

# Standards, Compliance, and Enforcement Bulletin

September 10–16, 2018

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2015-10 – Single Points of Failure</a>   TPL-001-5 and Implementation Plan	<a href="#">Additional Ballots and Non-binding Poll</a>	09/05/18	09/14/18
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 and CIP-003-8	<a href="#">Initial Ballots and Non-binding Polls</a>	09/28/18	10/09/18
<a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>	<a href="#">Initial Ballots, Additional Ballots, and Non-binding Polls</a>	10/01/18	10/10/18

### Join Ballot Pools (ballot pool windows close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 and CIP-003-8	<a href="#">Join Ballot Pools</a>	08/23/18	09/21/18

<a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>	<a href="#">Join Ballot Pools</a>	08/24/18	09/24/18
Posted for Comment			
Project	Action	Start Date	End Date
2018 Bulk Electric System Definition Reference Document	<a href="#">Comment Period</a>	08/07/18	09/10/18
<a href="#">Project 2018-02 – Modifications to CIP-008 Cyber Security Incident Reporting</a>   Standard Authorization Request	<a href="#">Comment Period</a>	08/10/19	09/10/18
<a href="#">Project 2015-10 – Single Points of Failure</a>   TPL-001-5 and Implementation Plan	<a href="#">Comment Period</a>	07/30/18	09/14/18
<a href="#">Functional Model Advisory Group</a>   Reliability Functional Model and Functional Model Technical Document	<a href="#">Comment Period</a>	08/03/18	09/17/18
<b>NEW</b> <a href="#">Project 2017-01 – Modifications to BAL-003-1.1</a>	<a href="#">Comment Period</a>	09/06/18	09/20/18
<a href="#">Standards Efficiency Review</a>   SAR	<a href="#">Comment Period</a>	08/28/18	09/26/18
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 and CIP-003-8	<a href="#">Comment Period</a>	08/23/18	10/09/18
<a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>	<a href="#">Comment Period</a>	08/24/18	10/10/18

**OTHER ACTIVE COMMENT PERIODS**

Posted for Comment			
Posting	Action	Start Date	End Date
<b>Comment Period Open for Proposed Revisions to the NERC Rules of Procedure:</b> NERC is proposing revisions to Sections 600 (Personnel Certification) and 900 (Training and Education) of its currently effective Rules of Procedure (ROP). The	Submit comments to <a href="mailto:ROPcomments@nerc.net">ROPcomments@nerc.net</a> .	08/27/18	10/11/18

<p>purpose of these revisions is in response to the Federal Energy Regulatory Commission’s directives issued in the July 19, 2018 <i>Order Approving in Part and Denying in Part Revisions to North American Electric Reliability Corporation Rules of Procedure and Requiring Compliance Filing</i>, 164 FERC ¶ 61,023 (2018) to (i) submit a compliance within 120 days restoring ROP Sections 603, 604, and 605, and (ii) correct typographical errors in proposed Sections 600 and 900.</p> <p>NERC proposes to request that these revisions become effective immediately after filing with FERC.</p> <p>NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The 45-day comment period begins August 27, 2018 and ends October 11, 2018.</p> <p>A redlined version of NERC Rules of Procedure, Sections 600 and Section 900 showing the proposed revisions are available on the <a href="#">NERC Rules of Procedure</a> page.</p>			
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## STANDARDS NEWS

**NEW Resources Posted**

NERC has posted the [streaming webinar](#) and [slide presentation](#) for the PER-006-1 Requirement Training webinar.

NERC has also posted the [streaming webinar](#) and [slide presentation](#) for the Functional Model Advisory Group Functional Model and Functional Model Technical Document Revisions webinar.

**Nomination Period Open for Standards Efficiency Review Drafting Team**

Nominations are being sought for Standards Efficiency Review drafting team members. Nominations must be submitted by **September 17, 2018**.

Use the [electronic form](#) to submit a nomination. If you experience issues using the electronic form, contact [Wendy Muller](#). An unofficial Word version of the nomination form is posted on the [Drafting Team Vacancies](#) page and the [project page](#).

The Standards Committee is expected to appoint members to the team mid-October 2018. Nominees will be notified after they have been selected. For information on the Standards Development Process, refer to the [Standard Processes Manual](#).

