

Standards, Compliance, and Enforcement Bulletin

May 24–31, 2021

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2019-06 – Cold Weather EOP-011-2, IRO-010-4, TOP-003-5	Final Ballots	05/18/21	05/27/21
Project 2020-04 – Modifications to CIP-012	Initial Ballots and Non-Binding Polls	05/31/21	06/09/21

Join Ballot Pools

Project	Action	Start Date	End Date
Project 2020-04 – Modifications to CIP-012	Join Ballot Pools	04/26/21	05/25/21

Posted for Comment

Project	Action	Start Date	End Date
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Project 2020-04 – Modifications to CIP-012	Comment Period	04/26/21	06/09/21
OTHER ACTIVE COMMENT PERIODS			
Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Midwest Reliability Organization Regional Reliability Standards Process Manual: The proposed Midwest Reliability Organization Regional Reliability Standards Process Manual (MRO RRSPM) is posted for a 45-day comment period. Regional Reliability Standards development procedures, such as the MRO RRSPM, define a Regional Entity’s process for development, revision, reaffirmation, and withdrawal of a Regional Reliability Standard.</p>	<p>Use the Standards Balloting and Commenting System (SBS) to submit comments. An unofficial Word version of the comment form is posted on the Regional Reliability Standards Under Development page.</p>	04/21/21	06/04/21
<p>Comment Period Open for Proposed Revisions to the NERC Rules of Procedure: NERC is proposing a series of revisions to Section 300 (Reliability Standards Development), Appendix 3B (Procedures for Election of Members of the Standards Committee), and Appendix 3D (Development of the Registered Ballot Body) of its Rules of Procedure. The changes consist of updating language, staff titles, and processes, removing unnecessary or duplicative obligations, and clarifying roles and responsibilities.</p> <p>Redlined versions of NERC Rules of Procedure, title(s) of proposed revised ROP section(s) and/or appendices showing the proposed revisions are now available at: NERC Rules of Procedure. In addition, the posting includes a separate document that provides a detailed summary of the proposed revisions at: Summary. NERC intends to submit these changes to the NERC Board of Trustees for consideration at its August 2021 meeting.</p>	<p>Please submit comments electronically to ROPcomments@nerc.net.</p>	05/14/21	06/28/21

STANDARDS NEWS

NEW Webinar Resources Posted

NERC posted the [slide presentation](#) and [streaming webinar](#) for the May 18, 2021 Project 2020-04 – Modifications to CIP-012 webinar.

NEW Nomination Period Open for Standards Committee Special Election for Segment 5

Standards Committee (SC) Chair, Amy Casuscelli, notified NERC that Segment 5 – Electric Generators has a vacancy for the remainder of the 2020–2021 term. Nominations are being accepted through **May 24, 2021** in preparation for a special election.

Registered Ballot Body (RBB) members and others interested in NERC Reliability Standards are encouraged to submit nominations for industry segment representatives on the SC. Reliability Standards are mandatory for all bulk power system owners, operators, and users in North America, and the SC's oversight role is therefore increasingly important. The SC consists of two members from each of the ten Industry Segments that make up the RBB. In addition, Appendix 3B of the Rules of Procedure contains special provisions to be used to achieve a balance of representation between the United States and Canada. The SC is elected by industry stakeholders and reports directly to the NERC Board of Trustees. The SC meets up to 12 times each year with meetings usually being held virtually. The [Standards Committee Charter](#) provides a description of the SC's responsibilities.

Anyone may submit a nomination. To be eligible for nomination, a nominee shall be an employee or agent of an entity belonging in the applicable Segment. **To allow verification of affiliation, a nominee must be a registered user in the NERC RBB.** It is not required that the nominee be the same person as the entity's RBB representative for the applicable Segment. Instructions are provided in the [Election of Members of the NERC Standards Committee Procedure](#) and nomination forms are posted on the [Standards Committee Election web page](#). To submit a nomination, complete the nomination form and submit to [Katrina Blackley](#) no later than **May 24, 2021**. Once received, nominations will be posted on the SC's Nominations and Election web page. The special election will be conducted **June 3–10, 2021**. Election results will be announced shortly after the election closes.

