

Standards, Compliance, and Enforcement Bulletin

October 26–November 1, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2015-09 – Establish and Communicate System Operating Limits	Additional Ballots and Non-Binding Poll	11/27/20	12/07/20
Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
SERC Regional Reliability Standards Development Procedure	Comment Period	10/07/20	11/20/20

NEW Project 2015-09 – Establish and Communicate System Operating Limits	Comment Period	10/23/20	12/07/20
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OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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STANDARDS NEWS

NEW Standards Committee Segment Representative Elections and Preliminary Results

In accordance with Appendix 3B of the NERC Rules of Procedure, the period has concluded for accepting member nominations to serve on the Standards Committee (SC) for 9 of the 10 industry segments to fill two-year terms (2021–2022), and the Special Election for Segment 7 for one-year term (concluding December 2021). Segment 10 will submit their nominee using an alternate election procedure.

NERC staff reviewed all nomination applications, confirmed that all nominees are registered users of the Registered Ballot Body, and verified their eligibility to be a SC member nominee. Detailed information on each nominee is posted on the SC’s [Nomination and Elections](#) web page.

NERC received one nomination for each of the following industry segments:

- Segment 1 – Troy Brumfield
- Segment 2 – Charles Yeung
- Segment 3 - Linn Oelker
- Segment 4 – Barry Lawson

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

- Segment 5 – James (Jim) Howell
- Segment 7 – Venona Greaff
- Segment 8 – Philip Winston
- Segment 9 – Kimberly Jones

In Addition, NERC received multiple nominations for Segment 6:

- Justin Welty
- Rebecca Moore Darrah

There will be a vacant position for Segment 7 one-year term concluding December 2021, since no nominations were received.

An election began on October 26, 2020 and will remain open for ten calendar days, closing on November 4, 2020 at 8:00 p.m. Eastern. Each Registered Ballot Body entity in an industry segment may cast one vote per SC member position being filled. Proxies are allowed, and proxy designation should be emailed to [Katrina Blackley](#) prior to voting.

A separate email will be sent to members of each industry segment providing a link to vote for their respective election. Elections will take place for unopposed nominees as well, to satisfy a voting record with at least one vote required.

Segment 10 (Regional Entities) will submit their nominee using an alternate election procedure, as permitted by [Appendix 3B](#) of the NERC Rules of Procedure. In Accordance with Appendix 3B, to be elected during the election, a nominee must:

- Receive the highest total number of votes in that industry segment, and
- Receive a simple majority of the votes cast in that industry segment.

If no candidate meets both criteria through the initial ballot, a second ballot will be conducted in that industry segment. For the second ballot, the slate consists of the two candidates who received the highest number of votes in the initial ballot. The candidate receiving the highest number of votes in the second ballot is elected to represent that industry segment.

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Reliability Standard Audit Worksheet Posted

A new Reliability Standard Audit Worksheet (RSAW) for PRC-012-2 – Remedial Action Schemes has been posted to the [RSAW page](#) under the heading “Current RSAWs for Use.” The effective date of the standard is January 1, 2021, and it applies to Reliability Coordinators, Planning Coordinators, and certain Transmission Owners, Generator Owners, and Distribution Owners that own all or part of a Remedial Action Scheme. PRC-012-2 will replace PRC-015-1 and PRC-016-1.

CORES Survey Notice

The ERO Enterprise will be seeking feedback regarding functionality on the ERO Portal regarding registration activities. The survey invitation was sent to PCCs and ACCs on October 16 and included a [Survey Monkey link](#). The survey, which is open for 30 days, asks questions regarding user experience with CORES functionality, in particular New Entity Registrations and My Entity updates. There are four parts to the survey, including the ERO Portal, New Registrations, My Entity, and Training. The ERO Enterprise will use the survey results to focus on enhancing future releases with the registered entities’ perspective. Survey Monkey estimated that most respondents will be able to complete the survey between 1–7 minutes.

Quick Links

[Risk-Based CMEP](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Compliance Input Working Group Changes to Security Working Group

At their September meeting the Reliability and Security Technical Committee (RSTC) changed the Compliance Input Working Group (CIWG) name to the Security Working Group (SWG) effective immediately. This name change better reflects the role this group will play for providing a resource of industry experts to NERC, developing various guidance, compliance, and best-practice products, and coordinating with other working groups within the RSTC. Mailing list names will change, as will meeting titles. Members of mailing lists and meeting invites will not change. Please be sure to update your records. In light of the group’s expanded scope, individuals with security or compliance expertise who wish to contribute to the SWG’s activities and projects are welcome to participate. Please send requests to [Brent Sessions](#).

NEW Important Update on the Electric Power Human Performance Improvement Symposium

The inaugural Electric Power Human Performance Improvement Symposium (EPHPIS), a continent-wide collaboration between the ERO Enterprise and the North American Transmission Forum (NATF), was postponed from September 2020 to April 2021 near the beginning of the coronavirus (COVID-19) pandemic. Based on the continued unstable nature of the virus and our collective concern for the health and safety of all individuals in the electric utility space, the partnership has decided to continue postponement of the EPHPIS until a more accommodating time in the future.

We look forward to meeting in-person for an exciting opportunity to network and focus on the practical application of human performance improvement philosophies as they apply to the power industry. In the meantime, we are exploring potential methods to deliver content in the interim. We apologize for the inconvenience, continue to monitor the situation, and are reviewing a potential meeting schedule continuously during this time.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
December 1, 2020	BAL-003-2 – Frequency Response and Frequency Bias Setting
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers

	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW Board of Trustees Committees, Member Representatives Committee, Board of Trustees Quarterly Meeting Agenda Packages and Policy Input Letter Posted

Finance and Audit Committee

Agenda Package ****to be posted by October 29, 2020**

11:00 a.m. Eastern | November 4, 2020

Corporate Governance and Human Resources Committee

[Agenda Package](#)

12:15 p.m. Eastern | November 4, 2020

Compliance Committee

[Agenda Package](#)

1:30 p.m. Eastern | November 4, 2020

Technology and Security Committee

[Agenda Package](#)

3:00 p.m. Eastern | November 4, 2020

Member Representatives Committee

[Agenda Package](#)

11:00 a.m. Eastern | November 5, 2020

Board of Trustees

[Agenda Package](#) | [Policy Input](#)

2:00 p.m. Eastern | November 5, 2020

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

Webinars

- Lesson Learned – Verification of AC Quantities during Protection System Design and Commissioning Webinar – 1:00–2:30 p.m. Eastern, October 27, 2020 | [Register](#)
- Align Webinar: Registered Entity Self-Built Secure Evidence Lockers – 1:00–1:30 p.m. Eastern, October 29, 2020 | [Register](#)
- Implementation Guidance Webinar – 1:00–2:00 p.m. Eastern, November 18, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Talk with Texas RE: Standards Update – 1:30–2:30 p.m. Central, November 19, 2020 | [Register](#)
- **JOINT INDUSTRY EVENT** [2020 EPRI-NERC-NATF Power System Modeling Webinar](#) – 2:00–4:00 p.m. Eastern, November 19, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Board of Trustees Committees, Member Representatives Committee, Board of Trustees Quarterly Meetings – November 4–5, 2020 | [Register](#) | [Schedule of Events](#)
- **NEW** Standards Committee Meeting – 1:00–3:00 p.m. Eastern, December 9, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2019-06 – Cold Weather Drafting Team Conference Call – 1:00–5:00 p.m. Eastern, October 27–29, 2020 | [Register](#)
- **NEW** Project 2020-04 – Modifications to CIP-012 SAR Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, October 27, 2020 | [Register](#)

- **NEW** Project 2018-03 – FAC-008-3 Standards Efficiency Review Drafting Team Conference Call – 10:30 a.m.–12:30 p.m. Eastern, October 29, 2020 | [Register](#)
- **NEW** Project 2020-04 – Modifications to CIP-012 SAR Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, October 29, 2020 | [Register](#)
- **NEW** Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 11:00 a.m.–2:30 p.m. Eastern, October 30, 2020 | [Register](#)
- **NEW** Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 12:00–5:00 p.m. Eastern, November 3–5, 2020 | [Register](#)
- **NEW** Project 2019-06 – Cold Weather Drafting Team Conference Call – 1:00–5:00 p.m. Eastern, November 10, 2020 | [Register](#)
- **NEW** Project 2019-06 – Cold Weather Drafting Team Conference Call – 1:00–5:00 p.m. Eastern, November 12, 2020 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–4:00 p.m. Eastern, November 20, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).