
**BEFORE THE
NOVA SCOTIA UTILITY AND REVIEW BOARD
OF THE PROVINCE OF NOVA SCOTIA**

**NORTH AMERICAN ELECTRIC)
RELIABILITY CORPORATION)**

**THIRD QUARTER 2012 APPLICATION
FOR APPROVAL OF RELIABILITY STANDARDS OF THE
NORTH AMERICAN ELECTRIC RELIABILITY CORPORATION**

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November 20, 2012

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- 2.) PDF Copies of Reliability Standards being filed for approval; and
- 3.) Updated NERC Glossary of Terms for approval

Exhibit C – Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

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The North American Electric Reliability Corporation (“NERC”) hereby submits to the Nova Scotia Utility and Review Board (“NSUARB”) an application for approval of the NERC Reliability Standards and an updated NERC Glossary of Terms approved by the United States Federal Energy Regulatory Commission (“FERC” or the “Commission”). This filing covers the time period from July 1, 2012, through September 30, 2012 and all of the standards approved by FERC during this period were errata versions of previously FERC-approved NERC Reliability Standards. NERC requests that the Reliability Standards and updated NERC Glossary of Terms be made mandatory and enforceable for users, owners, and operators of the bulk power system within the Province of Nova Scotia.

In support of this request for approval of the proposed Reliability Standards, NERC submits the following information: (1) an updated list of the currently-effective Reliability Standards as approved by FERC (*see Exhibit A*); (2) Reliability Standards approved by FERC in the third quarter of 2012 and the associated updated NERC Glossary of Terms (*see Exhibit B*); and (3) an informational summary for each

Reliability Standard approved by FERC in the third quarter of 2012, including each Standard's purpose, applicability, and ballot body approval percentages (*see Exhibit C*).

I. NOTICES AND COMMUNICATIONS

Notices and communications regarding this Application may be addressed to:

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II. REQUEST FOR APPROVAL OF RELIABILITY STANDARDS

A. Background: NERC Quarterly Filing of Proposed Reliability Standards

On July 20, 2011, NSUARB issued a decision approving the Reliability Standards and NERC Glossary of Terms that NERC submitted to NSUARB on June 30, 2010, and accepted as guidance the Violation Risk Factors (“VRF”) and Violation Severity Levels (“VSL”) associated with the currently-effective Reliability Standards.¹

¹ *In the Matter of an Application by North American Electric Reliability Corporation for Approval of its Reliability Standards, and an application by Northeast Power Coordinating Council, Inc. for Approval of its Regional Reliability Criteria*, NSUARB-NERC-R-10 (July 20, 2011) (“NSUARB Decision”).

NERC has been certified as the Electric Reliability Organization (“ERO”)² in the United States under Section 215 of the Federal Power Act.³ The Reliability Standards contained in Exhibit B have been approved as mandatory and enforceable for users, owners, and operators within the United States by FERC.⁴ Some or all of NERC’s Reliability Standards are now mandatory in the Canadian Provinces of Alberta, British Columbia, Manitoba, New Brunswick, Nova Scotia, Ontario, Québec, and Saskatchewan.

NERC entered into a Memorandum of Understanding (“MOU”) with the NSUARB⁵ and a separate MOU with Nova Scotia Power Incorporated (“NSPI”), and the Northeast Power Coordinating Council, Inc. (“NPCC”),⁶ which became effective on December 22, 2006 and May 11, 2010, respectively. The May 11, 2010 MOU sets forth the mutual understandings of NERC, NSPI, and NPCC regarding the approval and implementation of NERC Reliability Standards and NPCC Regional Reliability Criteria in Nova Scotia and other related matters.

In addition, the NSUARB Decision approved a “quarterly review” process for considering new and amended NERC standards and criteria.⁷ On September 2, 2011, NERC submitted its Second Quarter 2011 application filing to NSUARB, in which NERC committed to file a quarterly application with the NSUARB within sixty days

² Through enactment of the Energy Policy Act of 2005, the U.S. Congress entrusted FERC with the duties of approving and enforcing rules in the U.S. to ensure the reliability of the Nation’s bulk power system, and with the duties of certifying an ERO. On July 20, 2006, FERC certified NERC as the ERO, charged with developing mandatory and enforceable Reliability Standards, which are subject to FERC review and approval.

³ 16 U.S.C. § 824o(f) (2006).

⁴ Those standards marked with an asterisk are not yet effective, but have been approved by FERC.

⁵ See Memorandum of Understanding between Nova Scotia Utility and Review Board and North American Electric Reliability Corporation (signed December 22, 2006).

⁶ See Memorandum of Understanding between Nova Scotia Power Incorporated and the Northeast Power Coordinating Council, Inc. and the North American Electric Reliability Corporation (signed May 11, 2010).

⁷ NSUARB Decision at P 30.

after the end of each quarter for approval of all NERC Reliability Standards and updated Glossary of Terms approved by FERC during that quarter.

The NSUARB Decision also determined that quarterly “applications will not be processed by the Board until [FERC] has approved or remanded the standards in the United States.”⁸ Therefore, NERC is only requesting NSUARB approval for those Reliability Standards approved by FERC.

The NSUARB Decision also concluded that NSUARB approval is not required for VRFs and VSLs associated with proposed Reliability Standards.⁹ Thus, NERC does not seek formal approval of VRFs and VSLs associated with the Reliability Standards submitted in this quarterly application. However, because the NSUARB has determined that it will accept the VRFs and VSLs as guidance, NERC is providing a link to the associated FERC-approved VRFs and VSLs for the Reliability Standards for informational purposes.¹⁰

NERC has not included in this filing the full developmental record for the standards, which consists of the draft standards, comments received, responses to the comments by the drafting teams, and the full voting record, because the record for each standard may consist of several thousand pages. NERC will make the full developmental record available to the NSUARB or other interested parties upon request.

B. Overview of NERC Reliability Standards Development Process

NERC Reliability Standards define the requirements for reliably planning and operating the North American bulk power system. These standards are developed by

⁸ NSUARB Decision at P 30.

⁹ *Id.* at P 33.

¹⁰ NERC’s VRF and VSL matrices can be found at: <http://www.nerc.com/page.php?cid=2|20>. See left-hand side of webpage for downloadable documents.

industry stakeholders using a balanced, open, fair and inclusive process managed by the NERC Standards Committee. The Standards Committee is facilitated by NERC staff and comprised of representatives from ten electricity stakeholder segments. Stakeholders, through the balloting process, and the NERC Board of Trustees have approved the standards provided in **Exhibit B**.

NERC develops Reliability Standards in accordance with Section 300 (Reliability Standards Development) and Appendix 3A (Standards Processes Manual) of its Rules of Procedure.¹¹ A detailed overview of the Reliability Standards development process was provided in NERC's June 5, 2012 FERC application.¹² That overview included an explanation of the requirements in Section 300 of the NERC Rules of Procedure and the benchmarks of an excellent Reliability Standard. In addition, NERC's June 5, 2012 application explained that the Reliability Standards development process has been approved by the American National Standards Institute as being open, inclusive, balanced, and fair.¹³ The NERC Glossary of Terms used in Reliability Standards – most recently updated November 15, 2012 – lists each term that is defined for use in one or more of NERC's continent-wide or Regional Reliability Standards approved by the NERC Board of Trustees.

C. Description of Proposed Reliability Standards, Third Quarter 2012

The Reliability Standards presented in **Exhibit B** are grouped by topical area, as summarized below. All of the standards approved by FERC in the third quarter of 2012 were errata versions of previously FERC-approved NERC Reliability Standards. Errata

¹¹ NERC's Rules of Procedure are available at: <http://www.nerc.com/page.php?cid=1|8|169>.

¹² NERC June 5, 2012 Application at pp. 8-13, Docket No. RD12-4-000. Available here: http://www.nerc.com/files/Errata%20Filing_FINAL-JUNE%202012_complete.pdf.

¹³ *Id.* at pp. 13-19.

changes do not change the substance or intent of the standard and correct minor typographical errors.

Reliability Standard	Effective Date
Resource and Demand Balancing (BAL) Standards	
BAL-005-0.2b	9/13/2012
Emergency Preparedness and Operations (EOP) Standards	
EOP-001-0.1b	9/13/2012
EOP-001-2.1b	7/1/2013*
EOP-002-3.1	9/13/2012
Interconnection Reliability Operations and Coordination (IRO)	
IRO-005-3.1a	9/13/2012
Personnel Performance, Training, and Qualification (PER) Standards	
PER-001-0.2	9/13/2012
Transmission Operations (TOP) Standards	
TOP-002-2.1b	9/13/2012

* At the time of this filing, all standards marked with an asterisk are not yet effective, but have been approved by FERC and have a future mandatory effective date.

FERC accepted NERC's errata filing via unpublished letter order on September 13, 2012.

III. CONCLUSION

By this filing, NERC respectfully requests that the NSUARB approve the Reliability Standards and updated NERC Glossary of Terms Used in Reliability Standards, as set forth in **Exhibit B**.

Respectfully submitted,

/s/ Stacey Tyrewala

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Exhibit A

List of Currently Effective NERC Reliability Standards

Resource and Demand Balancing (BAL) Standards
BAL-001-0.1a
BAL-002-1
BAL-003-0.1b
BAL-004-0
BAL-004-WECC-01
BAL-005-0.2b
BAL-006-2
BAL-STD-002-0
BAL-502-RFC-02
Critical Infrastructure Protection (CIP) Standards
CIP-001-2a
CIP-002-3
CIP-003-3
CIP-004-3
CIP-005-3a
CIP-006-3c
CIP-007-3
CIP-008-3
CIP-009-3
Communications (COM) Standards
COM-001-1.1
COM-002-2
Emergency Preparedness and Operations (EOP) Standards
EOP-001-0.1b
EOP-002-3.1
EOP-003-1
EOP-004-1
EOP-005-1
EOP-006-1
EOP-008-0
EOP-009-0
Facilities Design, Connections, and Maintenance (FAC) Standards
FAC-001-0
FAC-002-1
FAC-003-1
FAC-008-1
FAC-009-1
FAC-010-2.1
FAC-011-2
FAC-013-1
FAC-014-2

FAC-501-WECC-1
Standards Interchange Scheduling and Coordination (INT)
INT-001-3
INT-003-3
INT-004-2
INT-005-3
INT-006-3
INT-007-1
INT-008-3
INT-009-1
INT-010-1
Interconnection Reliability Operations and Coordination (IRO)
IRO-001-1.1
IRO-002-2
IRO-003-2
IRO-004-2
IRO-005-3.1a
IRO-006-5
IRO-008-1
IRO-009-1
IRO-010-1a
IRO-014-1
IRO-015-1
IRO-016-1
IRO-006-EAST-1
IRO-006-WECC-1
IRO-006-TRE-1
Modeling, Data, and Analysis (MOD) Standards
MOD-001-1a
MOD-004-1
MOD-008-1
MOD-010-0
MOD-012-0
MOD-016-1.1
MOD-017-0.1
MOD-018-0
MOD-019-0.1
MOD-020-0
MOD-021-1
MOD-028-1
MOD-029-1a
MOD-030-2
Nuclear (NUC) Standards
NUC-001-2

Personnel Performance, Training, and Qualification (PER) Standards
PER-001-0.2
PER-002-0
PER-003-1
PER-004-1
PER-004-2
PER-005-1
Protection and Control (PRC) Standards
PRC-001-1
PRC-002-NPCC-01
PRC-004-2a
PRC-004-WECC-1
PRC-005-1b
PRC-007-0
PRC-008-0
PRC-009-0
PRC-010-0
PRC-011-0
PRC-015-0
PRC-016-0.1
PRC-017-0
PRC-018-1
PRC-021-1
PRC-022-1
PRC-023-1
PRC-023-2
Transmission Operations (TOP) Standards
TOP-001-1a
TOP-002-2.1b
TOP-003-1
TOP-004-2
TOP-005-2a
TOP-006-2
TOP-007-0
TOP-008-1
TOP-007-WECC-1
Transmission Planning (TPL) Standards
TPL-001-0.1
TPL-002-0b
TPL-003-0a
TPL-004-0
Voltage and Reactive (VAR) Standards
VAR-001-2
VAR-002-1.1b

3Q2012

VAR-002-WECC-1

VAR-501-WECC-1

Exhibit B

- 1.) NERC Reliability Standards Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012**
- 2.) PDF Copies of Reliability Standards being filed for approval; and**
- 3.) Updated NERC Glossary of Terms for approval**

**EXHIBIT B(1): NERC Reliability Standards Applicable to Nova Scotia,
Approved by FERC in Third Quarter 2012**

Reliability Standard	Effective Date
Resource and Demand Balancing (BAL) Standards	
BAL-005-0.2b	9/13/2012
Emergency Preparedness and Operations (EOP) Standards	
EOP-001-0.1b	9/13/2012
EOP-001-2.1b	7/1/2013*
EOP-002-3.1	9/13/2012
Interconnection Reliability Operations and Coordination (IRO)	
IRO-005-3.1a	9/13/2012
Personnel Performance, Training, and Qualification (PER) Standards	
PER-001-0.2	9/13/2012
Transmission Operations (TOP) Standards	
TOP-002-2.1b	9/13/2012

***At the time of this filing, all standards marked with an asterisk are not yet effective, but have been approved by FERC and have a future mandatory effective date.**

A. Introduction

- 1. Title:** Automatic Generation Control
- 2. Number:** BAL-005-0.2b
- 3. Purpose:** This standard establishes requirements for Balancing Authority Automatic Generation Control (AGC) necessary to calculate Area Control Error (ACE) and to routinely deploy the Regulating Reserve. The standard also ensures that all facilities and load electrically synchronized to the Interconnection are included within the metered boundary of a Balancing Area so that balancing of resources and demand can be achieved.
- 4. Applicability:**
 - 4.1.** Balancing Authorities
 - 4.2.** Generator Operators
 - 4.3.** Transmission Operators
 - 4.4.** Load Serving Entities
- 5. Effective Date:** May 13, 2009

B. Requirements

- R1.** All generation, transmission, and load operating within an Interconnection must be included within the metered boundaries of a Balancing Authority Area.
 - R1.1.** Each Generator Operator with generation facilities operating in an Interconnection shall ensure that those generation facilities are included within the metered boundaries of a Balancing Authority Area.
 - R1.2.** Each Transmission Operator with transmission facilities operating in an Interconnection shall ensure that those transmission facilities are included within the metered boundaries of a Balancing Authority Area.
 - R1.3.** Each Load-Serving Entity with load operating in an Interconnection shall ensure that those loads are included within the metered boundaries of a Balancing Authority Area.
- R2.** Each Balancing Authority shall maintain Regulating Reserve that can be controlled by AGC to meet the Control Performance Standard.
- R3.** A Balancing Authority providing Regulation Service shall ensure that adequate metering, communications, and control equipment are employed to prevent such service from becoming a Burden on the Interconnection or other Balancing Authority Areas.
- R4.** A Balancing Authority providing Regulation Service shall notify the Host Balancing Authority for whom it is controlling if it is unable to provide the service, as well as any Intermediate Balancing Authorities.
- R5.** A Balancing Authority receiving Regulation Service shall ensure that backup plans are in place to provide replacement Regulation Service should the supplying Balancing Authority no longer be able to provide this service.
- R6.** The Balancing Authority's AGC shall compare total Net Actual Interchange to total Net Scheduled Interchange plus Frequency Bias obligation to determine the Balancing Authority's ACE. Single Balancing Authorities operating asynchronously may employ alternative ACE calculations such as (but not limited to) flat frequency control. If a Balancing Authority is unable to calculate ACE for more than 30 minutes it shall notify its Reliability Coordinator.

- R7.** The Balancing Authority shall operate AGC continuously unless such operation adversely impacts the reliability of the Interconnection. If AGC has become inoperative, the Balancing Authority shall use manual control to adjust generation to maintain the Net Scheduled Interchange.
- R8.** The Balancing Authority shall ensure that data acquisition for and calculation of ACE occur at least every six seconds.
- R8.1.** Each Balancing Authority shall provide redundant and independent frequency metering equipment that shall automatically activate upon detection of failure of the primary source. This overall installation shall provide a minimum availability of 99.95%.
- R9.** The Balancing Authority shall include all Interchange Schedules with Adjacent Balancing Authorities in the calculation of Net Scheduled Interchange for the ACE equation.
- R9.1.** Balancing Authorities with a high voltage direct current (HVDC) link to another Balancing Authority connected asynchronously to their Interconnection may choose to omit the Interchange Schedule related to the HVDC link from the ACE equation if it is modeled as internal generation or load.
- R10.** The Balancing Authority shall include all Dynamic Schedules in the calculation of Net Scheduled Interchange for the ACE equation.
- R11.** Balancing Authorities shall include the effect of ramp rates, which shall be identical and agreed to between affected Balancing Authorities, in the Scheduled Interchange values to calculate ACE.
- R12.** Each Balancing Authority shall include all Tie Line flows with Adjacent Balancing Authority Areas in the ACE calculation.
- R12.1.** Balancing Authorities that share a tie shall ensure Tie Line MW metering is telemetered to both control centers, and emanates from a common, agreed-upon source using common primary metering equipment. Balancing Authorities shall ensure that megawatt-hour data is telemetered or reported at the end of each hour.
- R12.2.** Balancing Authorities shall ensure the power flow and ACE signals that are utilized for calculating Balancing Authority performance or that are transmitted for Regulation Service are not filtered prior to transmission, except for the Anti-aliasing Filters of Tie Lines.
- R12.3.** Balancing Authorities shall install common metering equipment where Dynamic Schedules or Pseudo-Ties are implemented between two or more Balancing Authorities to deliver the output of Jointly Owned Units or to serve remote load.
- R13.** Each Balancing Authority shall perform hourly error checks using Tie Line megawatt-hour meters with common time synchronization to determine the accuracy of its control equipment. The Balancing Authority shall adjust the component (e.g., Tie Line meter) of ACE that is in error (if known) or use the interchange meter error (I_{ME}) term of the ACE equation to compensate for any equipment error until repairs can be made.
- R14.** The Balancing Authority shall provide its operating personnel with sufficient instrumentation and data recording equipment to facilitate monitoring of control performance, generation response, and after-the-fact analysis of area performance. As a minimum, the Balancing Authority shall provide its operating personnel with real-time values for ACE, Interconnection frequency and Net Actual Interchange with each Adjacent Balancing Authority Area.
- R15.** The Balancing Authority shall provide adequate and reliable backup power supplies and shall periodically test these supplies at the Balancing Authority's control center and other critical

locations to ensure continuous operation of AGC and vital data recording equipment during loss of the normal power supply.

- R16.** The Balancing Authority shall sample data at least at the same periodicity with which ACE is calculated. The Balancing Authority shall flag missing or bad data for operator display and archival purposes. The Balancing Authority shall collect coincident data to the greatest practical extent, i.e., ACE, Interconnection frequency, Net Actual Interchange, and other data shall all be sampled at the same time.
- R17.** Each Balancing Authority shall at least annually check and calibrate its time error and frequency devices against a common reference. The Balancing Authority shall adhere to the minimum values for measuring devices as listed below:

Device	Accuracy
Digital frequency transducer	≤ 0.001 Hz
MW, MVAR, and voltage transducer	≤ 0.25 % of full scale
Remote terminal unit	≤ 0.25 % of full scale
Potential transformer	≤ 0.30 % of full scale
Current transformer	≤ 0.50 % of full scale

C. Measures

Not specified.

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Balancing Authorities shall be prepared to supply data to NERC in the format defined below:

- 1.1.1.** Within one week upon request, Balancing Authorities shall provide NERC or the Regional Reliability Organization CPS source data in daily CSV files with time stamped one minute averages of: 1) ACE and 2) Frequency Error.
- 1.1.2.** Within one week upon request, Balancing Authorities shall provide NERC or the Regional Reliability Organization DCS source data in CSV files with time stamped scan rate values for: 1) ACE and 2) Frequency Error for a time period of two minutes prior to thirty minutes after the identified Disturbance.

1.2. Compliance Monitoring Period and Reset Timeframe

Not specified.

1.3. Data Retention

- 1.3.1.** Each Balancing Authority shall retain its ACE, actual frequency, Scheduled Frequency, Net Actual Interchange, Net Scheduled Interchange, Tie Line meter error correction and Frequency Bias Setting data in digital format at the same scan rate at which the data is collected for at least one year.
- 1.3.2.** Each Balancing Authority or Reserve Sharing Group shall retain documentation of the magnitude of each Reportable Disturbance as well as the ACE charts and/or samples used to calculate Balancing Authority or

Standard BAL-005-0.2b — Automatic Generation Control

Reserve Sharing Group disturbance recovery values. The data shall be retained for one year following the reporting quarter for which the data was recorded.

1.4. Additional Compliance Information

Not specified.

2. Levels of Non-Compliance

Not specified.

E. Regional Differences

None identified.

F. Associated Documents

1. Appendix 1 — Interpretation of Requirement R17 (February 12, 2008).

Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by NERC Board of Trustees	New
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
0a	December 19, 2007	Added Appendix 1 – Interpretation of R17 approved by BOT on May 2, 2007	Addition
0a	January 16, 2008	Section F: added “1.”; changed hyphen to “en dash.” Changed font style for “Appendix 1” to Arial	Errata
0b	February 12, 2008	Replaced Appendix 1 – Interpretation of R17 approved by BOT on February 12, 2008 (BOT approved retirement of Interpretation included in BAL-005-0a)	Replacement
0.1b	October 29, 2008	BOT approved errata changes; updated version number to “0.1b”	Errata
0.1b	May 13, 2009	FERC approved – Updated Effective Date	Addition
0.2b	March 8, 2012	Errata adopted by Standards Committee; (replaced Appendix 1 with the FERC-approved revised interpretation of R17 and corrected standard version referenced in Interpretation by changing from “BAL-005-1” to “BAL-005-0)	Errata
0.2b	September 13, 2012	FERC approved – Updated Effective Date	Addition

Appendix 1

Effective Date: August 27, 2008 (U.S.)

Interpretation of BAL-005-0 Automatic Generation Control, R17

Request for Clarification received from PGE on July 31, 2007

PGE requests clarification regarding the measuring devices for which the requirement applies, specifically clarification if the requirement applies to the following measuring devices:

- *Only equipment within the operations control room*
- *Only equipment that provides values used to calculate AGC ACE*
- *Only equipment that provides values to its SCADA system*
- *Only equipment owned or operated by the BA*
- *Only to new or replacement equipment*
- *To all equipment that a BA owns or operates*

BAL-005-0

R17. Each Balancing Authority shall at least annually check and calibrate its time error and frequency devices against a common reference. The Balancing Authority shall adhere to the minimum values for measuring devices as listed below:

Device	Accuracy
Digital frequency transducer	≤ 0.001 Hz
MW, MVAR, and voltage transducer	$\leq 0.25\%$ of full scale
Remote terminal unit	$\leq 0.25\%$ of full scale
Potential transformer	$\leq 0.30\%$ of full scale
Current transformer	$\leq 0.50\%$ of full scale

Existing Interpretation Approved by Board of Trustees May 2, 2007

BAL-005-0, Requirement 17 requires that the Balancing Authority check and calibrate its control room time error and frequency devices against a common reference at least annually. The requirement to “annually check and calibrate” does not address any devices outside of the operations control room.

The table represents the design accuracy of the listed devices. There is no requirement within the standard to “annually check and calibrate” the devices listed in the table, unless they are included in the control center time error and frequency devices.

Interpretation provided by NERC Frequency Task Force on September 7, 2007 and Revised on November 16, 2007

As noted in the existing interpretation, BAL-005-0 Requirement 17 applies only to the time error and frequency devices that provide, or in the case of back-up equipment may provide, input into the reporting or compliance ACE equation or provide real-time time error or frequency information to the system operator. Frequency inputs from other sources that are for reference only are excluded. The time error and frequency measurement devices may not necessarily be located in the system operations control room or owned by the Balancing Authority; however the Balancing Authority has the responsibility for the

Standard BAL-005-0.2b — Automatic Generation Control

accuracy of the frequency and time error measurement devices. No other devices are included in R 17. The other devices listed in the table at the end of R17 are for reference only and do not have any mandatory calibration or accuracy requirements.

New or replacement equipment that provides the same functions noted above requires the same calibrations. Some devices used for time error and frequency measurement cannot be calibrated as such. In this case, these devices should be cross-checked against other properly calibrated equipment and replaced if the devices do not meet the required level of accuracy.

A. Introduction

- 1. Title:** **Emergency Operations Planning**
- 2. Number:** EOP-001-0.1b
- 3. Purpose:** Each Transmission Operator and Balancing Authority needs to develop, maintain, and implement a set of plans to mitigate operating emergencies. These plans need to be coordinated with other Transmission Operators and Balancing Authorities, and the Reliability Coordinator.
- 4. Applicability**
 - 4.1.** Balancing Authorities.
 - 4.2.** Transmission Operators.
- 5. Effective Date:** April 1, 2005

B. Requirements

- R1.** Balancing Authorities shall have operating agreements with adjacent Balancing Authorities that shall, at a minimum, contain provisions for emergency assistance, including provisions to obtain emergency assistance from remote Balancing Authorities.
- R2.** The Transmission Operator shall have an emergency load reduction plan for all identified IROLs. The plan shall include the details on how the Transmission Operator will implement load reduction in sufficient amount and time to mitigate the IROL violation before system separation or collapse would occur. The load reduction plan must be capable of being implemented within 30 minutes.
- R3.** Each Transmission Operator and Balancing Authority shall:
 - R3.1.** Develop, maintain, and implement a set of plans to mitigate operating emergencies for insufficient generating capacity.
 - R3.2.** Develop, maintain, and implement a set of plans to mitigate operating emergencies on the transmission system.
 - R3.3.** Develop, maintain, and implement a set of plans for load shedding.
 - R3.4.** Develop, maintain, and implement a set of plans for system restoration.
- R4.** Each Transmission Operator and Balancing Authority shall have emergency plans that will enable it to mitigate operating emergencies. At a minimum, Transmission Operator and Balancing Authority emergency plans shall include:
 - R4.1.** Communications protocols to be used during emergencies.
 - R4.2.** A list of controlling actions to resolve the emergency. Load reduction, in sufficient quantity to resolve the emergency within NERC-established timelines, shall be one of the controlling actions.
 - R4.3.** The tasks to be coordinated with and among adjacent Transmission Operators and Balancing Authorities.
 - R4.4.** Staffing levels for the emergency.

- R5.** Each Transmission Operator and Balancing Authority shall include the applicable elements in Attachment 1-EOP-001 when developing an emergency plan.
- R6.** The Transmission Operator and Balancing Authority shall annually review and update each emergency plan. The Transmission Operator and Balancing Authority shall provide a copy of its updated emergency plans to its Reliability Coordinator and to neighboring Transmission Operators and Balancing Authorities.
- R7.** The Transmission Operator and Balancing Authority shall coordinate its emergency plans with other Transmission Operators and Balancing Authorities as appropriate. This coordination includes the following steps, as applicable:
 - R7.1.** The Transmission Operator and Balancing Authority shall establish and maintain reliable communications between interconnected systems.
 - R7.2.** The Transmission Operator and Balancing Authority shall arrange new interchange agreements to provide for emergency capacity or energy transfers if existing agreements cannot be used.
 - R7.3.** The Transmission Operator and Balancing Authority shall coordinate transmission and generator maintenance schedules to maximize capacity or conserve the fuel in short supply. (This includes water for hydro generators.)
 - R7.4.** The Transmission Operator and Balancing Authority shall arrange deliveries of electrical energy or fuel from remote systems through normal operating channels.

C. Measures

- M1.** The Transmission Operator and Balancing Authority shall have its emergency plans available for review by the Regional Reliability Organization at all times.
- M2.** The Transmission Operator and Balancing Authority shall have its two most recent annual self-assessments available for review by the Regional Reliability Organization at all times.

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Regional Reliability Organization.

1.2. Compliance Monitoring Period and Reset Timeframes

The Regional Reliability Organization shall review and evaluate emergency plans every three years to ensure that the plans consider the applicable elements of Attachment 1-EOP-001.

The Regional Reliability Organization may elect to request self-certification of the Transmission Operator and Balancing Authority in years that the full review is not done.

Reset: one calendar year.

1.3. Data Retention

Current plan available at all times.

Additional Compliance Information

Not specified.

2. Levels of Non-Compliance

- 2.1. Level 1:** One of the applicable elements of Attachment 1-EOP-001 has not been addressed in the emergency plans.
- 2.2. Level 2:** Two of the applicable elements of Attachment 1-EOP-001 have not been addressed in the emergency plans.
- 2.3. Level 3:** Three of the applicable elements of Attachment 1-EOP-001 have not been addressed in the emergency plans.
- 2.4. Level 4:** Four or more of the applicable elements of Attachment 1-EOP-001 have not been addressed in the emergency plans or a plan does not exist.

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by the Board of Trustees	New
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
0b	November 4, 2010	Adopted by the Board of Trustees	Project 2008-09 - Interpretation of Requirement R1
0b	November 4, 2010	Adopted by the Board of Trustees	Project 2009-28 - Interpretation of Requirement R2.2 (R3.2 in EOP-001-0)
0b	December 15, 2011	FERC Order issued approving Interpretation of R1 and R3.2 (Order effective December 15, 2011)	Project 2008-09 - Interpretation of Requirement R1 and Project 2009-28 - Interpretation of Requirement R2.2 (R3.2 in EOP-001-0)
0.1b	March 8, 2012	Errata adopted by Standards Committee; (changed title and references to Attachment 1 to omit inclusion of version numbers and corrected reference in Appendix 2 from “R2.2” to “R3.2”)	Errata
0.1b	September 13, 2012	FERC approved	Errata

Attachment 1-EOP-001

Elements for Consideration in Development of Emergency Plans

1. Fuel supply and inventory — An adequate fuel supply and inventory plan that recognizes reasonable delays or problems in the delivery or production of fuel.
2. Fuel switching — Fuel switching plans for units for which fuel supply shortages may occur, e.g., gas and light oil.
3. Environmental constraints — Plans to seek removal of environmental constraints for generating units and plants.
4. System energy use — The reduction of the system's own energy use to a minimum.
5. Public appeals — Appeals to the public through all media for voluntary load reductions and energy conservation including educational messages on how to accomplish such load reduction and conservation.
6. Load management — Implementation of load management and voltage reductions, if appropriate.
7. Optimize fuel supply — The operation of all generating sources to optimize the availability.
8. Appeals to customers to use alternate fuels — In a fuel emergency, appeals to large industrial and commercial customers to reduce non-essential energy use and maximize the use of customer-owned generation that rely on fuels other than the one in short supply.
9. Interruptible and curtailable loads — Use of interruptible and curtailable customer load to reduce capacity requirements or to conserve the fuel in short supply.
10. Maximizing generator output and availability — The operation of all generating sources to maximize output and availability. This should include plans to winterize units and plants during extreme cold weather.
11. Notifying IPPs — Notification of cogeneration and independent power producers to maximize output and availability.
12. Requests of government — Requests to appropriate government agencies to implement programs to achieve necessary energy reductions.
13. Load curtailment — A mandatory load curtailment plan to use as a last resort. This plan should address the needs of critical loads essential to the health, safety, and welfare of the community. Address firm load curtailment.
14. Notification of government agencies — Notification of appropriate government agencies as the various steps of the emergency plan are implemented.
15. Notifications to operating entities — Notifications to other operating entities as steps in emergency plan are implemented.

Appendix 1

Requirement Number and Text of Requirement	
R1.	Balancing Authorities shall have operating agreements with adjacent Balancing Authorities that shall, at a minimum, contain provisions for emergency assistance, including provisions to obtain emergency assistance from remote Balancing Authorities.
Questions:	
1.	What is the definition of emergency assistance in the context of this standard? What scope and time horizons, if any, are considered necessary in this definition?
2.	What was intended by using the adjective “adjacent” in Requirement 1? Does “adjacent Balancing Authorities” mean “All” or something else? Is there qualifying criteria to determine if a very small adjacent Balancing Authority area has enough capacity to offer emergency assistance?
3.	What is the definition of the word “remote” as stated in the last phrase of Requirement 1? Does remote mean every Balancing Authority who’s area does not physically touch the Balancing Authority attempting to comply with this Requirement?
4.	Would a Balancing Authority that participates in a Reserve Sharing Group Agreement, which meets the requirements of Reliability Standard BAL-002-0, Requirement 2, have to establish additional operating agreements to achieve compliance with Reliability Standard EOP-001-0, Requirement 1?
Responses:	
1.	In the context of this standard, emergency assistance is emergency energy. Emergency energy would normally be arranged for during the current operating day. The agreement should describe the conditions under which the emergency energy will be delivered to the responsible Balancing Authority.
2.	The intent is that all Balancing Authorities, interconnected by AC ties or DC (asynchronous) ties within the same Interconnection, have emergency energy assistance agreements with at least one Adjacent Balancing Authority and have sufficient emergency energy assistance agreements to mitigate reasonably anticipated energy emergencies. However, the standard does not require emergency energy assistance agreements with all Adjacent Balancing Authorities, nor does it preclude having an emergency assistance agreement across Interconnections.
3.	A remote Balancing Authority is a Balancing Authority other than an Adjacent Balancing Authority. A Balancing Authority is not required to have arrangements in place to obtain emergency energy assistance with any remote Balancing Authorities. A Balancing Authority’s agreement(s) with Adjacent Balancing Authorities does (do) not preclude the Adjacent Balancing Authority from purchasing emergency energy from remote Balancing Authorities.
4.	A Reserve Sharing Group agreement that contains provisions for emergency assistance may be used to meet Requirement R1 of EOP-001-0.

Appendix 2

Requirement Number and Text of Requirement
R3.2. Develop, maintain, and implement a set of plans to mitigate operating emergencies on the transmission system.
Questions:
Does the BA need to develop a plan to maintain a load-interchange-generation balance during operating emergencies and follow the directives of the TOP?
Questions:
The answer to both parts of the question is yes. The Balancing Authority is required by the standard to develop, maintain, and implement a plan. The plan must consider the relationships and coordination with the Transmission Operator for actions directly taken by the Balancing Authority. The Balancing Authority must take actions either as directed by the Transmission Operator or the Reliability Coordinator (reference TOP-001-1, Requirement R3), or as previously agreed to with the Transmission Operator or the Reliability Coordinator to mitigate transmission emergencies. As stated in Requirement R4, the emergency plan shall include the applicable elements in “Attachment 1 –EOP-001.”

A. Introduction

1. **Title:** **Emergency Operations Planning**
2. **Number:** EOP-001-2.1b
3. **Purpose:** Each Transmission Operator and Balancing Authority needs to develop, maintain, and implement a set of plans to mitigate operating emergencies. These plans need to be coordinated with other Transmission Operators and Balancing Authorities, and the Reliability Coordinator.
4. **Applicability**
 - 4.1. Balancing Authorities.
 - 4.2. Transmission Operators.
5. **Proposed Effective Date:** Twenty-four months after the first day of the first calendar quarter following applicable regulatory approval. In those jurisdictions where no regulatory approval is required, all requirements go into effect twenty-four months after Board of Trustees adoption.

B. Requirements

- R1. Balancing Authorities shall have operating agreements with adjacent Balancing Authorities that shall, at a minimum, contain provisions for emergency assistance, including provisions to obtain emergency assistance from remote Balancing Authorities.
- R2. Each Transmission Operator and Balancing Authority shall:
 - R2.1. Develop, maintain, and implement a set of plans to mitigate operating emergencies for insufficient generating capacity.
 - R2.2. Develop, maintain, and implement a set of plans to mitigate operating emergencies on the transmission system.
 - R2.3. Develop, maintain, and implement a set of plans for load shedding.
- R3. Each Transmission Operator and Balancing Authority shall have emergency plans that will enable it to mitigate operating emergencies. At a minimum, Transmission Operator and Balancing Authority emergency plans shall include:
 - R3.1. Communications protocols to be used during emergencies.
 - R3.2. A list of controlling actions to resolve the emergency. Load reduction, in sufficient quantity to resolve the emergency within NERC-established timelines, shall be one of the controlling actions.
 - R3.3. The tasks to be coordinated with and among adjacent Transmission Operators and Balancing Authorities.
 - R3.4. Staffing levels for the emergency.
- R4. Each Transmission Operator and Balancing Authority shall include the applicable elements in Attachment 1-EOP-001 when developing an emergency plan.
- R5. The Transmission Operator and Balancing Authority shall annually review and update each emergency plan. The Transmission Operator and Balancing Authority shall provide a copy of its updated emergency plans to its Reliability Coordinator and to neighboring Transmission Operators and Balancing Authorities.

R6. The Transmission Operator and Balancing Authority shall coordinate its emergency plans with other Transmission Operators and Balancing Authorities as appropriate. This coordination includes the following steps, as applicable:

R6.1. The Transmission Operator and Balancing Authority shall establish and maintain reliable communications between interconnected systems.

R6.2. The Transmission Operator and Balancing Authority shall arrange new interchange agreements to provide for emergency capacity or energy transfers if existing agreements cannot be used.

R6.3. The Transmission Operator and Balancing Authority shall coordinate transmission and generator maintenance schedules to maximize capacity or conserve the fuel in short supply. (This includes water for hydro generators.)

R6.4. The Transmission Operator and Balancing Authority shall arrange deliveries of electrical energy or fuel from remote systems through normal operating channels.

C. Measures

M1. The Transmission Operator and Balancing Authority shall have its emergency plans available for review by the Regional Reliability Organization at all times.

M2. The Transmission Operator and Balancing Authority shall have its two most recent annual self-assessments available for review by the Regional Reliability Organization at all times.

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Regional Reliability Organization.

1.2. Compliance Monitoring Period and Reset Time Frame

The Regional Reliability Organization shall review and evaluate emergency plans every three years to ensure that the plans consider the applicable elements of Attachment 1-EOP-001.

The Regional Reliability Organization may elect to request self-certification of the Transmission Operator and Balancing Authority in years that the full review is not done.

Reset: one calendar year.

1.3. Data Retention

Current plan available at all times.

1.4. Additional Compliance Information

Not specified.

2. Violation Severity Levels:

Requirement	Lower	Moderate	High	Severe
R1	The Balancing Authority failed to demonstrate the existence of the necessary operating agreements for less than 25% of the adjacent BAs. Or less than 25% of those agreements do not contain provisions for emergency assistance.	The Balancing Authority failed to demonstrate the existence of the necessary operating agreements for 25% to 50% of the adjacent BAs. Or 25 to 50% of those agreements do not contain provisions for emergency assistance.	The Balancing Authority failed to demonstrate the existence of the necessary operating agreements for 50% to 75% of the adjacent BAs. Or 50% to 75% of those agreements do not contain provisions for emergency assistance.	The Balancing Authority failed to demonstrate the existence of the necessary operating agreements for 75% or more of the adjacent BAs. Or more than 75% of those agreements do not contain provisions for emergency assistance.
R2	The Transmission Operator or Balancing Authority failed to comply with one (1) of the sub-components.	The Transmission Operator or Balancing Authority failed to comply with two (2) of the sub-components.	N/A	The Transmission Operator or Balancing Authority has failed to comply with three (3) of the sub-components.
R2.1	The Transmission Operator or Balancing Authority's emergency plans to mitigate insufficient generating capacity are missing minor details or minor program/procedural elements.	The Transmission Operator or Balancing Authority's has demonstrated the existence of emergency plans to mitigate insufficient generating capacity emergency plans but the plans are not maintained.	The Transmission Operator or Balancing Authority's emergency plans to mitigate insufficient generating capacity emergency plans are neither maintained nor implemented.	The Transmission Operator or Balancing Authority has failed to develop emergency mitigation plans for insufficient generating capacity.
R2.2	The Transmission Operator or Balancing Authority's plans to mitigate transmission system emergencies are missing minor details or minor program/procedural elements.	The Transmission Operator or Balancing Authority's has demonstrated the existence of transmission system emergency plans but are not maintained.	The Transmission Operator or Balancing Authority's transmission system emergency plans are neither maintained nor implemented.	The Transmission Operator or Balancing Authority has failed to develop, maintain, and implement operating emergency mitigation plans for emergencies on the transmission system.

Requirement	Lower	Moderate	High	Severe
R2.3	The Transmission Operator or Balancing Authority's load shedding plans are missing minor details or minor program/procedural elements.	The Transmission Operator or Balancing Authority's has demonstrated the existence of load shedding plans but are not maintained.	The Transmission Operator or Balancing Authority's load shedding plans are partially compliant with the requirement but are neither maintained nor implemented.	The Transmission Operator or Balancing Authority has failed to develop, maintain, and implement load shedding plans.
R3	The Transmission Operator or Balancing Authority failed to comply with one (1) of the sub-components.	The Transmission Operator or Balancing Authority failed to comply with two (2) of the sub-components.	The Transmission Operator or Balancing Authority has failed to comply with three (3) of the sub-components.	The Transmission Operator or Balancing Authority has failed to comply with all four (4) of the sub-components.
R3.1	The Transmission Operator or Balancing Authority's communication protocols included in the emergency plan are missing minor program/procedural elements.	N/A	N/A	The Transmission Operator or Balancing Authority has failed to include communication protocols in its emergency plans to mitigate operating emergencies.
R3.2	The Transmission Operator or Balancing Authority's list of controlling actions has resulted in meeting the intent of the requirement but is missing minor program/procedural elements.	N/A	The Transmission Operator or Balancing Authority provided a list of controlling actions, however the actions fail to resolve the emergency within NERC-established timelines.	The Transmission Operator or Balancing Authority has failed to provide a list of controlling actions to resolve the emergency.

Requirement	Lower	Moderate	High	Severe
R3.3	The Transmission Operator or Balancing Authority has demonstrated coordination with Transmission Operators and Balancing Authorities but is missing minor program/procedural elements.	N/A	N/A	The Transmission Operator or Balancing Authority has failed to demonstrate the tasks to be coordinated with adjacent Transmission Operator and Balancing Authorities as directed by the requirement.
R3.4	The Transmission Operator or Balancing Authority's emergency plan does not include staffing levels for the emergency	N/A	N/A	N/A
R4	The Transmission Operator and Balancing Authority's emergency plan has complied with 90% or more of the number of sub-components.	The Transmission Operator and Balancing Authority's emergency plan has complied with 70% to 90% of the number of sub-components.	The Transmission Operator and Balancing Authority's emergency plan has complied with between 50% to 70% of the number of sub-components.	The Transmission Operator and Balancing Authority's emergency plan has complied with 50% or less of the number of sub-components
R5	The Transmission Operator and Balancing Authority is missing minor program/procedural elements.	The Transmission Operator and Balancing Authority has failed to annually review one of it's emergency plans	The Transmission Operator and Balancing Authority has failed to annually review two of its emergency plans or communicate with one of it's neighboring Balancing Authorities.	The Transmission Operator and Balancing Authority has failed to annually review and/or communicate any emergency plans with its Reliability Coordinator, neighboring Transmission Operators or Balancing Authorities.
R6	The Transmission Operator and/or the Balancing Authority failed to comply with one (1) of the sub-components.	The Transmission Operator and/or the Balancing Authority failed to comply with two (2) of the sub-components.	The Transmission Operator and/or the Balancing Authority has failed to comply with three (3) of the sub-components.	The Transmission Operator and/or the Balancing Authority has failed to comply with four (4) or more of the sub-components.

Standard EOP-001-2.1b — Emergency Operations Planning

Requirement	Lower	Moderate	High	Severe
R6.1	The Transmission Operator or Balancing Authority has failed to establish and maintain reliable communication between interconnected systems.	N/A	N/A	N/A
R6.2	The Transmission Operator or Balancing Authority has failed to arrange new interchange agreements to provide for emergency capacity or energy transfers with required entities when existing agreements could not be used.	N/A	N/A	N/A
R6.3	The Transmission Operator or Balancing Authority has failed to coordinate transmission and generator maintenance schedules to maximize capacity or conserve fuel in short supply.	N/A	N/A	N/A
R6.4	The Transmission Operator or Balancing Authority has failed to arrange for deliveries of electrical energy or fuel from remote systems through normal operating channels.	N/A	N/A	N/A

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by the Board of Trustees	New
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
1	October 17, 2008	Deleted R2 Replaced Levels of Non-compliance with the February 28, 2008 BOT approved Violation Severity Levels Corrected typographical errors in BOT approved version of VSLs	Revised IROL Project
2	August 5, 2009	Removed R2.4 as redundant with EOP-005-2 Requirement R1 for the Transmission Operator; the Balancing Authority does not need a restoration plan.	Revised Project 2006-03
2	August 5, 2009	Adopted by NERC Board of Trustees: August 5, 2009	Revised
2	March 17, 2011	FERC Order issued approving EOP-001-2 (Clarification issued on July 13, 2011)	Revised
2b	November 4, 2010	Adopted by NERC Board of Trustees	Project 2008-09 - Interpretation of Requirement R1
2b	November 4, 2010	Adopted by NERC Board of Trustees	Project 2009-28 - Interpretation of Requirement R2.2
2b	December 15, 2011	FERC Order issued approving Interpretation of R1 and R2.2 (Order effective December 15, 2011)	Project 2008-09 - Interpretation of Requirement R1 and Project 2009-28 - Interpretation of Requirement R2.2
2.1b	March 8, 2012	Errata adopted by Standards Committee; (changed title and references to Attachment 1 to omit inclusion of version numbers and corrected references in Appendix 1 Question 4 from “EOP-001-0” to “EOP-001-2”)	Errata

Standard EOP-001-2.1b — Emergency Operations Planning

2.1b	September 13, 2012	FERC approved	Errata
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Attachment 1-EOP-001

Elements for Consideration in Development of Emergency Plans

1. Fuel supply and inventory — An adequate fuel supply and inventory plan that recognizes reasonable delays or problems in the delivery or production of fuel.
2. Fuel switching — Fuel switching plans for units for which fuel supply shortages may occur, e.g., gas and light oil.
3. Environmental constraints — Plans to seek removal of environmental constraints for generating units and plants.
4. System energy use — The reduction of the system's own energy use to a minimum.
5. Public appeals — Appeals to the public through all media for voluntary load reductions and energy conservation including educational messages on how to accomplish such load reduction and conservation.
6. Load management — Implementation of load management and voltage reductions, if appropriate.
7. Optimize fuel supply — The operation of all generating sources to optimize the availability.
8. Appeals to customers to use alternate fuels — In a fuel emergency, appeals to large industrial and commercial customers to reduce non-essential energy use and maximize the use of customer-owned generation that rely on fuels other than the one in short supply.
9. Interruptible and curtailable loads — Use of interruptible and curtailable customer load to reduce capacity requirements or to conserve the fuel in short supply.
10. Maximizing generator output and availability — The operation of all generating sources to maximize output and availability. This should include plans to winterize units and plants during extreme cold weather.
11. Notifying IPPs — Notification of cogeneration and independent power producers to maximize output and availability.
12. Requests of government — Requests to appropriate government agencies to implement programs to achieve necessary energy reductions.
13. Load curtailment — A mandatory load curtailment plan to use as a last resort. This plan should address the needs of critical loads essential to the health, safety, and welfare of the community. Address firm load curtailment.
14. Notification of government agencies — Notification of appropriate government agencies as the various steps of the emergency plan are implemented.
15. Notifications to operating entities — Notifications to other operating entities as steps in emergency plan are implemented.

Appendix 1

Requirement Number and Text of Requirement
<p>R1. Balancing Authorities shall have operating agreements with adjacent Balancing Authorities that shall, at a minimum, contain provisions for emergency assistance, including provisions to obtain emergency assistance from remote Balancing Authorities.</p>
Questions:
<ol style="list-style-type: none"> 1. What is the definition of emergency assistance in the context of this standard? What scope and time horizons, if any, are considered necessary in this definition? 2. What was intended by using the adjective “adjacent” in Requirement 1? Does “adjacent Balancing Authorities” mean “All” or something else? Is there qualifying criteria to determine if a very small adjacent Balancing Authority area has enough capacity to offer emergency assistance? 3. What is the definition of the word “remote” as stated in the last phrase of Requirement 1? Does remote mean every Balancing Authority who’s area does not physically touch the Balancing Authority attempting to comply with this Requirement? 4. Would a Balancing Authority that participates in a Reserve Sharing Group Agreement, which meets the requirements of Reliability Standard BAL-002-0, Requirement 2, have to establish additional operating agreements to achieve compliance with Reliability Standard EOP-001-2, Requirement 1?
Responses:
<ol style="list-style-type: none"> 1. In the context of this standard, emergency assistance is emergency energy. Emergency energy would normally be arranged for during the current operating day. The agreement should describe the conditions under which the emergency energy will be delivered to the responsible Balancing Authority. 2. The intent is that all Balancing Authorities, interconnected by AC ties or DC (asynchronous) ties within the same Interconnection, have emergency energy assistance agreements with at least one Adjacent Balancing Authority and have sufficient emergency energy assistance agreements to mitigate reasonably anticipated energy emergencies. However, the standard does not require emergency energy assistance agreements with all Adjacent Balancing Authorities, nor does it preclude having an emergency assistance agreement across Interconnections. 3. A remote Balancing Authority is a Balancing Authority other than an Adjacent Balancing Authority. A Balancing Authority is not required to have arrangements in place to obtain emergency energy assistance with any remote Balancing Authorities. A Balancing Authority’s agreement(s) with Adjacent Balancing Authorities does (do) not preclude the Adjacent Balancing Authority from purchasing emergency energy from remote Balancing Authorities. 4. A Reserve Sharing Group agreement that contains provisions for emergency assistance may be used to meet Requirement R1 of EOP-001-2.

Appendix 2

Requirement Number and Text of Requirement
R2.2. Develop, maintain, and implement a set of plans to mitigate operating emergencies on the transmission system.
Questions:
Does the BA need to develop a plan to maintain a load-interchange-generation balance during operating emergencies and follow the directives of the TOP?
Questions:
The answer to both parts of the question is yes. The Balancing Authority is required by the standard to develop, maintain, and implement a plan. The plan must consider the relationships and coordination with the Transmission Operator for actions directly taken by the Balancing Authority. The Balancing Authority must take actions either as directed by the Transmission Operator or the Reliability Coordinator (reference TOP-001-1, Requirement R3), or as previously agreed to with the Transmission Operator or the Reliability Coordinator to mitigate transmission emergencies. As stated in Requirement R4, the emergency plan shall include the applicable elements in “Attachment 1 –EOP-001.”

Standard EOP-002-3.1 — Capacity and Energy Emergencies

A. Introduction

1. **Title:** Capacity and Energy Emergencies
2. **Number:** EOP-002-3.1
3. **Purpose:** To ensure Reliability Coordinators and Balancing Authorities are prepared for capacity and energy emergencies.
4. **Applicability**
 - 4.1. Balancing Authorities.
 - 4.2. Reliability Coordinators.
 - 4.3. Load-Serving Entities.
5. **(Proposed) Effective Date:** First day of the first calendar quarter six months following applicable regulatory approval; or, in those jurisdictions where no regulatory approval is required, the first day of the first calendar quarter six months following Board of Trustees adoption.

B. Requirements

- R1. Each Balancing Authority and Reliability Coordinator shall have the responsibility and clear decision-making authority to take whatever actions are needed to ensure the reliability of its respective area and shall exercise specific authority to alleviate capacity and energy emergencies.
- R2. Each Balancing Authority shall, when required and as appropriate, take one or more actions as described in its capacity and energy emergency plan to reduce risks to the interconnected system.
- R3. A Balancing Authority that is experiencing an operating capacity or energy emergency shall communicate its current and future system conditions to its Reliability Coordinator and neighboring Balancing Authorities.
- R4. A Balancing Authority anticipating an operating capacity or energy emergency shall perform all actions necessary including bringing on all available generation, postponing equipment maintenance, scheduling interchange purchases in advance, and being prepared to reduce firm load.
- R5. A deficient Balancing Authority shall only use the assistance provided by the Interconnection's frequency bias for the time needed to implement corrective actions. The Balancing Authority shall not unilaterally adjust generation in an attempt to return Interconnection frequency to normal beyond that supplied through frequency bias action and Interchange Schedule changes. Such unilateral adjustment may overload transmission facilities.
- R6. If the Balancing Authority cannot comply with the Control Performance and Disturbance Control Standards, then it shall immediately implement remedies to do so. These remedies include, but are not limited to:
 - R6.1. Loading all available generating capacity.
 - R6.2. Deploying all available operating reserve.
 - R6.3. Interrupting interruptible load and exports.
 - R6.4. Requesting emergency assistance from other Balancing Authorities.
 - R6.5. Declaring an Energy Emergency through its Reliability Coordinator; and

Standard EOP-002-3.1 — Capacity and Energy Emergencies

- R6.6.** Reducing load, through procedures such as public appeals, voltage reductions, curtailing interruptible loads and firm loads.
- R7.** Once the Balancing Authority has exhausted the steps listed in Requirement 6, or if these steps cannot be completed in sufficient time to resolve the emergency condition, the Balancing Authority shall:
 - R7.1.** Manually shed firm load without delay to return its ACE to zero; and
 - R7.2.** Request the Reliability Coordinator to declare an Energy Emergency Alert in accordance with Attachment 1-EOP-002 “Energy Emergency Alerts.”
- R8.** A Reliability Coordinator that has any Balancing Authority within its Reliability Coordinator area experiencing a potential or actual Energy Emergency shall initiate an Energy Emergency Alert as detailed in Attachment 1-EOP-002 “Energy Emergency Alerts.” The Reliability Coordinator shall act to mitigate the emergency condition, including a request for emergency assistance if required.
- R9.** When a Transmission Service Provider expects to elevate the transmission service priority of an Interchange Transaction from Priority 6 (Network Integration Transmission Service from Non-designated Resources) to Priority 7 (Network Integration Transmission Service from designated Network Resources) as permitted in its transmission tariff:
 - R9.1.** The deficient Load-Serving Entity shall request its Reliability Coordinator to initiate an Energy Emergency Alert in accordance with Attachment 1-EOP-002 “Energy Emergency Alerts.”
 - R9.2.** The Reliability Coordinator shall submit the report to NERC for posting on the NERC Website, noting the expected total MW that may have its transmission service priority changed.
 - R9.3.** The Reliability Coordinator shall use EEA 1 to forecast the change of the priority of transmission service of an Interchange Transaction on the system from Priority 6 to Priority 7.
 - R9.4.** The Reliability Coordinator shall use EEA 2 to announce the change of the priority of transmission service of an Interchange Transaction on the system from Priority 6 to Priority 7.

C. Measures

- M1.** Each Reliability Coordinator and Balancing Authority shall have and provide upon request evidence that could include but is not limited to, job descriptions, signed agreements, authority letter signed by an appropriate officer of the company, or other equivalent evidence that will be used to confirm that it meets Requirement 1.
- M2.** If a Reliability Coordinator or Balancing Authority implements one or more actions described in its Capacity and Energy Emergency plan, that entity shall have and provide upon request evidence that could include but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications, computer printouts or other equivalent evidence that will be used to determine if the actions it took to relieve emergency conditions were in conformance with its Capacity and Energy Emergency Plan. (Requirement 2)
- M3.** If a Balancing Authority experiences an operating Capacity or Energy Emergency it shall have and provide upon request evidence that could include, but is not limited to operator logs, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to determine if it met Requirement 3.

Standard EOP-002-3.1 — Capacity and Energy Emergencies

- M4.** The Balancing Authority shall have and provide upon request evidence (such as operator logs, work orders, E-Tags, or other evidence) that it took the actions described in R4 in response to anticipating a capacity or energy emergency.
- M5.** The Balancing Authority shall have and provide upon request evidence (such as operator logs, dispatch instructions, or other evidence) that it only used the assistance provided by the Interconnection frequency bias for the time needed to implement corrective actions and did not attempt to return Interconnection frequency to normal through unilateral adjustment of generation beyond that supplied through the frequency bias action and Interchange Schedule changes. (Requirement 5)
- M6.** The Balancing Authority shall have and provide upon request evidence (such as operator logs, dispatch instructions, or other evidence) that it took actions such as those listed in R6 to comply with CPS and DCS.
- M7.** The Balancing Authority shall have and provide upon request evidence (such as operator logs, voice recordings, or other evidence) that it took the actions listed in R7 when unable to resolve an emergency condition.
- M8.** If a Reliability Coordinator has any Balancing Authority within its Reliability Coordinator Area that has notified the Reliability Coordinator of a potential or actual Energy Emergency, the Reliability Coordinator involved in the event shall have and provide upon request evidence that could include, but is not limited to operator logs, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence to determine if it initiated an Energy Emergency Alert as specified in Requirement 8 and as detailed in Attachment 1-EOP-002 “Energy Emergency Alerts.”
- M9.** If a Transmission Service Provider expects to elevate the transmission service priority of an Interchange Transaction from Priority 6 (Network Integration Transmission Service from Non-designated Resources) to Priority 7 (Network Integration Transmission Service from designated Network Resources), the Reliability Coordinator involved in the event shall have and provide upon request evidence that could include, but is not limited to, NERC reports, EEA reports, operator logs, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to determine if that Reliability Coordinator met Requirements 9.2, 9.3 and 9.4.

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Enforcement Authority

Regional Entity

1.2. Compliance Monitoring Period and Reset Timeframe

Not Applicable.

1.3. Compliance Monitoring and Enforcement Process

Compliance Audits

Self-Certifications

Spot Checking

Compliance Violation Investigations

Self-Reporting

Complaints

1.4. Data Retention

For Measure 1, each Reliability Coordinator and Balancing Authority shall keep The current in-force documents.

For Measure 2, 8 and 9 the Reliability Coordinator shall keep 90 days of historical data.

For Measure 3, 4, 5, 6, and 7 the Balancing Authority shall keep 90 days of historical data.

If an entity is found non-compliant the entity shall keep information related to the noncompliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor.

The Compliance Monitor shall keep the last periodic audit report and all requested and submitted subsequent compliance records.

1.5. Additional Compliance Information

None.

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
1	September 19, 2006	Changes R7. to refer to “Requirement 6” instead of “Requirement 7”	Errata
2	November 1, 2006	Adopted by Board of Trustees	Revised
2	November 1, 2006	Corrected numbering in Section A.4. “Applicability.”	Errata
2	October 1, 2007	Added to Section 1 inadvertently omitted “4.3. Load-Serving Entities	Errata
2.1	October 29, 2008	BOT adopted errata changes; updated version number to “2.1”	Errata
2.1	May 13, 2009	FERC Approved	Revised
3	June 4, 2010	Modified to address Order No. 693 Directives contained in paragraphs 582.	Revised.
3	August 5, 2010	Adopted by NERC Board of Trustees	New
3.1	March 8, 2012	Errata adopted by Standards Committee; (Updated title of Attachment 1 and changed	Errata

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		references to Attachment 1 throughout Standard from “Attachment 1-EOP-002-0 Energy Emergency Alert Levels” to “Attachment 1-EOP-002 Energy Emergency Alerts”. Removed parenthetical in Requirement R9 referencing a retired Attachment in IRO-006)	
3.1	September 13, 2012	FERC Approved	Errata

Attachment 1-EOP-002 Energy Emergency Alerts

Introduction

This Attachment provides the procedures by which a Load Serving Entity can obtain capacity and energy when it has exhausted all other options and can no longer provide its customers' expected energy requirements. NERC defines this situation as an "Energy Emergency." NERC assumes that a capacity deficiency will manifest itself as an energy emergency.

The Energy Emergency Alert Procedure is initiated by the Load Serving Entity's Reliability Coordinator, who declares various Energy Emergency Alert levels as defined in Section B, "Energy Emergency Alert Levels," to provide assistance to the Load Serving Entity.

The Load Serving Entity who requests this assistance is referred to as an "Energy Deficient Entity."

NERC recognizes that Transmission Providers are subject to obligations under FERC-approved tariffs and other agreements, and nothing in these procedures should be interpreted as changing those obligations.

A. General Requirements

1. **Initiation by Reliability Coordinator.** An Energy Emergency Alert may be initiated only by a Reliability Coordinator at 1) the Reliability Coordinator's own request, or 2) upon the request of a Balancing Authority, or 3) upon the request of a Load Serving Entity.
 - 1.1. **Situations for initiating alert.** An Energy Emergency Alert may be initiated for the following reasons:
 - When the Load Serving Entity is, or expects to be, unable to provide its customers' energy requirements, and has been unsuccessful in locating other systems with available resources from which to purchase, or
 - The Load Serving Entity cannot schedule the resources due to, for example, Available Transfer Capability (ATC) limitations or transmission loading relief limitations.
2. **Notification.** A Reliability Coordinator who declares an Energy Emergency Alert shall notify all Balancing Authorities and Transmission Providers in its Reliability Area. The Reliability Coordinator shall also notify all other Reliability Coordinators of the situation via the Reliability Coordinator Information System (RCIS). Additionally, conference calls between Reliability Coordinators shall be held as necessary to communicate system conditions. The Reliability Coordinator shall also notify the other Reliability Coordinators when the alert has ended.

B. Energy Emergency Alert Levels

Introduction

To ensure that all Reliability Coordinators clearly understand potential and actual energy emergencies in the Interconnection, NERC has established three levels of Energy Emergency Alerts. The Reliability Coordinators will use these terms when explaining energy emergencies to each other. An Energy Emergency Alert is an emergency procedure, not a daily operating practice, and is not intended as an alternative to compliance with NERC reliability standards or power supply contracts.

The Reliability Coordinator may declare whatever alert level is necessary, and need not proceed through the alerts sequentially.

1. **Alert 1 — All available resources in use.**

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Circumstances:

- Balancing Authority, Reserve Sharing Group, or Load Serving Entity foresees or is experiencing conditions where all available resources are committed to meet firm load, firm transactions, and reserve commitments, and is concerned about sustaining its required Operating Reserves, and
- Non-firm wholesale energy sales (other than those that are recallable to meet reserve requirements) have been curtailed.

2. Alert 2 — Load management procedures in effect.

Circumstances:

- Balancing Authority, Reserve Sharing Group, or Load Serving Entity is no longer able to provide its customers' expected energy requirements, and is designated an Energy Deficient Entity.
- Energy Deficient Entity foresees or has implemented procedures up to, but excluding, interruption of firm load commitments. When time permits, these procedures may include, but are not limited to:
 - Public appeals to reduce demand.
 - Voltage reduction.
 - Interruption of non-firm end use loads in accordance with applicable contracts¹.
 - Demand-side management.
 - Utility load conservation measures.

During Alert 2, Reliability Coordinators, Balancing Authorities, and Energy Deficient Entities have the following responsibilities:

- 2.1 Notifying other Balancing Authorities and market participants.** The Energy Deficient Entity shall communicate its needs to other Balancing Authorities and market participants. Upon request from the Energy Deficient Entity, the respective Reliability Coordinator shall post the declaration of the alert level along with the name of the Energy Deficient Entity and, if applicable, its Balancing Authority on the NERC website.
- 2.2 Declaration period.** The Energy Deficient Entity shall update its Reliability Coordinator of the situation at a minimum of every hour until the Alert 2 is terminated. The Reliability Coordinator shall update the energy deficiency information posted on the NERC website as changes occur and pass this information on to the affected Reliability Coordinators, Balancing Authority, and Transmission Providers.
- 2.3 Sharing information on resource availability.** A Balancing Authority and market participants with available resources shall immediately contact the Energy Deficient Entity. This should include the possibility of selling non-firm (recallable) energy out of available Operating Reserves. The Energy Deficient Entity shall notify the Reliability Coordinators of the results.
- 2.4 Evaluating and mitigating transmission limitations.** The Reliability Coordinators shall review all System Operating Limits (SOLs) and Interconnection Reliability Operating Limits (IROLs) and transmission loading relief procedures in effect that may limit the Energy Deficient Entity's scheduling capabilities. Where appropriate, the Reliability Coordinators shall inform

¹ For emergency, not economic, reasons.

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the Transmission Providers under their purview of the pending Energy Emergency and request that they increase their ATC by actions such as restoring transmission elements that are out of service, reconfiguring their transmission system, adjusting phase angle regulator tap positions, implementing emergency operating procedures, and reviewing generation redispatch options.

2.4.1 Notification of ATC adjustments. Resulting increases in ATCs shall be simultaneously communicated to the Energy Deficient Entity and the market via posting on the appropriate OASIS websites by the Transmission Providers.

2.4.2 Availability of generation redispatch options. Available generation redispatch options shall be immediately communicated to the Energy Deficient Entity by its Reliability Coordinator.

2.4.3 Evaluating impact of current transmission loading relief events. The Reliability Coordinators shall evaluate the impact of any current transmission loading relief events on the ability to supply emergency assistance to the Energy Deficient Entity. This evaluation shall include analysis of system reliability and involve close communication among Reliability Coordinators and the Energy Deficient Entity.

2.4.4 Initiating inquiries on reevaluating SOLs and IROLs. The Reliability Coordinators shall consult with the Balancing Authorities and Transmission Providers in their Reliability Areas about the possibility of reevaluating and revising SOLs or IROLs.

2.5 Coordination of emergency responses. The Reliability Coordinator shall communicate and coordinate the implementation of emergency operating responses.

2.6 Energy Deficient Entity actions. Before declaring an Alert 3, the Energy Deficient Entity must make use of all available resources. This includes but is not limited to:

2.6.1 All available generation units are on line. All generation capable of being on line in the time frame of the emergency is on line including quick-start and peaking units, regardless of cost.

2.6.2 Purchases made regardless of cost. All firm and non-firm purchases have been made, regardless of cost.

2.6.3 Non-firm sales recalled and contractually interruptible loads and demand-side management curtailed. All non-firm sales have been recalled, contractually interruptible retail loads curtailed, and demand-side management activated within provisions of the agreements.

2.6.4 Operating Reserves. Operating reserves are being utilized such that the Energy Deficient Entity is carrying reserves below the required minimum or has initiated emergency assistance through its operating reserve sharing program.

3. Alert 3 — Firm load interruption imminent or in progress.

Circumstances:

- Balancing Authority or Load Serving Entity foresees or has implemented firm load obligation interruption. The available energy to the Energy Deficient Entity, as determined from Alert 2, is only accessible with actions taken to increase transmission transfer capabilities.

3.1 Continue actions from Alert 2. The Reliability Coordinators and the Energy Deficient Entity shall continue to take all actions initiated during Alert 2. If the emergency has not already been posted on the NERC website (see paragraph 2.1), the respective Reliability Coordinators will, at this time, post on the website information concerning the emergency.

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- 3.2 Declaration Period.** The Energy Deficient Entity shall update its Reliability Coordinator of the situation at a minimum of every hour until the Alert 3 is terminated. The Reliability Coordinator shall update the energy deficiency information posted on the NERC website as changes occur and pass this information on to the affected Reliability Coordinators (via the RCIS), Balancing Authorities, and Transmission Providers.
- 3.3 Use of Transmission short-time limits.** The Reliability Coordinators shall request the appropriate Transmission Providers within their Reliability Area to utilize available short-time transmission limits or other emergency operating procedures in order to increase transfer capabilities into the Energy Deficient Entity.
- 3.4 Reevaluating and revising SOLs and IROLs.** The Reliability Coordinator of the Energy Deficient Entity shall evaluate the risks of revising SOLs and IROLs on the reliability of the overall transmission system. Reevaluation of SOLs and IROLs shall be coordinated with other Reliability Coordinators and only with the agreement of the Balancing Authority or Transmission Operator whose equipment would be affected. The resulting increases in transfer capabilities shall only be made available to the Energy Deficient Entity who has requested an Energy Emergency Alert 3 condition. SOLs and IROLs shall only be revised as long as an Alert 3 condition exists or as allowed by the Balancing Authority or Transmission Operator whose equipment is at risk. The following are minimum requirements that must be met before SOLs or IROLs are revised:
- 3.4.1 Energy Deficient Entity obligations.** The deficient Balancing Authority or Load Serving Entity must agree that, upon notification from its Reliability Coordinator of the situation, it will immediately take whatever actions are necessary to mitigate any undue risk to the Interconnection. These actions may include load shedding.
- 3.4.2 Mitigation of cascading failures.** The Reliability Coordinator shall use its best efforts to ensure that revising SOLs or IROLs would not result in any cascading failures within the Interconnection.
- 3.5 Returning to pre-emergency Operating Security Limits.** Whenever energy is made available to an Energy Deficient Entity such that the transmission systems can be returned to their pre-emergency SOLs or IROLs, the Energy Deficient Entity shall notify its respective Reliability Coordinator and downgrade the alert.
- 3.5.1 Notification of other parties.** Upon notification from the Energy Deficient Entity that an alert has been downgraded, the Reliability Coordinator shall notify the affected Reliability Coordinators (via the RCIS), Balancing Authorities, and Transmission Providers that their systems can be returned to their normal limits.
- 3.6 Reporting.** Any time an Alert 3 is declared, the Energy Deficient Entity shall submit the report enclosed in this Attachment to its respective Reliability Coordinator within two business days of downgrading or termination of the alert. Upon receiving the report, the Reliability Coordinator shall review it for completeness and immediately forward it to the NERC staff for posting on the NERC website. The Reliability Coordinator shall present this report to the Reliability Coordinator Working Group at its next scheduled meeting.
- 4. Alert 0 - Termination.** When the Energy Deficient Entity believes it will be able to supply its customers' energy requirements, it shall request of its Reliability Coordinator that the EEA be terminated.
- 4.1. Notification.** The Reliability Coordinator shall notify all other Reliability Coordinators via the RCIS of the termination. The Reliability Coordinator shall also notify the

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affected Balancing Authorities and Transmission Operators. The Alert 0 shall also be posted on the NERC website if the original alert was so posted.

C. Energy Emergency Alert 3 Report

A Deficient Balancing Authority or Load Serving Entity declaring an Energy Emergency Alert 3 must complete the following report. Upon completion of this report, it is to be sent to the Reliability Coordinator for review within two business days of the incident.

Requesting Balancing Authority:

Entity experiencing energy deficiency (if different from Balancing Authority):

Date/Time Implemented:

Date/Time Released:

Declared Deficiency Amount (MW):

Total energy supplied by other Balancing Authority during the Alert 3 period:

Conditions that precipitated call for “Energy Deficiency Alert 3”:

If “Energy Deficiency Alert 3” had not been called, would firm load be cut? If no, explain:

Explain what action was taken in each step to avoid calling for “Energy Deficiency Alert 3”:

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- 1. All generation capable of being on line in the time frame of the energy deficiency was on line (including quick start and peaking units) without regard to cost.**

- 2. All firm and nonfirm purchases were made regardless of cost.**

- 3. All nonfirm sales were recalled within provisions of the sale agreement.**

- 4. Interruptible load was curtailed where either advance notice restrictions were met or the interruptible load was considered part of spinning reserve.**

- 5. Available load reduction programs were exercised (public appeals, voltage reductions, etc.).**

- 6. Operating Reserves being utilized.**

Comments:

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Reported By:

Organization:

Title:

A. Introduction

1. **Title:** **Reliability Coordination — Current Day Operations**
2. **Number:** IRO-005-3.1a
3. **Purpose:** The Reliability Coordinator must be continuously aware of conditions within its Reliability Coordinator Area and include this information in its reliability assessments. The Reliability Coordinator must monitor Bulk Electric System parameters that may have significant impacts upon the Reliability Coordinator Area and neighboring Reliability Coordinator Areas.
4. **Applicability**
 - 4.1. Reliability Coordinators.
 - 4.2. Balancing Authorities.
 - 4.3. Transmission Operators.
 - 4.4. Transmission Service Providers.
 - 4.5. Generator Operators.
 - 4.6. Load-Serving Entities.
 - 4.7. Purchasing-Selling Entities.
5. **Effective Date:**

In those jurisdictions where no regulatory approval is required, the standard shall become effective on the latter of either April 1, 2009 or the first day of the first calendar quarter, three months after BOT adoption.

In those jurisdictions where regulatory approval is required, the standard shall become effective on the latter of either April 1, 2009 or the first day of the first calendar quarter, three months after applicable regulatory approval.

B. Requirements

- R1. Each Reliability Coordinator shall monitor its Reliability Coordinator Area parameters, including but not limited to the following:
 - R1.1. Current status of Bulk Electric System elements (transmission or generation including critical auxiliaries such as Automatic Voltage Regulators and Special Protection Systems) and system loading.
 - R1.2. Current pre-contingency element conditions (voltage, thermal, or stability), including any applicable mitigation plans to alleviate SOL or IROL violations, including the plan's viability and scope.
 - R1.3. Current post-contingency element conditions (voltage, thermal, or stability), including any applicable mitigation plans to alleviate SOL or IROL violations, including the plan's viability and scope.
 - R1.4. System real and reactive reserves (actual versus required).
 - R1.5. Capacity and energy adequacy conditions.
 - R1.6. Current ACE for all its Balancing Authorities.

- R1.7.** Current local or Transmission Loading Relief procedures in effect.
- R1.8.** Planned generation dispatches.
- R1.9.** Planned transmission or generation outages.
- R1.10.** Contingency events.
- R2.** Each Reliability Coordinator shall monitor its Balancing Authorities' parameters to ensure that the required amount of operating reserves is provided and available as required to meet the Control Performance Standard and Disturbance Control Standard requirements. If necessary, the Reliability Coordinator shall direct the Balancing Authorities in the Reliability Coordinator Area to arrange for assistance from neighboring Balancing Authorities. The Reliability Coordinator shall issue Energy Emergency Alerts as needed and at the request of its Balancing Authorities and Load-Serving Entities.
- R3.** Each Reliability Coordinator shall ensure its Transmission Operators and Balancing Authorities are aware of Geo-Magnetic Disturbance (GMD) forecast information and assist as needed in the development of any required response plans.
- R4.** The Reliability Coordinator shall disseminate information within its Reliability Coordinator Area, as required.
- R5.** Each Reliability Coordinator shall monitor system frequency and its Balancing Authorities' performance and direct any necessary rebalancing to return to CPS and DCS compliance. The Transmission Operators and Balancing Authorities shall utilize all resources, including firm load shedding, as directed by its Reliability Coordinator to relieve the emergent condition.
- R6.** The Reliability Coordinator shall coordinate with Transmission Operators, Balancing Authorities, and Generator Operators as needed to develop and implement action plans to mitigate potential or actual SOL, CPS, or DCS violations. The Reliability Coordinator shall coordinate pending generation and transmission maintenance outages with Transmission Operators, Balancing Authorities, and Generator Operators as needed in both the real time and next-day reliability analysis timeframes.
- R7.** As necessary, the Reliability Coordinator shall assist the Balancing Authorities in its Reliability Coordinator Area in arranging for assistance from neighboring Reliability Coordinator Areas or Balancing Authorities.
- R8.** The Reliability Coordinator shall identify sources of large Area Control Errors that may be contributing to Frequency Error, Time Error, or Inadvertent Interchange and shall discuss corrective actions with the appropriate Balancing Authority. The Reliability Coordinator shall direct its Balancing Authority to comply with CPS and DCS.
- R9.** Whenever a Special Protection System that may have an inter-Balancing Authority, or inter-Transmission Operator impact (e.g., could potentially affect transmission flows resulting in a SOL or IROL violation) is armed, the Reliability Coordinators shall be aware of the impact of the operation of that Special Protection System on inter-area flows. The Transmission Operator shall immediately inform the Reliability Coordinator of the status of the Special Protection System including any degradation or potential failure to operate as expected.
- R10.** In instances where there is a difference in derived limits, the Transmission Operators, Balancing Authorities, Generator Operators, Transmission Service Providers, Load-Serving Entities, and Purchasing-Selling Entities shall always operate the Bulk Electric System to the most limiting parameter.
- R11.** The Transmission Service Provider shall respect SOLs and IROLs in accordance with filed tariffs and regional Total Transfer Calculation and Available Transfer Calculation processes.

- R12.** Each Reliability Coordinator who foresees a transmission problem (such as an SOL or IROL violation, loss of reactive reserves, etc.) within its Reliability Coordinator Area shall issue an alert to all impacted Transmission Operators and Balancing Authorities in its Reliability Coordinator Area without delay. The receiving Reliability Coordinator shall disseminate this information to its impacted Transmission Operators and Balancing Authorities. The Reliability Coordinator shall notify all impacted Transmission Operators, Balancing Authorities, when the transmission problem has been mitigated.

C. Measures

- M1.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, Energy Management System description documents, computer printouts, a prepared report specifically detailing compliance to each of the bullets in Requirement 1, EMS availability, SCADA data collection system communications performance or equivalent evidence that will be used to confirm that it monitors the Reliability Coordinator Area parameters specified in Requirements 1.1 through 1.9.
- M2.** If one of its Balancing Authorities has insufficient operating reserves, the Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to computer printouts, operating logs, voice recordings or transcripts of voice recordings, or equivalent evidence that will be used to determine if the Reliability Coordinator directed and, if needed, assisted the Balancing Authorities in the Reliability Coordinator Area to arrange for assistance from neighboring Balancing Authorities. (Requirement 2 and Requirement 7)
- M3.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to determine if it informed Transmission Operators and Balancing Authorities of Geo-Magnetic Disturbance (GMD) forecast information and provided assistance as needed in the development of any required response plans. (Requirement 3)
- M4.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, Hot Line recordings, electronic communications or equivalent evidence that will be used to determine if it disseminated information within its Reliability Coordinator Area in accordance with Requirement 4.
- M5.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, computer printouts, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that it monitored system frequency and Balancing Authority performance and directed any necessary rebalancing, as specified in Requirement 5 Part 1.
- M6.** The Transmission Operators and Balancing Authorities shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that it utilized all resources, including firm load shedding, as directed by its Reliability Coordinator, to relieve an emergent condition. (Requirement 5 Part 2)
- M7.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, operator logs or equivalent evidence that will be used to determine if it coordinated with Transmission Operators, Balancing Authorities, and Generator Operators as needed to develop and implement action plans to mitigate potential or actual SOL, CPS, or DCS violations including the coordination of pending generation and transmission maintenance

outages with Transmission Operators, Balancing Authorities and Generator Operators. (Requirement 6 Part 1)

- M8.** If a large Area Control Error has occurred, the Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, Hot Line recordings, electronic communications or equivalent evidence that will be used to determine if it identified sources of the Area Control Errors, and initiated corrective actions with the appropriate Balancing Authority if the problem was within the Reliability Coordinator's Area (Requirement 8 Part 1)
- M9.** If a Special Protection System is armed and that system could have had an inter-area impact, the Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, agreements with their Transmission Operators, procedural documents, operator logs, computer analysis, training modules, training records or equivalent evidence that will be used to confirm that it was aware of the impact of that Special Protection System on inter-area flows. (Requirement 9)
- M10.** If there is an instance where there is a disagreement on a derived limit, the Transmission Operator, Balancing Authority, Generator Operator, Load-serving Entity, Purchasing-selling Entity and Transmission Service Provider involved in the disagreement shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings, electronic communications or equivalent evidence that will be used to determine if it operated to the most limiting parameter. (Requirement 10)
- M11.** The Transmission Service Providers shall have and provide upon request evidence that could include, but is not limited to, procedural documents, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that it respected the SOLs or IROLs in accordance with filed tariffs and regional Total Transfer Calculation and Available Transfer Calculation processes.(Requirement 11)
- M12.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that it issued alerts when it foresaw a transmission problem (such as an SOL or IROL violation, loss of reactive reserves, etc.) within its Reliability Coordinator Area, to all impacted Transmission Operators and Balancing Authorities in its Reliability Coordinator Area as specified in Requirement 12 Part 1.
- M13.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that upon receiving information such as an SOL or IROL violation, loss of reactive reserves, etc. it disseminated the information to its impacted Transmission Operators and Balancing Authorities as specified in Requirement 12 Part 2.
- M14.** The Reliability Coordinator shall have and provide upon request evidence that could include, but is not limited to, operator logs, voice recordings or transcripts of voice recordings, electronic communications or equivalent evidence that will be used to confirm that it notified all impacted Transmission Operators, Balancing Authorities and Reliability Coordinators when a transmission problem has been mitigated. (Requirement 12 Part 3)

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Regional Reliability Organizations shall be responsible for compliance monitoring.

1.2. Compliance Monitoring and Reset Time Frame

One or more of the following methods will be used to assess compliance:

- Self-certification (Conducted annually with submission according to schedule.)
- Spot Check Audits (Conducted anytime with up to 30 days notice given to prepare.)
- Periodic Audit (Conducted once every three years according to schedule.)
- Triggered Investigations (Notification of an investigation must be made within 60 days of an event or complaint of noncompliance. The entity will have up to 30 days to prepare for the investigation. An entity may request an extension of the preparation period and the extension will be considered by the Compliance Monitor on a case-by-case basis.)

The Performance-Reset Period shall be 12 months from the last finding of non-compliance.

1.3. Data Retention

For Measures 1 and 9, each Reliability Coordinator shall have its current in-force documents as evidence.

For Measures 2–8 and Measures 12 through 13, the Reliability Coordinator shall keep 90 days of historical data (evidence).

For Measure 6, the Transmission Operator and Balancing Authority shall keep 90 days of historical data (evidence).

For Measure 10, the Transmission Operator, Balancing Authority, and Transmission Service Provider shall keep 90 days of historical data (evidence).

For Measure 11, the Transmission Service Provider shall keep 90 days of historical data (evidence).

If an entity is found non-compliant the entity shall keep information related to the noncompliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor,

The Compliance Monitor shall keep the last periodic audit report and all requested and submitted subsequent compliance records.

1.4. Additional Compliance Information

None.

2. Violation Severity Levels:

Requirement	Lower	Moderate	High	Severe
R1	The Reliability Coordinator failed to monitor one (1) of the elements listed in IRO-005-1 R1.1 through R1.10.	The Reliability Coordinator failed to monitor two (2) of the elements listed in IRO-005-1 R1.1 through R1.10.	The Reliability Coordinator failed to monitor three (3) of the elements listed in IRO-005-1 R1.1 through R1.10.	The Reliability Coordinator failed to monitor more than three (3) of the elements listed in IRO-005-1 R1.1 through R1.10.
R1.1	The Reliability Coordinator failed to monitor the current status of Bulk Electric System elements (transmission or generation including critical auxiliaries such as Automatic Voltage Regulators and Special Protection Systems) and system loading.	N/A	N/A	N/A
R1.2	The Reliability Coordinator failed to monitor current pre-contingency element conditions (voltage, thermal, or stability), including any applicable mitigation plans to alleviate SOL or IROL violations, including the plan’s viability and scope.	N/A	N/A	N/A

Requirement	Lower	Moderate	High	Severe
R1.3	The Reliability Coordinator failed to monitor current post-contingency element conditions (voltage, thermal, or stability), including any applicable mitigation plans to alleviate SOL or IROL violations, including the plan’s viability and scope.	N/A	N/A	N/A
R1.4	The Reliability Coordinator failed to monitor system real and reactive reserves (actual versus required).	N/A	N/A	N/A
R1.5	The Reliability Coordinator failed to monitor capacity and energy adequacy conditions.	N/A	N/A	N/A
R1.6	The Reliability Coordinator failed to monitor current ACE for all its Balancing Authorities.	N/A	N/A	N/A
R1.7	The Reliability Coordinator failed to monitor current local or Transmission Loading Relief procedures in effect.	N/A	N/A	N/A
R1.8	The Reliability Coordinator failed to monitor planned generation dispatches.	N/A	N/A	N/A
R1.9	The Reliability Coordinator failed to monitor planned transmission or generation outages.	N/A	N/A	N/A

Requirement	Lower	Moderate	High	Severe
R1.10	The Reliability Coordinator failed to monitor contingency events.	N/A	N/A	N/A
R2	N/A	The Reliability Coordinator failed to direct the Balancing Authorities in the Reliability Coordinator Area to arrange for assistance from neighboring Balancing Authorities.	The Reliability Coordinator failed to issue Energy Emergency Alerts as needed and at the request of its Balancing Authorities and Load-Serving Entities.	The Reliability Coordinator failed to monitor its Balancing Authorities' parameters to ensure that the required amount of operating reserves was provided and available as required to meet the Control Performance Standard and Disturbance Control Standard requirements.
R3	N/A	N/A	The Reliability Coordinator ensured its Transmission Operators and Balancing Authorities were aware of Geo-Magnetic Disturbance (GMD) forecast information, but failed to assist, when needed, in the development of any required response plans.	The Reliability Coordinator failed to ensure its Transmission Operators and Balancing Authorities were aware of Geo-Magnetic Disturbance (GMD) forecast information.
R4	N/A	N/A	N/A	The Reliability Coordinator failed to disseminate information within its Reliability Coordinator Area, when required.

Requirement	Lower	Moderate	High	Severe
R5	N/A	N/A	The Reliability Coordinator monitored system frequency and its Balancing Authorities' performance but failed to direct any necessary rebalancing to return to CPS and DCS compliance.	The Reliability Coordinator failed to monitor system frequency and its Balancing Authorities' performance and direct any necessary rebalancing to return to CPS and DCS compliance or the responsible entity failed to utilize all resources, including firm load shedding, as directed by its Reliability Coordinator to relieve the emergent condition.

Requirement	Lower	Moderate	High	Severe
R6	N/A	<p>The Reliability Coordinator coordinated with Transmission Operators, Balancing Authorities, and Generator Operators, as needed, to develop action plans to mitigate potential or actual SOL, CPS, or DCS violations but failed to implement said plans, or the Reliability Coordinator coordinated pending generation and transmission maintenance outages with Transmission Operators, Balancing Authorities, and Generator Operators as needed in the real-time reliability analysis timeframe but failed to coordinate pending generation and transmission maintenance outages in the next-day reliability analysis timeframe.</p>	<p>The Reliability Coordinator failed to coordinate with Transmission Operators, Balancing Authorities, and Generator Operators as needed to develop and implement action plans to mitigate potential or actual SOL, CPS, or DCS violations, or the Reliability Coordinator failed to coordinate pending generation and transmission maintenance outages with Transmission Operators, Balancing Authorities, and Generator Operators as needed in both the real-time and next-day reliability analysis timeframes.</p>	<p>The Reliability Coordinator failed to coordinate with Transmission Operators, Balancing Authorities, and Generator Operators as needed to develop and implement action plans to mitigate potential or actual SOL, CPS, or DCS violations and the Reliability Coordinator failed to coordinate pending generation and transmission maintenance outages with Transmission Operators, Balancing Authorities, and Generator Operators as needed in both the real-time and next-day reliability analysis timeframes.</p>
R7	N/A	N/A	N/A	<p>The Reliability Coordinator failed to assist the Balancing Authorities in its Reliability Coordinator Area in arranging for assistance from neighboring Reliability Coordinator Areas or Balancing Authorities, when necessary.</p>

Requirement	Lower	Moderate	High	Severe
R8	N/A	The Reliability Coordinator identified sources of large Area Control Errors that were contributing to Frequency Error, Time Error, or Inadvertent Interchange and discussed corrective actions with the appropriate Balancing Authority but failed to direct the Balancing Authority to comply with CPS and DCS.	The Reliability Coordinator identified sources of large Area Control Errors that were contributing to Frequency Error, Time Error, or Inadvertent Interchange but failed to discuss corrective actions with the appropriate Balancing Authority.	The Reliability Coordinator failed to identify sources of large Area Control Errors that were contributing to Frequency Error, Time Error, or Inadvertent Interchange.
R9	N/A	N/A	N/A	The Reliability Coordinator failed to be aware of the impact on inter-area flows of an inter-Balancing Authority or inter-Transmission Operator, following the operation of a Special Protection System that is armed (e.g., could potentially affect transmission flows resulting in a SOL or IROL violation), or the Transmission Operator failed to immediately inform the Reliability Coordinator of the status of the Special Protection System including any degradation or potential failure to operate as expected.

Requirement	Lower	Moderate	High	Severe
R10	N/A	N/A	N/A	The responsible entity failed to operate the Bulk Electric System to the most limiting parameter in instances where there was a difference in derived limits.
R11	N/A	N/A	N/A	The Transmission Service Provider failed to respect SOLs or IROLs in accordance with filed tariffs and regional Total Transfer Calculation and Available Transfer Calculation processes.
R12	N/A	The Reliability Coordinator failed to notify all impacted Transmission Operators, Balancing Authorities, when the transmission problem had been mitigated.	N/A	The Reliability Coordinator who foresaw a transmission problem (such as an SOL or IROL violation, loss of reactive reserves, etc.) within its Reliability Coordinator Area failed to issue an alert to all impacted Transmission Operators and Balancing Authorities in its Reliability Coordinator Area, or the receiving Reliability Coordinator failed to disseminate this information to its impacted Transmission Operators and Balancing Authorities.

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
1		Retired R2, R3, R5; modified R9, R13 and R14; retired R16 and R17 Retired M2 and M3; modified M9 and M12; retired M13 Made conforming changes to data retention Replaced Levels of Non-compliance with the Feb 28, BOT approved Violation Severity Levels (VSLs) Retired VSLs associated with R2, R3, R5, R16 and R17; Modified VSLs associated with R9 and R13, and R14	Revised
2	November 1, 2006	Approved by the Board of Trustees	
2	January 1, 2007	Effective Date	
2a	November 5, 2009	Approved by the Board of Trustees	
3	October 17, 2008	Approved by the Board of Trustees	
3	March 17, 2011	Order issued by FERC approving IRO-005-3 (approval effective 5/23/11)	
3a	April 21, 2011	Added FERC approved Interpretation	
3.1a	March 8, 2012	Errata adopted by Standards Committee; (removed outdated references in Measures M10 and M11 to ‘Part 2’ of Requirements R10 and R11)	Errata
3.1a	September 13, 2012	FERC approved	Errata

Appendix 1

Requirement Number and Text of Requirement
<p>TOP-005-1 Requirement R3</p> <p>Upon request, each Balancing Authority and Transmission Operator shall provide to other Balancing Authorities and Transmission Operators with immediate responsibility for operational reliability, the operating data that are necessary to allow these Balancing Authorities and Transmission Operators to perform operational reliability assessments and to coordinate reliable operations. Balancing Authorities and Transmission Operators shall provide the types of data as listed in Attachment 1-TOP-005-0 “Electric System Reliability Data,” unless otherwise agreed to by the Balancing Authorities and Transmission Operators with immediate responsibility for operational reliability.</p> <p style="text-align: center;"><i>The above-referenced Attachment 1 — TOP-005-0 specifies the following data as item 2.6: New or <u>degraded</u> special protection systems. [Underline added for emphasis.]</i></p> <p>IRO-005-1 Requirement R12¹</p> <p>R12. Whenever a Special Protection System that may have an inter-Balancing Authority, or inter-Transmission Operator impact (e.g., could potentially affect transmission flows resulting in a SOL or IROL violation) is armed, the Reliability Coordinators shall be aware of the impact of the operation of that Special Protection System on inter-area flows. The Transmission Operator shall immediately inform the Reliability Coordinator of the status of the Special Protection System including any <u>degradation</u> or potential failure to operate as expected. [Underline added for emphasis.]</p> <p>PRC-012-0 Requirements R1 and R1.3</p> <p>R1. Each Regional Reliability Organization with a Transmission Owner, Generator Owner, or Distribution Providers that uses or is planning to use an SPS shall have a documented Regional Reliability Organization SPS review procedure to ensure that SPSs comply with Regional criteria and NERC Reliability Standards. The Regional SPS review procedure shall include:</p> <p style="padding-left: 40px;">R1.3. Requirements to demonstrate that the SPS shall be designed so that a single SPS component failure, when the SPS was intended to operate, does not prevent the interconnected transmission system from meeting the performance requirements defined in Reliability Standards TPL-001-0, TPL-002-0, and TPL-003-0.</p>
Background Information for Interpretation
<p>The TOP-005-1 standard focuses on two key obligations. The first key obligation (Requirement R1) is a “responsibility mandate.” Requirement R1 establishes who is responsible for the obligation to provide operating data “required” by a Reliability Coordinator within the framework of the Reliability Coordinator requirements defined in the IRO standards. The second key obligation (Requirement R3) is a “performance mandate.” Requirement R3 defines the obligation to provide data “requested” by other reliability entities that is needed “to perform assessments and to coordinate operations.”</p> <p>The Attachment to TOP-005-1 is provided as a guideline of what “can be shared.” The Attachment is not an obligation of “what must be shared.” Enforceable NERC Requirements must be explicitly contained within a given Standard’s approved requirements. In this case, the standard only requires data “upon request.” If a Reliability Coordinator or other reliability entity were to request data such as listed in the Attachment, then the entity being asked would be mandated by Requirements R1 and R3 to provide that</p>

¹ In the current version of the Standard (IRO-005-3a), this requirement is R9.

data (including item 2.6, whether it is or is not in some undefined “degraded” state).