Quick Links

[Register in the SBS](#)
[Projected Standards Posting Schedule](#)
[Project Tracking Spreadsheet](#)
[2019–2021 Reliability Standards Development Plan](#)
[Quality Review Application](#)
[One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
[CIP Standards Efficiency Review](#)
[Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance or Enforcement news to report for this week.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

MEMBER NEWS GET READY: NERC Membership Renewal Coming Summer 2021

Beginning July 7, 2021, NERC will send electronic notices to all NERC members asking them to complete the membership renewal process. All members must complete this process to remain members of NERC. Members that do not complete the required renewal by the deadline will be removed from the NERC membership roster, although they may submit a request to re-join at any time.

This is the first membership renewal process since 2018. Since that time, the NERC Board of Trustees and FERC have approved revisions to the criteria for the NERC membership sectors. The revisions included:

- Specifying that, for **Sectors 1–9 and 12**, in addition to those entities meeting the stated criteria, not-for-profit associations that coordinate and help represent the interests of the members of the sector may also be members of the sector, unless the majority of the sector members object. Previously, consultants, vendors, agents, attorneys, and the like were permitted to join the sector if they provided services to or otherwise represented the interests of the Sector. This language has been removed.
- Refining the criteria for **Sector 9 – Small End-Use Electricity Customer** to help ensure the sector better represents the particular interests of small end-users. Members of this sector now include persons or entities such as associations, state consumer advocates, or other advocacy organizations that represent the collective interests of groups of electricity end users.

- The creation of a new **Sector 13 – Associate** to accommodate candidates for membership that do not meet the definition of another sector.

To get ready for the renewal process, NERC asks each of its members to:

- Review the revised NERC membership [criteria](#) to determine if their current membership assignment remains appropriate, or if they will need to request to be reassigned to a different sector;
- Ensure their membership contact information is up to date in the [ERO Portal](#); and
- Identify the proper primary and secondary contacts for their NERC membership registration.

Note: If a NERC member has merged with, acquired, or otherwise become affiliated with another NERC member, the member should determine which of its membership registrations beyond the single membership allowed under NERC’s rules will be maintained and which will need to be deactivated.

The Standards Registered Ballot Body is not affected by these sector changes or the renewal process described above.

Please refer to the [NERC Members](#) page for more information. This page will be updated in the coming weeks with training opportunities and Frequently Asked Questions. Any questions may be directed to [NERC Membership](#).

NEW Webinar Resources Posted

NERC has posted the [streaming webinar](#) from the May 6, 2021 Human Performance in Electric Power – Virtual Session 1.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
October 1, 2021	NEWLY ADDED FAC-008-5 – Facility Ratings
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers

	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	CIP-005-7 – Cyber Security — Electronic Security Perimeter(s) CIP-010-4 – Cyber Security — Configuration Change Management and Vulnerability Assessments CIP-013-2 – Cyber Security - Supply Chain Risk Management
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On May 17, 2021, NERC submitted to FERC its [quarterly budget variance compliance filing](#) under Docket No. FA11-21-000. This compliance filing is in accordance with FERC’s January 16, 2013 Order, which approved a settlement agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit.

On May 19, 2021, NERC submitted two filings to FERC:

- NERC filed a [compliance filing](#) in response to FERC’s Order on compliance filings for the Five-Year Performance Assessment under Docket No. RR19-7. This filing submits for FERC approval changes to Section 1003 of NERC’s Rules of Procedure.
- NERC filed a [petition](#) for approval of amendments to WECC Regional Reliability Standards Development Procedures.

NERC’s 2021 filings to FERC are available [here](#).

NEW NERC Canadian Filings

On May 18, 2021, NERC submitted to the Nova Scotia Utility and Review Board the [First Quarter 2021 Application for Approval of Reliability Standards](#). NERC’s Canadian filings for Nova Scotia are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

There are no webinars currently scheduled.

Standing Committee Meetings and Conference Calls

- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 16, 2021 | [Register](#)
- **NEW** Compliance and Certification Committee Meeting – June 9–10, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#)

Standard Drafting Team Meetings and Conference Calls

- Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Conference Call – 9:00 a.m.–12:00 p.m. Eastern, May 25, 2021 | [Register](#)
- **NEW** Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–3:30 p.m. Eastern, May 26, 2021 | [Register](#)
- **NEW** Project 2020-06 – Verifications of Models and Data for Generators SAR Drafting Team Conference Call – 12:00–3:00 p.m. Eastern, June 2, 2021 | [Register](#)

Regional Entity and Industry Events

- Texas RE CIP Workshop – 10:00 a.m.–3:00 p.m. Central, June 3, 2021 | [Register](#)
- ReliabilityFirst Operational Resilience Webinar – 11:30 a.m.–5:00 p.m. Eastern, June 8, 2021 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).