Board of Trustees	Project 2007-17 - Complete revision, absorbing maintenance requirements from PRC-005-1.1b, PRC-008-0, PRC-011-0, PRC-017-0
2	October 17, 2013	Errata Change: The Standards Committee approved an errata change to the implementation plan for PRC-005-2 to add the phrase “or as otherwise made effective pursuant to the laws applicable to such ERO governmental authorities;” to the second sentence under the “Retirement of Existing...”	
TBD (balloted as X(X))	TBD	Standard revised in Project 2014-01	Applicability section revised to clarify application of Requirements to BES dispersed power producing resources