IRO-002-1 requires the Reliability Coordinator to have processes in place to support its reliability obligations (Requirement R2). Requirement R4 mandates that the Reliability Coordinator have communications processes in place to meet its reliability obligations, and Requirement R5 et al mandate the Reliability Coordinator to have the tools to carry out these reliability obligations.

IRO-003-2 (Requirements R1 and R2) requires the Reliability Coordinator to monitor the state of its system.

IRO-004-1 requires that the Reliability Coordinator carry out studies to identify Interconnection Reliability Operating Limits (Requirement R1) and to be aware of system conditions via monitoring tools and information exchange.

IRO-005-1 mandates that each Reliability Coordinator monitor predefined base conditions (Requirement R1), collect additional data when operating limits are or may be exceeded (Requirement R3), and identify actual or potential threats (Requirement R5). The basis for that request is left to each Reliability Coordinator. The Purpose statement of IRO-005-1 focuses on the Reliability Coordinator’s obligation to be aware of conditions that may have a “significant” impact upon its area and to communicate that information to others (Requirements R7 and R9). Please note: it is from this communication that Transmission Operators and Balancing Authorities would either obtain or would know to ask for SPS information from another Transmission Operator.

The IRO-005-1 (Requirement R12) standard implies that degraded is a condition that will result in a failure to operate as designed. If the loss of a communication channel will result in the failure of an SPS to operate as designed then the Transmission Operator would be mandated to report that information. On the other hand, if the loss of a communication channel will not result in the failure of the SPS to operate as designed, then such a condition can be, but is not mandated to be, reported.

Conclusion

The TOP-005-1 standard does not provide, nor does it require, a definition for the term “degraded.”

The IRO-005-1 (R12) standard implies that degraded is a condition that will result in a failure of an SPS to operate as designed. If the loss of a communication channel will result in the failure of an SPS to operate as designed, then the Transmission Operator would be mandated to report that information. On the other hand, if the loss of a communication channel will not result in the failure of the SPS to operate as designed, then such a condition can be, but is not mandated to be, reported.

To request a formal definition of the term degraded, the Reliability Standards Development Procedure requires the submittal of a Standards Authorization Request.

A. Introduction

1. **Title:** **Operating Personnel Responsibility and Authority**
2. **Number:** PER-001-0.2
3. **Purpose:** Transmission Operator and Balancing Authority operating personnel must have the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.
4. **Applicability**
 - 4.1. Transmission Operators.
 - 4.2. Balancing Authorities.
5. **Effective Date:** December 10, 2009

B. Requirements

- R1.** Each Transmission Operator and Balancing Authority shall provide operating personnel with the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.

C. Measures

- M1.** The Transmission Operator and Balancing Authority provide documentation that operating personnel have the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System. These responsibilities and authorities are understood by the operating personnel. Documentation shall include:
 - M1.1** A written current job description that states in clear and unambiguous language the responsibilities and authorities of each operating position of a Transmission Operator and Balancing Authority. The job description identifies personnel subject to the authority of the Transmission Operator and Balancing Authority.
 - M1.2** The current job description is readily accessible in the control room environment to all operating personnel.
 - M1.3** A written current job description that states operating personnel are responsible for complying with the NERC reliability standards.
 - M1.4** Written operating procedures that state that, during normal and emergency conditions, operating personnel have the authority to take or direct timely and appropriate real-time actions. Such actions shall include shedding of firm load to prevent or alleviate System Operating Limit or Interconnection Reliability Operating Limit violations. These actions are performed without obtaining approval from higher-level personnel within the Transmission Operator or Balancing Authority.

D. Compliance

1. Compliance Monitoring Process

Periodic Review: An on-site review including interviews with Transmission Operator and Balancing Authority operating personnel and document verification will be conducted every three years. The job description identifying operating personnel authorities and responsibilities will be reviewed, as will the written operating procedures or other documents delineating the authority of the operating personnel to take actions necessary to maintain the reliability of the Bulk Electric System during normal and emergency conditions.

1.1. Compliance Monitoring Responsibility

Self-certification: The Transmission Operator and Balancing Authority shall annually complete a self-certification form developed by the Regional Reliability Organization based on measures M1.1 to M1.4.

1.2. Compliance Monitoring Period and Reset Timeframe

One calendar year.

1.3. Data Retention

Permanent.

1.4. Additional Compliance Information

2. Levels of Non-Compliance

- 2.1. Level 1:** The Transmission Operator or Balancing Authority has written documentation that includes three of the four items in M1.
- 2.2. Level 2:** The Transmission Operator or Balancing Authority has written documentation that includes two of the four items in M1.
- 2.3. Level 3:** The Transmission Operator or Balancing Authority has written documentation that includes one of the four items in M1.
- 2.4. Level 4:** The Transmission Operator or Balancing Authority has written documentation that includes none of the items in M1, or the personnel interviews indicate Transmission Operator or Balancing Authority do not have the required authority.

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	February 8, 2005	Adopted by NERC Board of Trustees	New
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
0.1	April 15, 2009	Replaced “position” with “job” on M1.1	Errata
0.1	April 15, 2009	Errata adopted by Standards Committee	Errata
0.1	December 10, 2009	Approved by FERC — added effective date	Update

0.2	March 8, 2012	Errata adopted by Standards Committee; (moved the word, “Interconnection” in Measure M1.4. so that it appears as the first word in the term “Interconnection Reliability Operating Limit” rather than the last word in the phrase, “System Operating Limit Interconnection)	Errata
0.2	September 13, 2012	FERC approved	Errata

A. Introduction

1. **Title:** Normal Operations Planning
2. **Number:** TOP-002-2.1b
3. **Purpose:** Current operations plans and procedures are essential to being prepared for reliable operations, including response for unplanned events.
4. **Applicability**
 - 4.1. Balancing Authority.
 - 4.2. Transmission Operator.
 - 4.3. Generator Operator.
 - 4.4. Load Serving Entity.
 - 4.5. Transmission Service Provider.
5. **Effective Date:** Immediately after approval of applicable regulatory authorities.

B. Requirements

- R1. Each Balancing Authority and Transmission Operator shall maintain a set of current plans that are designed to evaluate options and set procedures for reliable operation through a reasonable future time period. In addition, each Balancing Authority and Transmission Operator shall be responsible for using available personnel and system equipment to implement these plans to ensure that interconnected system reliability will be maintained.
- R2. Each Balancing Authority and Transmission Operator shall ensure its operating personnel participate in the system planning and design study processes, so that these studies contain the operating personnel perspective and system operating personnel are aware of the planning purpose.
- R3. Each Load Serving Entity and Generator Operator shall coordinate (where confidentiality agreements allow) its current-day, next-day, and seasonal operations with its Host Balancing Authority and Transmission Service Provider. Each Balancing Authority and Transmission Service Provider shall coordinate its current-day, next-day, and seasonal operations with its Transmission Operator.
- R4. Each Balancing Authority and Transmission Operator shall coordinate (where confidentiality agreements allow) its current-day, next-day, and seasonal planning and operations with neighboring Balancing Authorities and Transmission Operators and with its Reliability Coordinator, so that normal Interconnection operation will proceed in an orderly and consistent manner.
- R5. Each Balancing Authority and Transmission Operator shall plan to meet scheduled system configuration, generation dispatch, interchange scheduling and demand patterns.
- R6. Each Balancing Authority and Transmission Operator shall plan to meet unscheduled changes in system configuration and generation dispatch (at a minimum N-1 Contingency planning) in accordance with NERC, Regional Reliability Organization, subregional, and local reliability requirements.
- R7. Each Balancing Authority shall plan to meet capacity and energy reserve requirements, including the deliverability/capability for any single Contingency.

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- R8.** Each Balancing Authority shall plan to meet voltage and/or reactive limits, including the deliverability/capability for any single contingency.
- R9.** Each Balancing Authority shall plan to meet Interchange Schedules and ramps.
- R10.** Each Balancing Authority and Transmission Operator shall plan to meet all System Operating Limits (SOLs) and Interconnection Reliability Operating Limits (IROLs).
- R11.** The Transmission Operator shall perform seasonal, next-day, and current-day Bulk Electric System studies to determine SOLs. Neighboring Transmission Operators shall utilize identical SOLs for common facilities. The Transmission Operator shall update these Bulk Electric System studies as necessary to reflect current system conditions; and shall make the results of Bulk Electric System studies available to the Transmission Operators, Balancing Authorities (subject to confidentiality requirements), and to its Reliability Coordinator.
- R12.** The Transmission Service Provider shall include known SOLs or IROLs within its area and neighboring areas in the determination of transfer capabilities, in accordance with filed tariffs and/or regional Total Transfer Capability and Available Transfer Capability calculation processes.
- R13.** At the request of the Balancing Authority or Transmission Operator, a Generator Operator shall perform generating real and reactive capability verification that shall include, among other variables, weather, ambient air and water conditions, and fuel quality and quantity, and provide the results to the Balancing Authority or Transmission Operator operating personnel as requested.
- R14.** Generator Operators shall, without any intentional time delay, notify their Balancing Authority and Transmission Operator of changes in capabilities and characteristics including but not limited to:
 - R14.1.** Changes in real output capabilities.
- R15.** Generation Operators shall, at the request of the Balancing Authority or Transmission Operator, provide a forecast of expected real power output to assist in operations planning (e.g., a seven-day forecast of real output).
- R16.** Subject to standards of conduct and confidentiality agreements, Transmission Operators shall, without any intentional time delay, notify their Reliability Coordinator and Balancing Authority of changes in capabilities and characteristics including but not limited to:
 - R16.1.** Changes in transmission facility status.
 - R16.2.** Changes in transmission facility rating.
- R17.** Balancing Authorities and Transmission Operators shall, without any intentional time delay, communicate the information described in the requirements R1 to R16 above to their Reliability Coordinator.
- R18.** Neighboring Balancing Authorities, Transmission Operators, Generator Operators, Transmission Service Providers and Load Serving Entities shall use uniform line identifiers when referring to transmission facilities of an interconnected network.
- R19.** Each Balancing Authority and Transmission Operator shall maintain accurate computer models utilized for analyzing and planning system operations.

C. Measures

- M1.** Each Balancing Authority and Transmission Operator shall have and provide upon request evidence that could include, but is not limited to, documented planning procedures, copies of

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- current day plans, copies of seasonal operations plans, or other equivalent evidence that will be used to confirm that it maintained a set of current plans. (Requirement 1 Part 1).
- M2.** Each Balancing Authority and Transmission Operator shall have and provide upon request evidence that could include, but is not limited to, copies of current day plans or other equivalent evidence that will be used to confirm that its plans address Requirements 5, 6, and 10.
- M3.** Each Balancing Authority shall have and provide upon request evidence that could include, but is not limited to, copies of current day plans or other equivalent evidence that will be used to confirm that its plans address Requirements 7, 8, and 9.
- M4.** Each Transmission Operator shall have and provide upon request evidence that could include, but is not limited to, its next-day, and current-day Bulk Electric System studies used to determine SOLs or other equivalent evidence that will be used to confirm that its studies reflect current system conditions. (Requirement 11 Part 1)
- M5.** Each Transmission Operator shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to confirm that the results of Bulk Electric System studies were made available to the Transmission Operators, Balancing Authorities (subject to confidentiality requirements), and to its Reliability Coordinator. (Requirement 11 Part 2)
- M6.** Each Generator Operator shall have and provide upon request evidence that, when requested by either a Transmission Operator or Balancing Authority, it performed a generating real and reactive capability verification and provided the results to the requesting entity in accordance with Requirement 13.
- M7.** Each Generator Operator shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to confirm that without any intentional time delay, it notified its Balancing Authority and Transmission Operator of changes in real capabilities. (Requirement 14)
- M8.** Each Generator Operator shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to confirm that, on request, it provided a forecast of expected real power output to assist in operations planning. (Requirement 15)
- M9.** Each Transmission Operators shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to confirm that, without any intentional time delay, it notified its Balancing Authority and Reliability Coordinator of changes in capabilities and characteristics. (Requirement 16)
- M10.** Each Balancing Authority, Transmission Operator, Generator Operator, Transmission Service Provider and Load Serving Entity shall have and provide upon request evidence that could include, but is not limited to, a list of interconnected transmission facilities and their line identifiers at each end or other equivalent evidence that will be used to confirm that it used uniform line identifiers when referring to transmission facilities of an interconnected network. (Requirement 18)

D. Compliance

1. Compliance Monitoring Process

1.1. Compliance Monitoring Responsibility

Regional Reliability Organizations shall be responsible for compliance monitoring.

1.2. Compliance Monitoring and Reset Time Frame

One or more of the following methods will be used to assess compliance:

- Self-certification (Conducted annually with submission according to schedule.)
- Spot Check Audits (Conducted anytime with up to 30 days notice given to prepare.)
- Periodic Audit (Conducted once every three years according to schedule.)
- Triggered Investigations (Notification of an investigation must be made within 60 days of an event or complaint of noncompliance. The entity will have up to 30 calendar days to prepare for the investigation. An entity may request an extension of the preparation period and the extension will be considered by the Compliance Monitor on a case-by-case basis.)

The Performance-Reset Period shall be 12 months from the last finding of non-compliance.

1.3. Data Retention

For Measures 1 and 2, each Transmission Operator shall have its current plans and a rolling 6 months of historical records (evidence).

For Measures 1, 2, and 3 each Balancing Authority shall have its current plans and a rolling 6 months of historical records (evidence).

For Measure 4, each Transmission Operator shall keep its current plans (evidence).

For Measures 5 and 9, each Transmission Operator shall keep 90 days of historical data (evidence).

For Measures 6, 7 and 8, each Generator Operator shall keep 90 days of historical data (evidence).

For Measure 10, each Balancing Authority, Transmission Operator, Generator Operator, Transmission Service Provider, and Load-serving Entity shall have its current list interconnected transmission facilities and their line identifiers at each end or other equivalent evidence as evidence.

If an entity is found non-compliant the entity shall keep information related to the noncompliance until found compliant or for two years plus the current year, whichever is longer.

Evidence used as part of a triggered investigation shall be retained by the entity being investigated for one year from the date that the investigation is closed, as determined by the Compliance Monitor,

The Compliance Monitor shall keep the last periodic audit report and all supporting compliance data

1.4. Additional Compliance Information

None.

2. Levels of Non-Compliance for Balancing Authorities:

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- 2.1. **Level 1:** Did not use uniform line identifiers when referring to transmission facilities of an interconnected network as specified in R18.
 - 2.2. **Level 2:** Not applicable.
 - 2.3. **Level 3:** Not applicable.
 - 2.4. **Level 4:** There shall be a separate Level 4 non-compliance, for every one of the following requirements that is in violation:
 - 2.4.1 Did not maintain an updated set of current-day plans as specified in R1.
 - 2.4.2 Plans did not meet one or more of the requirements specified in R5 through R10.
3. **Levels of Non-Compliance for Transmission Operators**
 - 3.1. **Level 1:** Did not use uniform line identifiers when referring to transmission facilities of an interconnected network as specified in R18.
 - 3.2. **Level 2:** Not applicable.
 - 3.3. **Level 3:** One or more of Bulk Electric System studies were not made available as specified in R11.
 - 3.4. **Level 4:** There shall be a separate Level 4 non-compliance, for every one of the following requirements that is in violation:
 - 3.4.1 Did not maintain an updated set of current-day plans as specified in R1.
 - 3.4.2 Plans did not meet one or more of the requirements in R5, R6, and R10.
 - 3.4.3 Studies not updated to reflect current system conditions as specified in R11.
 - 3.4.4 Did not notify its Balancing Authority and Reliability Coordinator of changes in capabilities and characteristics as specified in R16.
4. **Levels of Non-Compliance for Generator Operators:**
 - 4.1. **Level 1:** Did not use uniform line identifiers when referring to transmission facilities of an interconnected network as specified in R18.
 - 4.2. **Level 2:** Not applicable.
 - 4.3. **Level 3:** Not applicable.
 - 4.4. **Level 4:** There shall be a separate Level 4 non-compliance, for every one of the following requirements that is in violation:
 - 4.4.1 Did not verify and provide a generating real and reactive capability verification and provide the results to the requesting entity as specified in R13.
 - 4.4.2 Did not notify its Balancing Authority and Transmission Operator of changes in capabilities and characteristics as specified in R14.
 - 4.4.3 Did not provide a forecast of expected real power output to assist in operations planning as specified in R15.
5. **Levels of Non-Compliance for Transmission Service Providers and Load-serving Entities:**
 - 5.1. **Level 1:** Did not use uniform line identifiers when referring to transmission facilities of an interconnected network as specified in R18.
 - 5.2. **Level 2:** Not applicable.

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5.3. Level 3: Not applicable.

5.4. Level 4: Not applicable.

E. Regional Differences

None identified.

Version History

Version	Date	Action	Change Tracking
0	April 1, 2005	Effective Date	New
0	August 8, 2005	Removed “Proposed” from Effective Date	Errata
1	November 1, 2006	Adopted by Board of Trustees	Revised
2	June 14, 2007	Fixed typo in R11., (subject to ...)	Errata
2a	February 10, 2009	Added Appendix 1 – Interpretation of R11 approved by BOT on February 10, 2009	Interpretation
2a	December 2, 2009	Interpretation of R11 approved by FERC on December 2, 2009	Same Interpretation
2b	November 4, 2010	Added Appendix 2 – Interpretation of R10 adopted by the Board of Trustees	
2b	October 20, 2011	FERC Order issued approving the Interpretation of R10 (FERC’s Order became effective on October 20, 2011)	
2.1b	March 8, 2012	Errata adopted by Standards Committee; (Removed unnecessary language from the Effective Date section. Deleted retired sub-requirements from Requirement R14)	Errata
2.1b	April 11, 2012	Additional errata adopted by Standards Committee; (Deleted language from retired sub-requirement from Measure M7)	Errata
2.1b	September 13, 2012	FERC approved	Errata

Appendix 1

Interpretation of Requirement R11

Requirement Number and Text of Requirement

Requirement R11: The Transmission Operator shall perform seasonal, next-day, and current-day Bulk Electric System studies to determine SOLs. Neighboring Transmission Operators shall utilize identical SOLs for common facilities. The Transmission Operator shall update these Bulk Electric System studies as necessary to reflect current system conditions; and shall make the results of Bulk Electric System studies available to the Transmission Operators, Balancing Authorities (subject to confidentiality requirements), and to its Reliability Coordinator.

Question #1

Is the Transmission Operator required to conduct a “unique” study for each operating day, even when the actual or expected system conditions are identical to other days already studied? In other words, can a study be used for more than one day?

