

# Standards, Compliance, and Enforcement Bulletin

March 11–17, 2019

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2018-03 – Standards Efficiency Review Retirements</a>	<a href="#">Initial Ballots and Non-binding Polls</a>	04/03/19	04/12/19

### Join Ballot Pools (ballot pool windows close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2018-03 – Standards Efficiency Review Retirements</a>	<a href="#">Join Ballot Pools</a>	02/27/19	03/28/19

### Posted for Comment

Project	Action	Start Date	End Date
<a href="#">Project 2019-01 – Modifications to TPL-007-3</a>   SAR	<a href="#">Comment Period</a>	02/25/19	03/26/19
<a href="#">Project 2018-03 – Standards Efficiency Review Retirements</a>	<a href="#">Comment Period</a>	02/27/19	04/12/19

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>NEW Comment Period Open for WECC Regional Variance for IRO-002-5 - Reliability Coordination – Monitoring and Analysis:</b> The Western Electricity Coordinating Council (WECC) requested that NERC post the WECC Regional Variance for IRO-002-5 – Reliability Coordination – Monitoring and Analysis for industry review and comment in accordance with the NERC Rules of Procedure. As the Western Interconnection moves to a multi-Reliability Coordinator (RC) environment, focused coordination of those RCs will become critical. WECC developed a proposed Regional Variance to NERC Reliability Standard IRO-002-5 – Reliability Coordination – Monitoring and Analysis to ensure coordination between each of those RCs.</p> <p>The proposed Variance does not change any of the continent-wide Requirements. The WECC Board of Directors adopted the proposed Variance on March 6, 2019. Prior to NERC Board adoption, the proposed Variance will be inserted into proposed Reliability Standard IRO-002-6, which is currently being balloted as part of the ongoing Project 2018-03 – Standards Efficiency Retirements project.</p> <p>The standard was posted twice for comment by WECC, most recently from November 28, 2018–January 2, 2019, and the comments received can be viewed <a href="#">here</a>. Documents and</p>	<p>Use the <a href="#">electronic form</a> to submit comments. If you experience any difficulties in using the electronic form, contact <a href="#">Nasheema Santos</a>.</p>	03/07/19	04/22/19

information about this project are available on the [WECC's Standards Under Development](#) page.

## STANDARDS NEWS

### **NEW Concepts Survey Open for Standards Efficiency Review Phase 2**

The SER Phase 2 Team is seeking industry input on the six efficiency concepts listed below and their ability to address the perceived areas of inefficiency within the Reliability Standards.

1. Evidence Retention Overhaul
2. Prototype Standard
3. Move Requirements to Guidance
4. Consolidate and Simplify Training Requirements
5. Consolidate Information/Data Exchange Requirements
6. Relocate Competency-based Requirements to Certification Program/CMEP Controls Review

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [Standards Related Questions – Single Portal](#)
- [2017–2019 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

Please coordinate feedback into a single response for each organization or entity, and complete the [SER Phase 2 Questionnaire](#) by **8:00 p.m. Eastern, Friday, March 22, 2019**. See the [SER Phase 2 website](#) for more information. For more information or assistance, contact [Chris Larson](#).

### **Submittal Process Change for Standards Inquiries**

Going forward, all Standards-related inquiries, including submissions of Standard Authorization Requests (SAR) and Requests for Interpretations (RFI), should be submitted via the [NERC Help Desk](#). In order to submit your inquiry or request you must:

- 1) Go to the [NERC Help Desk](#) site.
- 2) Enter your contact information.
- 3) Select “Standards” from the Applications menu.
- 4) Select the appropriate option from the Standards Subcategories menu. **If you are submitting a SAR or RFI, you must select the option here for proper routing. If you are uncertain, select “Other.”**

 SUBMIT

## Submit a new Ticket

Title\*

Priority\*

Application\*

Standards Subcategories\*

File Attachment *(If you need to send multiple files, please create a single zip file)*


Browse...

- Select
- Standards Authorization Request
- Standards Effective Dates
- Standards Other
- Standards Project-related Distribution
- Standards Request for Interpretations

## Your Personal Information

Last Name\*

First Name\*

Email Address\*

- 5) Attach your SAR or RFI form (if applicable).
- 6) Enter a description.
- 7) Submit.

Upon submission, you will receive an email confirmation with an assigned ticket number from the Help Desk. The ticket number can be used to inquire on status of inquiry/submission.

Additionally, NERC has created [Standard Authorization Requests](#) and [Requests for Interpretations](#) pages on the Standards site. Requests that have not been accepted by the Standards Committee will be posted on this site. Any SAR or RFI that has been accepted by the Standards Committee can be found on the appropriate page. For a full list of active projects, refer to the [Reliability Standards Under Development](#) page.

If you have any questions in regards to these new items, contact Senior Reliability Standards Analyst, [Nasheema Santos](#).

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

**NEW Issues and Recommendations Tracking Spreadsheet Updated**

On March 6, 2019, NERC updated the [Issues and Recommendations Tracking Spreadshseet](#).

