

# Standards, Compliance, and Enforcement Bulletin

August 17–23, 2020

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2019-03 – Cyber Security Supply Chain Risks</a>	<a href="#">Additional Ballots and Non-binding Poll</a>	09/01/20	09/10/20
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Additional Ballots and Non-binding Poll</a>	09/11/20	09/21/20
Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date

<b>NEW</b> <a href="#">Draft 2021–2023 Reliability Standards Development Plan</a>	<a href="#">Comment Period</a>	08/11/20	09/09/20
<a href="#">Project 2019-03 – Cyber Security Supply Chain Risks</a>	<a href="#">Comment Period</a>	07/28/20	09/10/20
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Comment Period</a>	08/06/20	09/21/20

### OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Generating Unit Winter Weather Readiness – Current Industry Practices – Version 3 Draft Reliability Guideline:</b></p> <p>In accordance with current NERC practices, all Reliability Guidelines must undergo a review every three years. The <a href="#">Reliability Guideline: Generating Unit Winter Weather Readiness – Current Industry Practices – Version 3</a> has been reviewed and <a href="#">revisions</a> have been made to keep the information current.</p> <p>This reliability guideline is applicable to electricity sector organizations responsible for the operation of the bulk power system. Although this guideline was developed as a result of an unusual cold weather event in an area not normally exposed to freezing temperatures, it provides a general framework for developing an effective winter weather readiness program for generating units throughout North America. The focus is on maintaining individual unit reliability and preventing future cold weather related events. This document is a collection of industry practices and while the incorporation of these practices is strictly voluntary, developing a winter weather readiness program using these practices is highly encouraged to promote and achieve the</p>	<p>Submit comments via <a href="#">email</a> using the <a href="#">comment form</a>.</p>	08/07/20	09/21/20

highest levels of reliability for these high impact weather events. The Reliability and Security Technical Committee reviewed Version 3 of the guideline and approved a 45-day posting for industry comment.			
<b>Comment Period Open for Supply Chain Procurement Language Draft Security Guideline:</b> The Reliability and Security Technical Committee Executive Committee reviewed the initial draft of the <a href="#">Supply Chain Procurement Language guideline</a> and approved posting it for industry comment.	Submit comments via <a href="#">email</a> using the <a href="#">comment form</a> .	08/06/20	09/21/20

## STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

### **NEW Document Issue Reporting Link Now Available**

In an effort to maintain our documents at a world-class level, NERC Standards staff is requesting that stakeholders report any issue(s) found while reading/reviewing NERC documents on the Standards web page. Please note that any issue(s) found in a NERC Reliability Standard would initiate an applicable process in the Standard Processes Manual if warranted by the NERC Standards Committee. Issues identified in other NERC documents, such as broken links, will be evaluated and corrected by NERC Standards staff, as appropriate. To report an issue, navigate to the [Standards page](#), select “Submit Questions or Feedback” under Program Contacts on the right-hand side (shown in Figure 1), and follow the directions listed there. The link will also remain available under the Quick Links in this section of the Standards, Compliance, and Enforcement Bulletin.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- NEW** [Submit Questions or Feedback](#)



#### Program Contacts

[Submit Questions or Feedback](#)

\*Select “Standards” from the “Services” drop-down menu and select the appropriate option from the “Category” drop-down menu. Enter question/feedback in the description Box.

**Figure 1**

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW** ERO Enterprise Extends Self-Logging Program Expansion and Deferral of On-Site Activities

In May, the ERO Enterprise released [guidance](#) that provided additional regulatory relief related to registered entities' coronavirus response and temporarily expanded the Self-Logging Program. Due to the ongoing pandemic, the ERO Enterprise is extending this expansion through December 31, 2020, to allow all registered entities to self-log instances of potential noncompliance with minimal or moderate risk related to their coronavirus response.

Additionally, in March, the ERO Enterprise postponed on-site audits and other on-site activities. The ERO Enterprise will continue to defer on-site activities through at the least the end of 2020 to allow registered entities to continue to focus their resources on keeping their workforces safe and the lights on.

During this challenging time, the ERO Enterprise recognizes the importance of prioritizing the health and safety of personnel and the continued reliability and security of the bulk power system. We will continue to evaluate the circumstances to determine whether additional guidance and extensions are needed.

### Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

### **NEW** Statement on Passing of USEA Director Barry Worthington

It is with great sadness that we note the passing of Barry Worthington, executive director of the United States Energy Association (USEA) for the past 31 years. Worthington was a strong supporter of NERC, which serves on the USEA Board of Directors, and NERC's North American responsibility to assure the reliability and security of the bulk power system. Worthington provided outstanding leadership to USEA throughout his years there, noted Jim Robb, NERC's president and CEO, with a primary focus on expanding access to and communicating the benefits of the U.S. energy industry. "A kind and thoughtful man," Robb said. "NERC extends its sympathy to his family, friends and the USEA staff."

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

**U.S. Effective Dates for Reliability Standards**

U.S. Effective Date	Standard(s)
<b>October 1, 2020</b>	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a> <a href="#">CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a> <a href="#">CIP-013-1 – Cyber Security – Supply Chain Risk Management</a> <a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)</a>
<b>December 1, 2020</b>	<a href="#">BAL-003-2 – Frequency Response and Frequency Bias Setting</a>
<b>January 1, 2021</b>	<a href="#">CIP-008-6 – Cyber Security – Incident Reporting and Response Planning</a> <a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a> <a href="#">PRC-012-2 – Remedial Action Schemes</a> <a href="#">PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)</a>
<b>April 1, 2021</b>	<a href="#">PER-006-1 – Specific Training for Personnel</a> <a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
<b>July 1, 2021</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)</a>
<b>January 1, 2022</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
<b>July 1, 2022</b>	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a> <a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
<b>October 1, 2022</b>	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
<b>January 1, 2023</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>

## BOARD OF TRUSTEES AND FERC ACTION

**UPDATED** NERC Board of Trustees Meeting Agenda Packages, Presentations, Policy Input Letter, and Schedule of Events Posted  
Below are the agenda packages and presentations for the virtual Board and Board committee meetings on August 19–20, 2020. The [schedule of events](#) and [policy input letter](#) are also available.

### Corporate Governance and Human Resources Committee

[Agenda Package](#) (presentations within agenda package)

11:00 a.m.–12:00 p.m. Eastern | August 19, 2020

### Technology and Security Committee

[Agenda Package](#)

[Presentations](#)

12:45–1:45 p.m. Eastern | August 19, 2020

### Finance and Audit Committee

[Agenda Package](#) (presentations within agenda package)

2:30–3:30 p.m. Eastern | August 19, 2020

### Member Representatives Committee

[Agenda Package](#)

[Presentations](#)

11:00 a.m.–1:00 p.m. Eastern | August 20, 2020

### Board of Trustees

[Agenda Package](#)

[Presentations](#)

2:00–4:00 p.m. Eastern | August 20, 2020

## **NEW NERC Filings**

On August 14, 2020, NERC filed with FERC its unaudited [budget-to-actual report](#) for the second quarter of 2020. NERC's 2020 filings to FERC are available [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- **REGIONAL ENTITY EVENT** ReliabilityFirst Sixth Annual Protection System Workshop for Technical Personnel – August 18, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Third Annual Human Performance Workshop – August 20, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Fall Virtual Workshop – August 25, 2020 | [Register](#)
- **NEW** Project 2016-02 – Modifications to CIP Standards | Virtualization Workshop – 12:00–5:00 p.m. Eastern, August 27, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 1 – September 24, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 2 – October 15, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

### **Webinars**

- **REGIONAL ENTITY EVENT** Technical Talk with ReliabilityFirst – 2:00–3:30 p.m. Eastern, August 17, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Human Performance Improvement Overview – 8:00 a.m.–12:00 p.m. Eastern, August 19, 2020 | [Register](#)
- **NEW** Project 2019-02 – BES Cyber System Information Access Management Webinar – 1:00–2:30 p.m. Eastern, August 19, 2020 | [Register](#)

### **Standing Committee Meetings and Conference Calls**

- Board of Trustees Committees, Member Representatives Committee, Board of Trustees Meetings – August 19–20, 2020 | [Register](#)
- **NEW** Standards Committee Conference Call –1:00–3:00 p.m. Eastern, August 26, 2020 | [Register](#)

### **Standard Drafting Team Meetings and Conference Calls**

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Standard Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, August 20, 2020 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).