Response to Question #1

Requirement R11 mandates that each Transmission Operator review (i.e., study) the state of its Transmission Operator area both in advance of each day and during each day. Each day must have “a” study that can be applied to it, but it is not necessary to generate a “unique” study for each day. Therefore, it is acceptable for a Transmission Operator to use a particular study for more than one day.

Question #2

Are there specific actions required to implement a “study”? In other words, what constitutes a study?

Response to Question #2

The requirement does not mandate a particular type of review or study. The review or study may be based on complex computer studies or a manual reasonability review of previously existing study results. The requirement is designed to ensure the Transmission Operator maintains sensitivity to what is happening or what is about to happen.

Question #3

Does the term, “to determine SOLs” as used in the first sentence of Requirement R11 mean the “determination of system operating limits” or does it mean the “identification of potential SOL violations?”

Response to Question #3

TOP-002-2 covers real-time and near-real-time studies. Requirement R11 is meant to include both determining new limits and identifying potential “exceedances” of pre-defined SOLs. If system conditions indicate to the Transmission Operator that prior studies and SOLs may be outdated, TOP-002-2 mandates the Transmission Operator to conduct a study to identify SOLs for the new conditions. If the Transmission Operator determines that system conditions do not warrant a new study, the primary purpose of the review is to check that the previously defined (i.e., defined from the current SOLs in use, or the set defined by the planners) SOLs are not expected to be exceeded. As written, the standard provides the Transmission Operator discretion regarding when to look for new SOLs and when to rely on its current set of SOLs.

Appendix 2

Requirement Number and Text of Requirement:

R10. Each Balancing Authority and Transmission Operator shall plan to meet all System Operating Limits (SOLs) and Interconnection Reliability Operating Limits (IROLs).

Clarification needed:

Requirement 10 is proposed to be eliminated in Project 2007-03 because it is redundant with TOP-004-0 R1, which only applies to TOP not to BA. However, that will not be effective for more than two years. In the meantime, in Requirement 10 is the requirement of the BA to plan to maintain load-interchange-generation balance under the direction of the TOPs meeting all SOLs and IROLs?

Project 2009-27: Response to Request for an Interpretation of TOP-002-2a, Requirement R10, for Florida Municipal Power Pool

The following interpretation of TOP-002-2a — Normal Operations Planning, Requirement R10, was developed by the Real-time Operations Standard Drafting Team.

Requirement Number and Text of Requirement

R10. Each Balancing Authority and Transmission Operator shall plan to meet all System Operating Limits (SOLs) and Interconnection Reliability Operating Limits (IROLs).

Question

In Requirement 10, is the requirement of the BA to plan to maintain load-interchange-generation balance under the direction of the TOPs meeting all SOLs and IROLs?

Response

Yes. As stated in the NERC *Glossary of Terms used in Reliability Standards*, the Balancing Authority is responsible for integrating resource plans ahead of time, maintaining load-interchange-generation balance within a Balancing Authority Area, and supporting Interconnection frequency in real time. The Balancing Authority does not possess the Bulk Electric System information necessary to manage transmission flows (MW, MVAR or Ampere) or voltage. Therefore, the Balancing Authority must follow the directions of the Transmission Operator to meet all SOLs and IROLs.

Glossary of Terms Used in NERC Reliability Standards

Updated November 15, 2012

Introduction:

This Glossary lists each term that was defined for use in one or more of NERC's continent-wide or Regional Reliability Standards and adopted by the NERC Board of Trustees from February 8, 2005 through November 15, 2012.

This reference is divided into two sections, and each section is organized in alphabetical order. The first section identifies all terms that have been adopted by the NERC Board of Trustees for use in continent-wide standards; the second section identifies all terms that have been adopted by the NERC Board of Trustees for use in regional standards. (WECC, NPCC and *ReliabilityFirst* are the only Regions that have definitions approved by the NERC Board of Trustees. If other Regions develop definitions for approved Regional Standards using a NERC-approved standards development process, those definitions will be added to the Regional Definitions section of this glossary.)

Most of the terms identified in this glossary were adopted as part of the development of NERC's initial set of reliability standards, called the "Version 0" standards. Subsequent to the development of Version 0 standards, new definitions have been developed and approved following NERC's Reliability Standards Development Process, and added to this glossary following board adoption, with the "FERC approved" date added following a final Order approving the definition.

Immediately under each term is a link to the archive for the development of that term.

Definitions that have been adopted by the NERC Board of Trustees but have not been approved by FERC, or FERC has not approved but has directed be modified, are shaded in blue. Definitions that have been remanded or retired are shaded in orange.

Any comments regarding this glossary should be reported to the following:
sarcomm@nerc.com with "Glossary Comment" in the subject line.

Continent-wide Definitions:

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NPCC Regional Definitions 55

WECC Regional Definitions 56

Continent-wide Term	Acronym	BOT Approval Date	FERC Approval Date	Definition
Adequacy [Archive]		2/8/2005	3/16/2007	The ability of the electric system to supply the aggregate electrical demand and energy requirements of the end-use customers at all times, taking into account scheduled and reasonably expected unscheduled outages of system elements.
Adjacent Balancing Authority [Archive]		2/8/2005	3/16/2007	A Balancing Authority Area that is interconnected another Balancing Authority Area either directly or via a multi-party agreement or transmission tariff.
Adverse Reliability Impact [Archive]		2/7/2006	3/16/2007	The impact of an event that results in frequency-related instability; unplanned tripping of load or generation; or uncontrolled separation or cascading outages that affects a widespread area of the Interconnection.
Adverse Reliability Impact [Archive]		8/4/2011		The impact of an event that results in Bulk Electric System instability or Cascading.
After the Fact [Archive]	ATF	10/29/2008	12/17/2009	A time classification assigned to an RFI when the submittal time is greater than one hour after the start time of the RFI.
Agreement [Archive]		2/8/2005	3/16/2007	A contract or arrangement, either written or verbal and sometimes enforceable by law.
Alternative Interpersonal Communication [Archive]		11/7/2012		Any Interpersonal Communication that is able to serve as a substitute for, and does not utilize the same infrastructure (medium) as, Interpersonal Communication used for day-to-day operation.

Continent-wide Term	Acronym	BOT Approval Date	FERC Approval Date	Definition
Altitude Correction Factor [Archive]		2/7/2006	3/16/2007	A multiplier applied to specify distances, which adjusts the distances to account for the change in relative air density (RAD) due to altitude from the RAD used to determine the specified distance. Altitude correction factors apply to both minimum worker approach distances and to minimum vegetation clearance distances.
Ancillary Service [Archive]		2/8/2005	3/16/2007	Those services that are necessary to support the transmission of capacity and energy from resources to loads while maintaining reliable operation of the Transmission Service Provider's transmission system in accordance with good utility practice. <i>(From FERC order 888-A.)</i>
Anti-Aliasing Filter [Archive]		2/8/2005	3/16/2007	An analog filter installed at a metering point to remove the high frequency components of the signal over the AGC sample period.
Area Control Error [Archive]	ACE	2/8/2005	3/16/2007	The instantaneous difference between a Balancing Authority's net actual and scheduled interchange, taking into account the effects of Frequency Bias and correction for meter error.
Area Interchange Methodology [Archive]		08/22/2008	11/24/2009	The Area Interchange methodology is characterized by determination of incremental transfer capability via simulation, from which Total Transfer Capability (TTC) can be mathematically derived. Capacity Benefit Margin, Transmission Reliability Margin, and Existing Transmission Commitments are subtracted from the TTC, and Postbacks and counterflows are added, to derive Available Transfer Capability. Under the Area Interchange Methodology, TTC results are generally reported on an area to area basis.

Continent-wide Term	Acronym	BOT Approval Date	FERC Approval Date	Definition
Arranged Interchange [Archive]		5/2/2006	3/16/2007	The state where the Interchange Authority has received the Interchange information (initial or revised).
Automatic Generation Control [Archive]	AGC	2/8/2005	3/16/2007	Equipment that automatically adjusts generation in a Balancing Authority Area from a central location to maintain the Balancing Authority's interchange schedule plus Frequency Bias. AGC may also accommodate automatic inadvertent payback and time error correction.
Available Flowgate Capability [Archive]	AFC	08/22/2008	11/24/2009	A measure of the flow capability remaining on a Flowgate for further commercial activity over and above already committed uses. It is defined as TFC less Existing Transmission Commitments (ETC), less a Capacity Benefit Margin, less a Transmission Reliability Margin, plus Postbacks, and plus counterflows.
Available Transfer Capability [Archive]	ATC	2/8/2005	3/16/2007	A measure of the transfer capability remaining in the physical transmission network for further commercial activity over and above already committed uses. It is defined as Total Transfer Capability less existing transmission commitments (including retail customer service), less a Capacity Benefit Margin, less a Transmission Reliability Margin.
Available Transfer Capability [Archive]	ATC	08/22/2008	11/24/2009	A measure of the transfer capability remaining in the physical transmission network for further commercial activity over and above already committed uses. It is defined as Total Transfer Capability less Existing Transmission Commitments (including retail customer service), less a Capacity Benefit Margin, less a Transmission Reliability Margin, plus Postbacks, plus counterflows.

Continent-wide Term	Acronym	BOT Approval Date	FERC Approval Date	Definition
Available Transfer Capability Implementation Document [Archive]	ATCID	08/22/2008	11/24/2009	A document that describes the implementation of a methodology for calculating ATC or AFC, and provides information related to a Transmission Service Provider's calculation of ATC or AFC.
ATC Path [Archive]		08/22/2008	Not approved; Modification directed 11/24/09	Any combination of Point of Receipt and Point of Delivery for which ATC is calculated; and any Posted Path ¹ .

¹ See 18 CFR 37.6(b)(1)

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Balancing Authority [Archive]	BA	2/8/2005	3/16/2007	The responsible entity that integrates resource plans ahead of time, maintains load-interchange-generation balance within a Balancing Authority Area, and supports Interconnection frequency in real time.
Balancing Authority Area [Archive]		2/8/2005	3/16/2007	The collection of generation, transmission, and loads within the metered boundaries of the Balancing Authority. The Balancing Authority maintains load-resource balance within this area.
Base Load [Archive]		2/8/2005	3/16/2007	The minimum amount of electric power delivered or required over a given period at a constant rate.
Blackstart Capability Plan [Archive]		2/8/2005 Will be retired when EOP-005-2 becomes enforceable on (7/1/13)	3/16/2007	A documented procedure for a generating unit or station to go from a shutdown condition to an operating condition delivering electric power without assistance from the electric system. This procedure is only a portion of an overall system restoration plan.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Blackstart Resource [Archive]		8/5/2009	3/17/11	A generating unit(s) and its associated set of equipment which has the ability to be started without support from the System or is designed to remain energized without connection to the remainder of the System, with the ability to energize a bus, meeting the Transmission Operator’s restoration plan needs for real and reactive power capability, frequency and voltage control, and that has been included in the Transmission Operator’s restoration plan.
Block Dispatch [Archive]		08/22/2008	11/24/2009	A set of dispatch rules such that given a specific amount of load to serve, an approximate generation dispatch can be determined. To accomplish this, the capacity of a given generator is segmented into loadable “blocks,” each of which is grouped and ordered relative to other blocks (based on characteristics including, but not limited to, efficiency, run of river or fuel supply considerations, and/or “must-run” status).
Bulk Electric System [Archive]	BES	2/8/2005	3/16/2007	As defined by the Regional Reliability Organization, the electrical generation resources, transmission lines, interconnections with neighboring systems, and associated equipment, generally operated at voltages of 100 kV or higher. Radial transmission facilities serving only load with one transmission source are generally not included in this definition.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Burden [Archive]		2/8/2005	3/16/2007	Operation of the Bulk Electric System that violates or is expected to violate a System Operating Limit or Interconnection Reliability Operating Limit in the Interconnection, or that violates any other NERC, Regional Reliability Organization, or local operating reliability standards or criteria.
Business Practices [Archive]		8/22/2008	Not approved; Modification directed 11/24/09	Those business rules contained in the Transmission Service Provider's applicable tariff, rules, or procedures; associated Regional Reliability Organization or regional entity business practices; or NAESB Business Practices.
Bus-tie Breaker [Archive]		8/4/2011		A circuit breaker that is positioned to connect two individual substation bus configurations.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Capacity Benefit Margin [Archive]	CBM	2/8/2005	3/16/2007	The amount of firm transmission transfer capability preserved by the transmission provider for Load-Serving Entities (LSEs), whose loads are located on that Transmission Service Provider's system, to enable access by the LSEs to generation from interconnected systems to meet generation reliability requirements. Preservation of CBM for an LSE allows that entity to reduce its installed generating capacity below that which may otherwise have been necessary without interconnections to meet its generation reliability requirements. The transmission transfer capability preserved as CBM is intended to be used by the LSE only in times of emergency generation deficiencies.
Capacity Benefit Margin Implementation Document [Archive]	CBMID	11/13/2008	11/24/2009	A document that describes the implementation of a Capacity Benefit Margin methodology.
Capacity Emergency [Archive]		2/8/2005	3/16/2007	A capacity emergency exists when a Balancing Authority Area's operating capacity, plus firm purchases from other systems, to the extent available or limited by transfer capability, is inadequate to meet its demand plus its regulating requirements.
Cascading [Archive]		2/8/2005	3/16/2007	The uncontrolled successive loss of system elements triggered by an incident at any location. Cascading results in widespread electric service interruption that cannot be restrained from sequentially spreading beyond an area predetermined by studies.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Cascading Outages [Archive]		11/1/2006 Withdrawn 2/12/2008	FERC Remanded 12/27/2007	The uncontrolled successive loss of Bulk Electric System Facilities triggered by an incident (or condition) at any location resulting in the interruption of electric service that cannot be restrained from spreading beyond a pre-determined area.
Clock Hour [Archive]		2/8/2005	3/16/2007	The 60-minute period ending at :00. All surveys, measurements, and reports are based on Clock Hour periods unless specifically noted.
Cogeneration [Archive]		2/8/2005	3/16/2007	Production of electricity from steam, heat, or other forms of energy produced as a by-product of another process.
Compliance Monitor [Archive]		2/8/2005	3/16/2007	The entity that monitors, reviews, and ensures compliance of responsible entities with reliability standards.
Confirmed Interchange [Archive]		5/2/2006	3/16/2007	The state where the Interchange Authority has verified the Arranged Interchange.
Congestion Management Report [Archive]		2/8/2005	3/16/2007	A report that the Interchange Distribution Calculator issues when a Reliability Coordinator initiates the Transmission Loading Relief procedure. This report identifies the transactions and native and network load curtailments that must be initiated to achieve the loading relief requested by the initiating Reliability Coordinator.
Consequential Load Loss [Archive]		8/4/2011		All Load that is no longer served by the Transmission system as a result of Transmission Facilities being removed from service by a Protection System operation designed to isolate the fault.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Constrained Facility [Archive]		2/8/2005	3/16/2007	A transmission facility (line, transformer, breaker, etc.) that is approaching, is at, or is beyond its System Operating Limit or Interconnection Reliability Operating Limit.
Contingency [Archive]		2/8/2005	3/16/2007	The unexpected failure or outage of a system component, such as a generator, transmission line, circuit breaker, switch or other electrical element.
Contingency Reserve [Archive]		2/8/2005	3/16/2007	The provision of capacity deployed by the Balancing Authority to meet the Disturbance Control Standard (DCS) and other NERC and Regional Reliability Organization contingency requirements.
Contract Path [Archive]		2/8/2005	3/16/2007	An agreed upon electrical path for the continuous flow of electrical power between the parties of an Interchange Transaction.
Control Performance Standard [Archive]	CPS	2/8/2005	3/16/2007	The reliability standard that sets the limits of a Balancing Authority's Area Control Error over a specified time period.
Corrective Action Plan [Archive]		2/7/2006	3/16/2007	A list of actions and an associated timetable for implementation to remedy a specific problem.
Cranking Path [Archive]		5/2/2006	3/16/2007	A portion of the electric system that can be isolated and then energized to deliver electric power from a generation source to enable the startup of one or more other generating units.
Critical Assets [Archive]		5/2/2006	1/18/2008	Facilities, systems, and equipment which, if destroyed, degraded, or otherwise rendered unavailable, would affect the reliability or operability of the Bulk Electric System.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Critical Cyber Assets [Archive]		5/2/2006	1/18/2008	Cyber Assets essential to the reliable operation of Critical Assets.
Curtailement [Archive]		2/8/2005	3/16/2007	A reduction in the scheduled capacity or energy delivery of an Interchange Transaction.
Curtailement Threshold [Archive]		2/8/2005	3/16/2007	The minimum Transfer Distribution Factor which, if exceeded, will subject an Interchange Transaction to curtailement to relieve a transmission facility constraint.
Cyber Assets [Archive]		5/2/2006	1/18/2008	Programmable electronic devices and communication networks including hardware, software, and data.
Cyber Security Incident [Archive]		5/2/2006	1/18/2008	Any malicious act or suspicious event that: <ul style="list-style-type: none"> • Compromises, or was an attempt to compromise, the Electronic Security Perimeter or Physical Security Perimeter of a Critical Cyber Asset, or, • Disrupts, or was an attempt to disrupt, the operation of a Critical Cyber Asset.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Delayed Fault Clearing [Archive]		11/1/2006	12/27/2007	Fault clearing consistent with correct operation of a breaker failure protection system and its associated breakers, or of a backup protection system with an intentional time delay.
Demand [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. The rate at which electric energy is delivered to or by a system or part of a system, generally expressed in kilowatts or megawatts, at a given instant or averaged over any designated interval of time. 2. The rate at which energy is being used by the customer.
Demand-Side Management [Archive]	DSM	2/8/2005	3/16/2007	The term for all activities or programs undertaken by Load-Serving Entity or its customers to influence the amount or timing of electricity they use.
Direct Control Load Management [Archive]	DCLM	2/8/2005	3/16/2007	Demand-Side Management that is under the direct control of the system operator. DCLM may control the electric supply to individual appliances or equipment on customer premises. DCLM as defined here does not include Interruptible Demand.
Dispatch Order [Archive]		08/22/2008	11/24/2009	A set of dispatch rules such that given a specific amount of load to serve, an approximate generation dispatch can be determined. To accomplish this, each generator is ranked by priority.
Dispersed Load by Substations [Archive]		2/8/2005	3/16/2007	Substation load information configured to represent a system for power flow or system dynamics modeling purposes, or both.
Distribution Factor [Archive]	DF	2/8/2005	3/16/2007	The portion of an Interchange Transaction, typically expressed in per unit that flows across a transmission facility (Flowgate).