## Quick Links

[Risk-Based CMEP](#)  
[CIP V5 Transition Program](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions](#)  
[Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

**NEW NERC News Posted**

NERC News, NERC's monthly newsletter, is now available for [February](#). Highlights in this edition include:

- NERC, Community-Owned Utilities Group Launch Information Sharing Partnership to Strengthen Grid's Cyber, Physical Security
- Robb Testifies at Senate Energy and Natural Resources Hearing
- NERC Emphasizes Collaborative Enterprise Efforts, DOE Partnership; Board Welcomes New Members
- Executive Management Spotlight Features Sonia Mendonca, Vice President, Deputy General Counsel, and Director of Enforcement

**NEW Robb Featured on CEA's New Podcast**

Jim Robb recently was a guest on the Canadian Electricity Association's (CEA's) new podcast, "[Flux Capacitor](#)." The podcast, which occurs monthly, is hosted by Francis Bradley, CEA's chief operating officer and vice president of Public Affairs and Emerging Issues.

**NEW Centralized Organization Registration ERO System (CORES) Project Update**

The Centralized Organization Registration ERO System ([CORES](#)) Technology Project is progressing according to plan. CORES combines the registration functions currently managed in OATI – webCDMS, Guidance – CITS, and CRATS into a consolidated registration system and is anticipated to be launched the week of June 17th, 2019, with multiple training opportunities to be provided by the ERO. If you would like more information about the project, please contact [Ryan Stewart](#).

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2019	<a href="#">BAL-002-3 – Disturbance Control Standard – Contingency Reserve for Recovery from a Balancing Contingency Event</a>
	<a href="#">EOP-004-4 – Event Reporting</a>
	<a href="#">EOP-005-3 – System Restoration from Blackstart Resources</a>
	<a href="#">EOP-006-3 – System Restoration Coordination</a> <a href="#">EOP-008-2 – Loss of Control Center Functionality</a>
July 1, 2019	<a href="#">PER-003-2 – Operating Personnel Credentials</a>
	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1 and R2)</a>
January 1, 2020	<a href="#">CIP-003-7 – Cyber Security — Security Management Controls</a>
	<a href="#">PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)</a>
	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)</a>
July 1, 2020	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-3 – Cyber Security — Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-1 – Cyber Security — Supply Chain Risk Management</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
October 1, 2020	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
January 1, 2021	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
July 1, 2021	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)</a>
January 1, 2022	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>

July 1, 2022	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
January 1, 2023	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)</a>
January 1, 2024	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### NEW NERC Filings

On March 7, 2019, NERC filed with FERC a [petition](#) for approval of proposed Reliability Standard CIP-008-6 – Cyber Security – Incident Reporting and Response Planning. Proposed Reliability Standard CIP-008-6 addresses FERC’s directives in Order No. 848. At this time, the joint petition remains un-docketed by FERC. NERC will update the docket number on its website when it is assigned.

On March 6, 2019, NERC and WECC filed with FERC a [joint petition](#) for approval of proposed Regional Reliability Standard IRO-006-WECC-3 - Qualified Path Unscheduled Flow (USF) Relief. Proposed Regional Reliability Standard IRO-006-WECC-3 addresses the requests for transmission relief due to unscheduled flow on Qualified Paths in the Western Interconnection. At this time, the joint petition remains un-docketed by FERC. NERC will update the docket number on its website when it is assigned.

On March 6, 2019, NERC submitted to FERC an [errata](#) to the joint petition for approvals in connection with the dissolution of FRCC RE in Docket No. RR19-4-000. The errata provides an updated list of Registered Entities that NERC proposes to transfer from FRCC RE’s footprint to SERC Reliability Corporation’s footprint.

NERC’s 2019 filings to FERC are available [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- 2019 Reliability Leadership Summit – 8:30 a.m.–4:30 p.m. Eastern, March 14, 2019, Washington, D.C. | [Register](#) | [NEW Agenda](#)
- Eighth Annual Human Performance Conference – March 26–28, 2019, Atlanta | [Register for Conference and Workshops](#) | [Register for Hotel](#) | [Promo Video](#)
- Project 2017-01 – Modifications to BAL-003-1.1 Technical Conference – 10:00 a.m.–5:00 p.m. Eastern, March 26, 2019, Atlanta | [Register](#)
- Transmission Resiliency Summit – April 3–4, 2019, Charlotte, NC | [Register](#)

### Webinars

- **NEW** Situation Awareness for FERC, NERC, and the Regional Entities (SAFNR) Re-platforming Project Overview Webinar – 3:00–4:00 p.m. Eastern, March 21, 2019 | [Register](#)
- **NEW** Project 2018-03 Standards Efficiency Review Retirements Webinar – 1:00–3:00 p.m. Eastern, March 21, 2019 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Central, March 20, 2019, Austin, TX | [Register](#)
- Board of Trustees, Board Committees, and Member Representatives Committee Meetings – May 8–9, 2019, St. Louis, MO | [Register](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2018-04 – Modifications to PRC-024-2 Drafting Team Conference Call – Noon–2:00 p.m. Eastern, March 13, 2019 | [Register](#)
- Project 2018-04 – Modifications to PRC-024-2 Drafting Team Conference Call – Noon–2:00 p.m. Eastern, March 14, 2019 | [Register](#)
- Project 2018-04 – Modifications to PRC-024-2 Drafting Team Meeting – March 18–20, 2019, Washington, D.C. | [Register](#)
- **NEW** Project 2018-04 – Modifications to PRC-024-2 Drafting Team Conference Call – 10:00 a.m.–Noon Eastern, March 18, 2019 | [Register](#)



- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – March 26–28, 2019, San Antonio, TX | [Register](#)
- Project 2017-01 – Modifications to BAL-003-1.1 Meeting – March 27–28, 2019, Atlanta | [Register](#)
- Project 2018-04 – Modifications to PRC-024-2 Drafting Team Conference Call – Noon–2:00 p.m. Eastern, March 29, 2019 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Desselle](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).