## Quick Links

[Register in the SBS](#)

[Projected Standards Posting Schedule](#)

[Project Tracking Spreadsheet](#)

[Standards Related Questions – Single Portal](#)

[2017–2019 Reliability Standards Development Plan](#)

[Quality Review Application](#)

[One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

### Quick Links

[Risk-Based CMEP](#)  
[CIP V5 Transition Program](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions](#)  
[CMEP Technology Project](#)

## OTHER NEWS

### **NEW** NERC News Posted

NERC News, NERC's monthly newsletter, is now available for [August](#).

Highlights in this edition include:

- Board Meets with Canadian Regulators, Approves 2019 Budgets, Adopts 3 Standards
- August Marks Important Anniversaries in ERO History
- Bill Lawrence Named Vice President and Chief Security Officer
- Executive Management Spotlight Features Janet Sena, Senior Vice President and Director of Policy and External Affairs.

## STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
October 1, 2018	<a href="#">BAL-004-WECC-3 – Automatic Time Error Correction</a>
January 1, 2019	<a href="#">BAL-005-1 – Balancing Authority Control</a>
	<a href="#">FAC-001-3 – Facility Interconnection Requirements</a>
	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirement 5, 5.1–5.2)</a>
April 1, 2019	<a href="#">EOP-004-4 – Event Reporting</a>
	<a href="#">EOP-005-3 – System Restoration from Blackstart Resources</a>
	<a href="#">EOP-006-3 – System Restoration Coordination</a>
	<a href="#">EOP-008-2 – Loss of Control Center Functionality</a>
January 1, 2020	<a href="#">CIP-003-7 – Cyber Security – Security Management Controls</a>
	<a href="#">PRC-026-1 – Relay Performance During Stable Power Swings (Requirements 2–4)</a>
July 1, 2020	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements 2–4, 6–11)</a>
October 1, 2020	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
January 1, 2021	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 6, 6.1–6.4)</a>
January 1, 2022	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 3,4,7)</a>
July 1, 2022	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements 2–4, 6–11)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW FERC Issuances**

On September 5, 2018, FERC issued a [letter order](#) accepting the [March 7, 2018](#) filing of the joint petition submitted by NERC and WECC seeking approval of retirement of regional Reliability Standard VAR-002-WECC-2 – Automatic Voltage Regulators.

On September 6, 2018, FERC issued a [letter order](#) accepting the revisions to the MRO Bylaws in Docket No. RR18-8-000.

FERC's 2018 issuances are available [here](#).

### **NEW NERC Filings**

On September 6, 2018, NERC filed with FERC a [petition](#) for approval of proposed Reliability Standard VAR-001-5 – Voltage and Reactive Control and retirement of currently effective Reliability Standard VAR-001-4.2.

On September 7, 2018, NERC submitted to FERC an [informational filing](#) regarding Proposed Supply Chain Risk Management Reliability Standards.

NERC's 2018 filings to FERC are available [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- Monitoring and Situational Awareness Technical Conference – October 2–3, 2018, Carmel, Indiana | [Register](#) | [Hotel Registration](#) | [Presentations from Previous Conferences](#)
- GridSecCon 2018 – October 15–19, 2018, Las Vegas, Nevada | [Register](#)

## Webinars

There are no webinars currently scheduled.

## Standing Committee Meetings and Conference Calls

- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – September 11–12, 2018, Minneapolis, Minnesota | [Register for OC](#) | [Register for PC](#) | [Register for CIPC](#) | [Register for Hotel](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Pacific, September 13, 2018 | [Register](#)
- Board of Trustees Committees, Member Representatives Committee, Board of Trustees Meetings – November 6–7, 2018, Atlanta | [Registration and Hotel Information](#)

## Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2018-01 – Canadian-specific Revisions to TPL-007-2 Drafting Team Meeting – September 11–12, 2018, Toronto, Ontario | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – September 18–20, 2018, Houston, TX | [Register](#)
- **NEW** Project 2015-10 – Single Points of Failure Standard Drafting Team Meeting – October 2–4, 2018, Austin, TX | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Desselle](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu and specify which lists you would like your email address to be added to in the Description Box.