

Standards, Compliance, and Enforcement Bulletin

August 27–September 2, 2018

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2015-10 – Single Points of Failure TPL-001-5 and Implementation Plan	Additional Ballots and Non-binding Poll	09/05/18	09/14/18
NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6 and CIP-003-8	Initial Ballots and Non-binding Polls	09/28/18	10/09/18
NEW Project 2015-09 – Establish and Communicate System Operating Limits	Initial Ballots, Additional Ballots, and Non-binding Polls	10/01/18	10/10/18

Join Ballot Pools (ballot pool windows close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6 and CIP-003-8	Join Ballot Pools	08/23/18	09/21/18

NEW Project 2015-09 – Establish and Communicate System Operating Limits	Join Ballot Pools	08/24/18	09/24/18
Posted for Comment			
Project	Action	Start Date	End Date
Draft 2019–2021 Reliability Standards Development Plan	Comment Period	08/08/18	08/31/18
Project 2018-01 – Canadian-specific Revisions to TPL-007-2 Proposed TPL-007-2 Canadian Variance	Comment Period	08/10/18	09/06/18
2018 Bulk Electric System Definition Reference Document	Comment Period	08/07/18	09/10/18
Project 2018-02 – Modifications to CIP-008 Cyber Security Incident Reporting Standard Authorization Request	Comment Period	08/10/19	09/10/18
Project 2015-10 – Single Points of Failure TPL-001-5 and Implementation Plan	Comment Period	07/30/18	09/14/18
Functional Model Advisory Group Reliability Functional Model and Functional Model Technical Document	Comment Period	08/03/18	09/17/18
NEW Project 2016-02 – Modifications to CIP Standards CIP-002-6 and CIP-003-8	Comment Period	08/23/18	10/09/18
NEW Project 2015-09 – Establish and Communicate System Operating Limits	Comment Period	08/24/18	10/10/18

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
Comment Period Open for Version 3.0 of the Generating Unit Operations during Complete Loss of Communications Draft Reliability Guideline: Reliability Guidelines provide suggested guidance on a particular topic for use by BPS users, owners, and	Submit comments via email using the comment form .	07/13/18	08/27/18

<p>operators according to each entity’s circumstances. The NERC Operating Committee (OC) charter requires Reliability Guidelines to be refreshed every three years. Redlined and clean drafts of the Reliability Guideline: Generating Unit Operations during Complete Loss of Communications - Version 3.0 have been posted on the NERC website for industry comment.</p>			
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STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [Standards Related Questions – Single Portal](#)
- [2017–2019 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Four Reliability Standard Audit Worksheets Posted

Four Reliability Standard Audit Worksheets (RSAWs) are now available on the [RSAW page of NERC.com](#) under the heading “Current RSAWs for Use:”

- BAL-005-1 (Balancing Authority Control) applies to Balancing Authorities and the effective date of the Standard is 1/1/2019. BAL-005-1 will replace BAL-005-0.2b and BAL-006-2.

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [CMEP Technology Project](#)

- FAC-001-3 (Facility Interconnection Requirements) applies to Transmission Owners and applicable Generator Owners, and the effective date of the Standard is 1/1/2019. FAC-001-3 replaces FAC-001-2.
- The RSAWs for BAL-002-2(i) (Disturbance Control Performance - Contingency Reserve for Recovery from a Balancing Contingency Event) and PRC-025-2 (Generator Relay Loadability) are updated to reflect the correct names of the Standards.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

The following standards are subject to future enforcement. Please refer to the [U.S. Effective Dates page](#) for more detail:

U.S. Effective Date	Standard(s)
October 1, 2018	NEWLY ADDED BAL-004-WECC-3 – Automatic Time Error Correction
January 1, 2019	BAL-005-1 – Balancing Authority Control
	FAC-001-3 – Facility Interconnection Requirements
	TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirement 5, 5.1–5.2)
April 1, 2019	EOP-004-4 – Event Reporting
	EOP-005-3 – System Restoration from Blackstart Resources
	EOP-006-3 – System Restoration Coordination
	EOP-008-2 – Loss of Control Center Functionality
January 1, 2020	CIP-003-7 – Cyber Security – Security Management Controls
	PRC-026-1 – Relay Performance During Stable Power Swings (Requirements 2–4)
July 1, 2020	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements 2–4, 6–11)
October 1, 2020	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	PRC-012-2 – Remedial Action Schemes
	TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 6, 6.1–6.4)

January 1, 2022	TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 3,4,7)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements 2–4, 6–11)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On August 17, 2018, NERC filed with FERC a [petition](#) for the approval of proposed Reliability Standard BAL-002-3.

NERC's 2018 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Monitoring and Situational Awareness Technical Conference – October 2–3, 2018, Carmel, Indiana | [Register](#) | [Hotel Registration](#) | [Presentations from Previous Conferences](#)
- GridSecCon 2018 – October 15–19, 2018, Las Vegas, Nevada | [Register](#)

Webinars

- BES Reference Document Posting: Background and Process Update webinar – 3:00–3:30 p.m. Eastern, August 27, 2018 | [Register](#)
- **NEW** Functional Model Advisory Group Functional Model and Functional Model Technical Document Revisions webinar – 1:30–3:00 p.m. Eastern, September 4, 2018 | [Register](#)
- Winter Preparation for Severe Cold Weather webinar – 2:00–3:00 p.m. Eastern, September 6, 2018 | [Register](#)

Standing Committee Meetings and Conference Calls

- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – September 11–12, 2018, Minneapolis, Minnesota | [Register for OC](#) | [Register for PC](#) | [Register for CIPC](#) | [Register for Hotel](#)
- **NEW** Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Pacific, September 13, 2018 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2017-01 – Modifications to BAL-003-1.1 Drafting Team Meeting – August 28–29, 2018, Portland, OR | [Register](#)
- Project 2018-01 – Canadian-specific Revisions to TPL-007-2 Drafting Team Meeting – September 11–12, 2018, Toronto, Ontario | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – September 18–20, 2018, Houston, TX | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Desselle](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please contact [Sandy Shiflett](#). For more information about any of the compliance news listed in the bulletin, please contact [Tiffany Whaley](#).