Unofficial Comment Form

Request for Comments Regarding the Draft of CIP Cyber Security Standards Version 5

Comment Form D

Definitions and Implementation Plans

Please **DO NOT** use this form to submit comments. Please use the [electronic comment form](https://www.nerc.net/nercsurvey/Survey.aspx?s=979bbd9d7e934c16a1659b17dcbb0bd4) to submit comments on the second formal posting of Project 2008-06 – CSO706 Version 5 CIP Standards. The electronic comment form must be completed by **May 21, 2012.**

[2008-06 Project Page](http://www.nerc.com/filez/standards/Project_2008-06_Cyber_Security_Version_5_CIP_Standards_.html)

If you have questions please contact Steven Noess at steven.noess@nerc.net or 404-446-9691.

Background

The Project 2008-06 Standard Drafting Team (SDT) is seeking industry feedback and suggestions on this Version 5 of the CIP Cyber Security Standards and its Implementation Plan. The industry feedback will be considered by the SDT in revising and refining Version 5 and related documents.

The SDT thanks stakeholders and other commenters for the extensive and thoughtful comments received during the previous posting period. The volume and quality of the comments provided significant input into many of the changes the team made in response. The SDT also thanks the many observers who attended several comment resolution and discussion sessions—both electronically and face-to-face—that the SDT conducted to prepare the drafts that are currently posted.

The SDT expended considerable work in reviewing, discussing, and responding to all of these inputs, and it believes that the major issues from these inputs have been addressed responsively in this posted draft CIP Version 5 package. As a result, the changes have been significant and substantive in all of the draft CIP Version 5 standards and Implementation Plan.

* Many entities expressed concern over the expanded scope of these standards. The SDT has drafted these standards in response to directives from FERC Order No. 706, and believes that the changes in CIP Version 5 are necessary to address all of those directives responsively. The number of requirements in Version 5 is not substantively higher than previous versions.
* There are many substantive changes in the Applicability section of the standards in response to concerns regarding Distribution Providers and Load Serving Entities. The changes ensure that only those systems owned by those functional entities that are material to BES reliability are within the scope of these standards.
* The SDT also made several substantive changes in CIP-002-5 to address stakeholders’ preference for a facilities-based approach to the identification and categorization process for BES Cyber Systems and BES Cyber Assets. In addition, in response to concerns to overall reliability in the restoration facilities area, the SDT made substantive changes to the categorization of those facilities. The SDT made several changes in response to comments in the “bright-line” criteria in Attachment 1 as well.
* In standards CIP-003-5 through CIP-011-1, the SDT made substantive changes in the applicability of requirements to the medium impact category in response to concerns of practical application in field assets. The SDT also addressed many of concerns on requirement clarity and language in the standards. In addition, the SDT moved all low impact requirements and grouped them as policy/program requirements in CIP-003 (Security Management). Requirements in CIP-004-CIP-011 only apply to high and medium impact systems.
* In response to comments, the SDT extended the Implementation Plan’s effective date to 24 months after approval in most cases, with up to 36 months for implementation for low impact systems. In addition, the initial required performance of those requirements with periodicity is moved into the Implementation Plan and removed from the requirements in the standards, since the initial performances are one-time requirements tied to the effective date.

In summary, the SDT believes this posting package addresses all of the substantive issues received from the comments and various other inputs.

The Project 2008-06 – Cyber Security Order 706 SDT was appointed by the NERC Standards Committee on August 7, 2008 to review each of the CIP reliability standards and develop the modifications necessary to address the directives in the [FERC Order No. 706](http://www.nerc.com/files/Order_706.pdf). Please see the Project 2008-06 background document that accompanies the other posted material.

**Instructions:**

The SDT is providing this form for industry participants to offer their comments on draft 2 of Version 5 of the CIP Cyber Security Standards.

Questions that ask for a “yes” or “no” response are separated from questions that ask for a narrative response. The drafting team considers each comment received irrespective of whether that comment accompanies a “yes” or “no” response to a particular question or is associated with an “affirmative” or “negative” ballot.

For each question that you provide a comment, please provide specific suggestions that would eliminate or minimize any concerns you have with the item in question. A comment or response to every question is not required.

**VERY IMPORTANT:**

Please note that the official comment form ***does not*** retain formatting (even if it appears to transfer formatting when you copy from the unofficial Word version of the form into the official electronic comment form). If you enter extra carriage returns, bullets, automated numbering, symbols, bolding, italics, or any other formatting, that formatting will not be retained when you submit your comments. Therefore, if you would like to separate portions of your comment by idea, e.g., the drafting team requests that each distinct idea in the same comment block be prefaced with (1), (2), etc., instead of using formatting such as extra carriage returns, bullets, automated numbering, bolding, or italics.

**D. Definitions and Implementation Plan Questions:**

1. Do you agree with the proposed definitions of BES Cyber Asset, BES Cyber System, and Cyber Asset?

[ ]  Yes

[ ]  No

2. Do you agree with the proposed definition of Control Center?

[ ]  Yes

[ ]  No

3. Do you agree with the proposed definitions of BES Cyber System Information, CIP Exceptional Circumstances, and CIP Senior Manager?

[ ]  Yes

[ ]  No

4. Do you agree with the proposed definitions of Physical Access Control Systems and Physical Security Perimeter?

[ ]  Yes

[ ]  No

5. Do you agree with the proposed definitions of Electronic Access Control or Monitoring Systems, Interactive Remote Access, and Intermediate Device?

[ ]  Yes

[ ]  No

6. Do you agree with the proposed definitions of Electronic Access Point, Electronic Security Perimeter, External Routable Connectivity, and Protected Cyber Asset?

[ ]  Yes

[ ]  No

7. Do you agree with the proposed definitions of Cyber Security Incident and Reportable Cyber Security Incident?

[ ]  Yes

[ ]  No

8. Definitions: Do you have any comments on the changes to the proposed definitions of BES Cyber Asset, BES Cyber System, and Cyber Asset? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

9. Definitions: Do you have any comments on the changes to the proposed definition of Control Center? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

10. Definitions: Do you have any comments on the changes to the proposed definitions of BES Cyber System Information, CIP Exceptional Circumstances, and CIP Senior Manager? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

11. Definitions: Do you have any comments on the changes to the proposed definitions of Physical Access Control Systems and Physical Security Perimeter? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

12. Definitions: Do you have any comments on the changes to the proposed definitions of Electronic Access Control and Monitoring Systems, Interactive Remote Access, and Intermediate Device? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

13. Definitions: Do you have any comments on the changes to the proposed definitions of Electronic Access Point, Electronic Security Perimeter, External Routable Connectivity, and Protected Cyber Asset? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

14. Definitions: Do you have any comments on the changes to the proposed definitions of Cyber Security Incident and Reportable Cyber Security Incident? If you voted “negative” on any ballot because of a proposed definition or modification to a definition described in this question, please describe the specific suggested changes that would facilitate an “affirmative” vote.

Comments:

15. Do you agree with the changes made to the proposed implementation plan since the last formal comment period?

[ ]  Yes

[ ]  No

16. Implementation Plan: If you disagree with the changes made to the Implementation Plan since the last formal comment period, what, specifically, do you disagree with? Please provide specific suggestions or proposals for any alternative language.

Comments:

17. If you have comments or specific suggestions **that you have not been able to provide in response to the previous questions**, please provide those comments here. Please provide specific suggestions or proposals for any alternative language.

Comments: