

# Standards, Compliance, and Enforcement Bulletin

January 11–17, 2021

#### **INSIDE THIS EDITION**

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Standards Subject to Future Enforcement | Board of Trustees and FERC Action | Upcoming Events |

About the SC&E Bulletin

ACTIVE STANDARDS POSTINGS				
Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)				
Project	Action	Start Date	End Date	
Project 2018-03 – Standards Efficiency Review Retirements	Initial Ballot and Non-Binding Poll	01/04/21	01/13/21	
FAC-008-5				
Join Ballot Pools				
	Action	Start Date	End Date	
Posted for Comment				
Project	Action	Start Date	End Date	
<u>Project 2018-03 – Standards Efficiency Review Retirements</u>   FAC-008-5	Comment Period	11/30/20	01/13/21	



Project 2020-06 – Verifications of Models and Data for Generators	Comment Period	12/16/20	01/14/21	l
SAR				l

OTHER ACTIVE COMMENT PERIODS			
Posted for Comment			
Posting	Action	Start Date	End Date
Comment Period Open for Draft Reliability Guideline: The NERC	Please submit comments via email using	12/18/20	02/01/21
Inverter-Based Resource Performance Working Group (IRPWG)	the <u>comment form</u> .		
developed the <u>Performance</u> , <u>Modeling</u> , and <u>Simulations of BPS-</u>			
Connected Battery Energy Storage Systems (BESSs) and Hybrid Power			
<u>Plants Draft Reliability Guideline</u> . The guideline also covers			
recommended modeling and study practices that should be			
considered by Transmission Planners and Planning Coordinators as			
they perform planning assessments with increasing numbers of BESSs			
and hybrid power plants in the interconnection study process, annual			
planning process, and for any specialized studies needed to ensure BPS			
reliability. The performance characteristics should be considered by all			
Generator Owners and developers seeking interconnection to the BPS,			
and Transmission Owners, Transmission Planner, and Planning			
Coordinators should consider adopting these recommendations in the			
interconnection requirements per NERC FAC-001 and FAC-002			
standards. Prior reliability guidelines focusing on BPS-connected			
inverter-based resources were inclusive of BESSs and hybrids as an			
inverter-based technology; however, there are certain considerations			
and nuances to the operation of this technology that warrant			
additional guidance. This reliability guideline provides those			
clarifications and considerations that are specifically relevant to these			
technologies. The Reliability and Security Technical Committee			
reviewed the guideline and approved a 45-day posting for industry			
comment.			



#### **STANDARDS NEWS**

# **REMINDER Nomination Period Open for Project 2020-06 SAR Drafting Team Members**

As a reminder, NERC is seeking nominations for SAR drafting team members for Project 2020-06 – Verifications of Models and Data for Generators through **January 14, 2021**.

The time commitment for this project is expected to be one face-to-face meetings per quarter (on average two full working days each meeting) with conference calls scheduled as needed to meet the agreed upon timeline the team sets forth. Face-to-face meetings will be conducted only when CDC health guidelines permit. Team

#### **Quick Links**

Register in the SBS

**Projected Standards Posting Schedule** 

**Project Tracking Spreadsheet** 

2019–2021 Reliability Standards Development Plan

**Quality Review Application** 

One-Stop Shop (Status, Purpose, Implementation Plans,

FERC Orders, RSAWs)

**CIP Standards Efficiency Review** 

**Submit Questions or Feedback** 

members may also have side projects, either individually or by sub-group, to present for discussion and review. Lastly, an important component of the team effort is outreach. Members of the team will be expected to conduct industry outreach during the development process to support a successful ballot. Previous drafting team experience is beneficial but not required. See the <u>project page</u> and nomination form for additional information.

By submitting a nomination form, you are indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls. Please use the <u>electronic form</u> to submit a nomination. Contact <u>Linda Jenkins</u> regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the <u>Standard Drafting Team Vacancies</u> page and the <u>project page</u>. The Standards Committee is expected to appoint members to the SAR drafting team in March 2021. Nominees will be notified shortly after they have been appointed.



#### **GENERAL COMPLIANCE AND ENFORCEMENT NEWS**

#### **NEW Compliance Guidance Update**

There is one new ERO Enterprise-endorsed Implementation Guidance document— TOP-001-4 and IRO-002-5 Data Exchange Infrastructure and Testing (OC)—available on the Compliance Guidance web page.

### **NEW ERO Enterprise Further Extends Self-Logging Program Expansion** and Deferment of On-Site Activities through Mid-2021

In May, the ERO Enterprise released guidance that provided additional regulatory relief related to registered entities' coronavirus response and temporarily expanded the Self-Logging Program and deferred on-site activities. Due to the ongoing pandemic, the ERO Enterprise is extending this expansion through June 30, 2021, to allow all registered entities to self-log instances of potential

#### **Quick Links**

Compliance Monitoring & Enforcement Program One-

Stop Shop

**CIP V5 Transition Program** 

**ERO Enterprise Program Alignment Process** 

Consistency Reporting Tool (EthicsPoint)

**Risk-Based Registration Initiative** 

**Reliability Standard Audit Worksheets** 

**Enforcement & Mitigation: Enforcement Actions** 

Align Project (formerly CMEP Technology Project)

noncompliance with minimal or moderate risk related to their coronavirus response.

During this challenging time, the ERO Enterprise recognizes the importance of prioritizing the health and safety of personnel and the continued reliability and security of the bulk power system. We will continue to evaluate the circumstances to determine whether additional guidance and extensions are needed. Updated Guidance

#### STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the <u>U.S. Effective Dates page</u> for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2021	FAC-002-3 – Facility Interconnection Studies
	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	IRO-010-3 – Reliability Coordinator Data Specification and Collection
	MOD-031-3 – Demand and Energy Data
	MOD-033-2 – Steady-State and Dynamic System Model Validation



	NUC-001-4 – Nuclear Plant Interface Coordination
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
	PRC-006-4 — Automatic Underfrequency Load Shedding
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
	TOP-001-5 – Transmission Operations
	TOP-003-4 — Operational Reliability Data
July 1, 2021	TPL-007-4 — Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10,
	<u>10.1–10.4)</u>
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.
	4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements   Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3,
	7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

# **BOARD OF TRUSTEES AND FERC ACTION**

## **NEW NERC Filings**

On January 7, 2021, NERC filed with FERC a <u>petition</u> for approval of an errata to Reliability Standard FAC-001-3.

NERC's 2021 filings to FERC are available <a href="here">here</a>.



#### **UPCOMING EVENTS**

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the <u>NERC calendar</u>.

#### **Workshops and Conferences**

• 2021 RISC Reliability Leadership Summit – January 26–27, 2021 | Register

#### Webinars

There are no webinars currently scheduled.

#### **Standing Committee Meetings and Conference Calls**

- Standards Committee Meeting 1:00–3:00 p.m. Eastern, January 20, 2021 | Register
- NEW NERC Board of Trustees and Member Representatives Committee Meetings February 3–4, 2021 | Registration Details Coming
   Soon

#### **Standard Drafting Team Meetings and Conference Calls**

The <u>Standards calendar</u> provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

• NEW Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, January 14, 2021 | Register



#### ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email <a href="Amy Klagholz">Amy Klagholz</a> with feedback on this bulletin. The current bulletin and old bulletins are available under "Program News" on both the <a href="Standards home page">Standards home page</a> and the <a href="Compliance & Enforcement home page">Compliance & Enforcement home page</a>. If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the <a href="Help Desk">Help Desk</a>. Select the "NERC Email Distribution List" option under the "Applications" menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).