

Standards, Compliance, and Enforcement Bulletin

November 25–December 1, 2019

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Initial Ballots and Non-Binding Polls	12/03/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Additional Ballot and Non-Binding Poll	12/06/19	12/16/19
Technical Rationale for Reliability Standards	Non-Binding Polls	12/09/19	12/18/19
Join Ballot Pools			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Join Ballot Pools	10/29/19	11/27/19

Technical Rationale for Reliability Standards	Join Ballot Pools	11/04/19	12/03/19
Posted for Comment			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Comment Period	10/29/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Comment Period	11/01/19	12/16/19
Technical Rationale for Reliability Standards	Comment Period	11/04/19	12/18/19

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: In November 2017, NERC published the Special Reliability Assessment: Potential Bulk Power System (BPS) Impacts Due to Severe Disruptions on the Natural Gas System. In the report, NERC made recommendations for assessing disruptions to natural gas infrastructure and related impacts to the reliable operation of the BPS in planning studies, several of which were assigned to the NERC Planning Committee (PC).</p> <p>In November 2018, the PC provided the NERC Board of Trustees with their action plan to address concerns from the special assessment. The action plan included development of the Fuel Assurance and Fuel-Related Reliability Risk Analysis for the BPS Draft Reliability Guideline by the newly formed Electric Gas Working Group (EGWG). The draft reliability guideline contains proposed guidance for industry planners to use in evaluating fuel and energy supply risks within their generation resource portfolios to address potential impacts to the BPS. The NERC PC Executive Committee (PCEC) reviewed the draft</p>	Submit comments via email using the comment form .	11/04/19	12/18/19

<p>guideline and authorized posting for industry comment. For more information or assistance, please contact Scott Barfield McGinnis.</p>			
<p>NEW Comment Period Open for Regional Reliability Standard BAL-001-TRE-2: The Texas Reliability Entity (Texas RE) requested that NERC post Regional Reliability Standard BAL-001-TRE-2 for industry review and comment in accordance with the NERC Rules of Procedure.</p> <p>Texas RE received a Standard Authorization Request seeking: (i) to remove the governor deadband and droop setting requirements for steam turbines in a combined cycle train and (ii) clarification of the responsible entity for Frequency Measurable Event exclusion requests. The Texas RE drafting team summarized all of the revisions made to Regional Reliability Standard BAL-001-TRE-2, including template edits, in the Summary of Changes. Documents and information about this project are available on the Texas RE Standards page.</p>	<p>Submit comments using the SBS.</p>	<p>11/22/19</p>	<p>01/06/20</p>

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW Webinar Resources Posted

NERC has posted the [slide presentation](#) and [recording](#) from the November 18, 2019 Project 2017-07 – Standards Alignment with Registration webinar.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Weather, Forecast, Fuel Issues Overlay Winter Outlook

Anticipated resources for the upcoming winter season meet or exceed recommended levels in all assessment areas of North America, NERC finds in its [2019–2020 Winter Reliability Assessment](#). However, potential reliability risks involving extreme and prolonged cold weather, generation and demand forecasting, and fuel assurance in the Northeast and Midwest continue to be important issues facing bulk power system reliability.

NERC undertakes the winter assessment each year in coordination with Regional Entities. The assessment provides an evaluation of generation resource and transmission system adequacy for meeting projected winter peak demands and to monitor and report on potential reliability issues of interest across North America.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2020	CIP-003-7 – Cyber Security – Security Management Controls
	IRO-002-6 – Reliability Coordination – Monitoring and Analysis

	PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)
	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)
April 1, 2020	CIP-003-8 – Cyber Security – Security Management Controls
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security – Supply Chain Risk Management
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
October 1, 2020	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On November 21, 2019, NERC submitted to FERC its [2019 Frequency Response Annual Analysis Report](#) in Docket No. RM13-11-000. This informational report is for the administration and support of Reliability Standard BAL-003-1.1 – Frequency Response and Frequency Bias Setting.

NERC’s 2019 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Probabilistic Analysis Forum – December 11–13, 2019, Atlanta | [Register](#)

Webinars

- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 2:00–2:30 p.m. Eastern, December 9, 2019 | [Register](#)

Standing Committee Meetings and Conference Calls

- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – December 10–11, 2019, Atlanta | [OC Registration](#) | [PC Registration](#) | [CIPC Registration](#) | [Hotel Registration](#)
- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 18, 2019 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **NEW** Project 2017-07 – Standards Alignment with Registration Drafting Team Meeting – December 17–18, 2019, Atlanta | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like

your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).