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Distribution Provider [Archive]	DP	2/8/2005	3/16/2007	Provides and operates the “wires” between the transmission system and the end-use customer. For those end-use customers who are served at transmission voltages, the Transmission Owner also serves as the Distribution Provider. Thus, the Distribution Provider is not defined by a specific voltage, but rather as performing the Distribution function at any voltage.
Disturbance [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. An unplanned event that produces an abnormal system condition. 2. Any perturbation to the electric system. 3. The unexpected change in ACE that is caused by the sudden failure of generation or interruption of load.
Disturbance Control Standard [Archive]	DCS	2/8/2005	3/16/2007	The reliability standard that sets the time limit following a Disturbance within which a Balancing Authority must return its Area Control Error to within a specified range.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Disturbance Monitoring Equipment [Archive]	DME	8/2/2006	3/16/2007	<p>Devices capable of monitoring and recording system data pertaining to a Disturbance. Such devices include the following categories of recorders²:</p> <ul style="list-style-type: none"> • Sequence of event recorders which record equipment response to the event • Fault recorders, which record actual waveform data replicating the system primary voltages and currents. This may include protective relays. • Dynamic Disturbance Recorders (DDRs), which record incidents that portray power system behavior during dynamic events such as low-frequency (0.1 Hz – 3 Hz) oscillations and abnormal frequency or voltage excursions
Dynamic Interchange Schedule or Dynamic Schedule [Archive]		2/8/2005	3/16/2007	A telemetered reading or value that is updated in real time and used as a schedule in the AGC/ACE equation and the integrated value of which is treated as a schedule for interchange accounting purposes. Commonly used for scheduling jointly owned generation to or from another Balancing Authority Area.
Dynamic Transfer [Archive]		2/8/2005	3/16/2007	The provision of the real-time monitoring, telemetering, computer software, hardware, communications, engineering, energy accounting (including inadvertent interchange), and administration required to electronically move all or a portion of the real energy services associated with a generator or load out of one Balancing Authority Area into another.

² Phasor Measurement Units and any other equipment that meets the functional requirements of DMEs may qualify as DMEs.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Economic Dispatch [Archive]		2/8/2005	3/16/2007	The allocation of demand to individual generating units on line to effect the most economical production of electricity.
Electrical Energy [Archive]		2/8/2005	3/16/2007	The generation or use of electric power by a device over a period of time, expressed in kilowatthours (kWh), megawatthours (MWh), or gigawatthours (GWh).
Electronic Security Perimeter [Archive]	ESP	5/2/2006	1/18/2008	The logical border surrounding a network to which Critical Cyber Assets are connected and for which access is controlled.
Element [Archive]		2/8/2005	3/16/2007	Any electrical device with terminals that may be connected to other electrical devices such as a generator, transformer, circuit breaker, bus section, or transmission line. An element may be comprised of one or more components.
Emergency or BES Emergency [Archive]		2/8/2005	3/16/2007	Any abnormal system condition that requires automatic or immediate manual action to prevent or limit the failure of transmission facilities or generation supply that could adversely affect the reliability of the Bulk Electric System.
Emergency Rating [Archive]		2/8/2005	3/16/2007	The rating as defined by the equipment owner that specifies the level of electrical loading or output, usually expressed in megawatts (MW) or Mvar or other appropriate units, that a system, facility, or element can support, produce, or withstand for a finite period. The rating assumes acceptable loss of equipment life or other physical or safety limitations for the equipment involved.
Emergency Request for Interchange [Archive]	Emergency RFI	10/29/2008	12/17/2009	Request for Interchange to be initiated for Emergency or Energy Emergency conditions.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Energy Emergency [Archive]		2/8/2005	3/16/2007	A condition when a Load-Serving Entity has exhausted all other options and can no longer provide its customers' expected energy requirements.
Equipment Rating [Archive]		2/7/2006	3/16/2007	The maximum and minimum voltage, current, frequency, real and reactive power flows on individual equipment under steady state, short-circuit and transient conditions, as permitted or assigned by the equipment owner.
Existing Transmission Commitments [Archive]	ETC	08/22/2008	11/24/2009	Committed uses of a Transmission Service Provider's Transmission system considered when determining ATC or AFC.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Facility [Archive]		2/7/2006	3/16/2007	A set of electrical equipment that operates as a single Bulk Electric System Element (e.g., a line, a generator, a shunt compensator, transformer, etc.)
Facility Rating [Archive]		2/8/2005	3/16/2007	The maximum or minimum voltage, current, frequency, or real or reactive power flow through a facility that does not violate the applicable equipment rating of any equipment comprising the facility.
Fault [Archive]		2/8/2005	3/16/2007	An event occurring on an electric system such as a short circuit, a broken wire, or an intermittent connection.
Fire Risk [Archive]		2/7/2006	3/16/2007	The likelihood that a fire will ignite or spread in a particular geographic area.
Firm Demand [Archive]		2/8/2005	3/16/2007	That portion of the Demand that a power supplier is obligated to provide except when system reliability is threatened or during emergency conditions.
Firm Transmission Service [Archive]		2/8/2005	3/16/2007	The highest quality (priority) service offered to customers under a filed rate schedule that anticipates no planned interruption.
Flashover [Archive]		2/7/2006	3/16/2007	An electrical discharge through air around or over the surface of insulation, between objects of different potential, caused by placing a voltage across the air space that results in the ionization of the air space.
Flowgate [Archive]		2/8/2005	3/16/2007	A designated point on the transmission system through which the Interchange Distribution Calculator calculates the power flow from Interchange Transactions.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Flowgate [Archive]		08/22/2008	11/24/2009	<p>1.) A portion of the Transmission system through which the Interchange Distribution Calculator calculates the power flow from Interchange Transactions.</p> <p>2.) A mathematical construct, comprised of one or more monitored transmission Facilities and optionally one or more contingency Facilities, used to analyze the impact of power flows upon the Bulk Electric System.</p>
Flowgate Methodology [Archive]		08/22/2008	11/24/2009	The Flowgate methodology is characterized by identification of key Facilities as Flowgates. Total Flowgate Capabilities are determined based on Facility Ratings and voltage and stability limits. The impacts of Existing Transmission Commitments (ETCs) are determined by simulation. The impacts of ETC, Capacity Benefit Margin (CBM) and Transmission Reliability Margin (TRM) are subtracted from the Total Flowgate Capability, and Postbacks and counterflows are added, to determine the Available Flowgate Capability (AFC) value for that Flowgate. AFCs can be used to determine Available Transfer Capability (ATC).
Forced Outage [Archive]		2/8/2005	3/16/2007	<p>1. The removal from service availability of a generating unit, transmission line, or other facility for emergency reasons.</p> <p>2. The condition in which the equipment is unavailable due to unanticipated failure.</p>
Frequency Bias [Archive]		2/8/2005	3/16/2007	A value, usually expressed in megawatts per 0.1 Hertz (MW/0.1 Hz), associated with a Balancing Authority Area that approximates the Balancing Authority Area's response to Interconnection frequency error.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Frequency Bias Setting [Archive]		2/8/2005	3/16/2007	A value, usually expressed in MW/0.1 Hz, set into a Balancing Authority ACE algorithm that allows the Balancing Authority to contribute its frequency response to the Interconnection.
Frequency Deviation [Archive]		2/8/2005	3/16/2007	A change in Interconnection frequency.
Frequency Error [Archive]		2/8/2005	3/16/2007	The difference between the actual and scheduled frequency. ($F_A - F_S$)
Frequency Regulation [Archive]		2/8/2005	3/16/2007	The ability of a Balancing Authority to help the Interconnection maintain Scheduled Frequency. This assistance can include both turbine governor response and Automatic Generation Control.
Frequency Response [Archive]		2/8/2005	3/16/2007	(Equipment) The ability of a system or elements of the system to react or respond to a change in system frequency. (System) The sum of the change in demand, plus the change in generation, divided by the change in frequency, expressed in megawatts per 0.1 Hertz (MW/0.1 Hz).

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Generator Operator [Archive]	GOP	2/8/2005	3/16/2007	The entity that operates generating unit(s) and performs the functions of supplying energy and Interconnected Operations Services.
Generator Owner [Archive]	GO	2/8/2005	3/16/2007	Entity that owns and maintains generating units.
Generator Shift Factor [Archive]	GSF	2/8/2005	3/16/2007	A factor to be applied to a generator's expected change in output to determine the amount of flow contribution that change in output will impose on an identified transmission facility or Flowgate.
Generator-to-Load Distribution Factor [Archive]	GLDF	2/8/2005	3/16/2007	The algebraic sum of a Generator Shift Factor and a Load Shift Factor to determine the total impact of an Interchange Transaction on an identified transmission facility or Flowgate.
Generation Capability Import Requirement [Archive]	GCIR	11/13/2008	11/24/2009	The amount of generation capability from external sources identified by a Load-Serving Entity (LSE) or Resource Planner (RP) to meet its generation reliability or resource adequacy requirements as an alternative to internal resources.
Host Balancing Authority [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. A Balancing Authority that confirms and implements Interchange Transactions for a Purchasing Selling Entity that operates generation or serves customers directly within the Balancing Authority's metered boundaries. 2. The Balancing Authority within whose metered boundaries a jointly owned unit is physically located.
Hourly Value [Archive]		2/8/2005	3/16/2007	Data measured on a Clock Hour basis.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Implemented Interchange [Archive]		5/2/2006	3/16/2007	The state where the Balancing Authority enters the Confirmed Interchange into its Area Control Error equation.
Inadvertent Interchange [Archive]		2/8/2005	3/16/2007	The difference between the Balancing Authority's Net Actual Interchange and Net Scheduled Interchange. ($I_A - I_S$)
Independent Power Producer [Archive]	IPP	2/8/2005	3/16/2007	Any entity that owns or operates an electricity generating facility that is not included in an electric utility's rate base. This term includes, but is not limited to, cogenerators and small power producers and all other nonutility electricity producers, such as exempt wholesale generators, who sell electricity.
Institute of Electrical and Electronics Engineers, Inc. [Archive]	IEEE	2/7/2006	3/16/2007	
Interchange [Archive]		5/2/2006	3/16/2007	Energy transfers that cross Balancing Authority boundaries.
Interchange Authority [Archive]	IA	5/2/2006	3/16/2007	The responsible entity that authorizes implementation of valid and balanced Interchange Schedules between Balancing Authority Areas, and ensures communication of Interchange information for reliability assessment purposes.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Interchange Distribution Calculator [Archive]	IDC	2/8/2005	3/16/2007	The mechanism used by Reliability Coordinators in the Eastern Interconnection to calculate the distribution of Interchange Transactions over specific Flowgates. It includes a database of all Interchange Transactions and a matrix of the Distribution Factors for the Eastern Interconnection.
Interchange Schedule [Archive]		2/8/2005	3/16/2007	An agreed-upon Interchange Transaction size (megawatts), start and end time, beginning and ending ramp times and rate, and type required for delivery and receipt of power and energy between the Source and Sink Balancing Authorities involved in the transaction.
Interchange Transaction [Archive]		2/8/2005	3/16/2007	An agreement to transfer energy from a seller to a buyer that crosses one or more Balancing Authority Area boundaries.
Interchange Transaction Tag or Tag [Archive]		2/8/2005	3/16/2007	The details of an Interchange Transaction required for its physical implementation.
Interconnected Operations Service [Archive]		2/8/2005	3/16/2007	A service (exclusive of basic energy and transmission services) that is required to support the reliable operation of interconnected Bulk Electric Systems.
Interconnection [Archive]		2/8/2005	3/16/2007	When capitalized, any one of the three major electric system networks in North America: Eastern, Western, and ERCOT.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Interconnection Reliability Operating Limit [Archive]	IROL	2/8/2005	3/16/2007 Retired 12/27/2007	The value (such as MW, MVar, Amperes, Frequency or Volts) derived from, or a subset of the System Operating Limits, which if exceeded, could expose a widespread area of the Bulk Electric System to instability, uncontrolled separation(s) or cascading outages.
Interconnection Reliability Operating Limit [Archive]	IROL	11/1/2006	12/27/2007	A System Operating Limit that, if violated, could lead to instability, uncontrolled separation, or Cascading outages ³ that adversely impact the reliability of the Bulk Electric System.
Interconnection Reliability Operating Limit T _v [Archive]	IROL T _v	11/1/2006	12/27/2007	The maximum time that an Interconnection Reliability Operating Limit can be violated before the risk to the interconnection or other Reliability Coordinator Area(s) becomes greater than acceptable. Each Interconnection Reliability Operating Limit's T _v shall be less than or equal to 30 minutes.
Intermediate Balancing Authority [Archive]		2/8/2005	3/16/2007	A Balancing Authority Area that has connecting facilities in the Scheduling Path between the Sending Balancing Authority Area and Receiving Balancing Authority Area and operating agreements that establish the conditions for the use of such facilities.
Interpersonal Communication [Archive]		11/7/2012		Any medium that allows two or more individuals to interact, consult, or exchange information.

³ On September 13, 2012, FERC issued an Order approving NERC's request to modify the reference to "Cascading Outages" to "Cascading outages" within the definition of IROL due to the fact that the definition of "Cascading Outages" was previously remanded by FERC.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Interruptible Load or Interruptible Demand [Archive]		11/1/2006	3/16/2007	Demand that the end-use customer makes available to its Load-Serving Entity via contract or agreement for curtailment.
Joint Control [Archive]		2/8/2005	3/16/2007	Automatic Generation Control of jointly owned units by two or more Balancing Authorities.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Limiting Element [Archive]		2/8/2005	3/16/2007	The element that is 1.)Either operating at its appropriate rating, or 2,) Would be following the limiting contingency. Thus, the Limiting Element establishes a system limit.
Load [Archive]		2/8/2005	3/16/2007	An end-use device or customer that receives power from the electric system.
Load Shift Factor [Archive]	LSF	2/8/2005	3/16/2007	A factor to be applied to a load's expected change in demand to determine the amount of flow contribution that change in demand will impose on an identified transmission facility or monitored Flowgate.
Load-Serving Entity [Archive]	LSE	2/8/2005	3/16/2007	Secures energy and transmission service (and related Interconnected Operations Services) to serve the electrical demand and energy requirements of its end-use customers.
Long-Term Transmission Planning Horizon [Archive]		8/4/2011		Transmission planning period that covers years six through ten or beyond when required to accommodate any known longer lead time projects that may take longer than ten years to complete.
Market Flow [Archive]		11/4/2010	4/21/2011	The total amount of power flowing across a specified Facility or set of Facilities due to a market dispatch of generation internal to the market to serve load internal to the market.
Minimum Vegetation Clearance Distance [Archive]	MVCD	11/3/2011		The calculated minimum distance stated in feet (meters) to prevent flash-over between conductors and vegetation, for various altitudes and operating voltages.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Misoperation [Archive]		2/7/2006	3/16/2007	<ul style="list-style-type: none"> Any failure of a Protection System element to operate within the specified time when a fault or abnormal condition occurs within a zone of protection. Any operation for a fault not within a zone of protection (other than operation as backup protection for a fault in an adjacent zone that is not cleared within a specified time for the protection for that zone). Any unintentional Protection System operation when no fault or other abnormal condition has occurred unrelated to on-site maintenance and testing activity.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Native Load [Archive]		2/8/2005	3/16/2007	The end-use customers that the Load-Serving Entity is obligated to serve.
Near-Term Transmission Planning Horizon [Archive]		1/24/2011	11/17/2011	The transmission planning period that covers Year One through five.
Net Actual Interchange [Archive]		2/8/2005	3/16/2007	The algebraic sum of all metered interchange over all interconnections between two physically Adjacent Balancing Authority Areas.
Net Energy for Load [Archive]		2/8/2005	3/16/2007	Net Balancing Authority Area generation, plus energy received from other Balancing Authority Areas, less energy delivered to Balancing Authority Areas through interchange. It includes Balancing Authority Area losses but excludes energy required for storage at energy storage facilities.
Net Interchange Schedule [Archive]		2/8/2005	3/16/2007	The algebraic sum of all Interchange Schedules with each Adjacent Balancing Authority.
Net Scheduled Interchange [Archive]		2/8/2005	3/16/2007	The algebraic sum of all Interchange Schedules across a given path or between Balancing Authorities for a given period or instant in time.
Network Integration Transmission Service [Archive]		2/8/2005	3/16/2007	Service that allows an electric transmission customer to integrate, plan, economically dispatch and regulate its network reserves in a manner comparable to that in which the Transmission Owner serves Native Load customers.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Non-Consequential Load Loss [Archive]		8/4/2011		Non-Interruptible Load loss that does not include: (1) Consequential Load Loss, (2) the response of voltage sensitive Load, or (3) Load that is disconnected from the System by end-user equipment.
Non-Firm Transmission Service [Archive]		2/8/2005	3/16/2007	Transmission service that is reserved on an as-available basis and is subject to curtailment or interruption.
Non-Spinning Reserve [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. That generating reserve not connected to the system but capable of serving demand within a specified time. 2. Interruptible load that can be removed from the system in a specified time.
Normal Clearing [Archive]		11/1/2006	12/27/2007	A protection system operates as designed and the fault is cleared in the time normally expected with proper functioning of the installed protection systems.
Normal Rating [Archive]		2/8/2005	3/16/2007	The rating as defined by the equipment owner that specifies the level of electrical loading, usually expressed in megawatts (MW) or other appropriate units that a system, facility, or element can support or withstand through the daily demand cycles without loss of equipment life.
Nuclear Plant Generator Operator [Archive]		5/2/2007	10/16/2008	Any Generator Operator or Generator Owner that is a Nuclear Plant Licensee responsible for operation of a nuclear facility licensed to produce commercial power.
Nuclear Plant Off-site Power Supply (Off-site Power) [Archive]		5/2/2007	10/16/2008	The electric power supply provided from the electric system to the nuclear power plant distribution system as required per the nuclear power plant license.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Nuclear Plant Licensing Requirements [Archive]	NPLRs	5/2/2007	10/16/2008	Requirements included in the design basis of the nuclear plant and statutorily mandated for the operation of the plant, including nuclear power plant licensing requirements for: 1) Off-site power supply to enable safe shutdown of the plant during an electric system or plant event; and 2) Avoiding preventable challenges to nuclear safety as a result of an electric system disturbance, transient, or condition.
Nuclear Plant Interface Requirements [Archive]	NPIRs	5/2/2007	10/16/2008	The requirements based on NPLRs and Bulk Electric System requirements that have been mutually agreed to by the Nuclear Plant Generator Operator and the applicable Transmission Entities.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Off-Peak [Archive]		2/8/2005	3/16/2007	Those hours or other periods defined by NAESB business practices, contract, agreements, or guides as periods of lower electrical demand.
On-Peak [Archive]		2/8/2005	3/16/2007	Those hours or other periods defined by NAESB business practices, contract, agreements, or guides as periods of higher electrical demand.
Open Access Same Time Information Service [Archive]	OASIS	2/8/2005	3/16/2007	An electronic posting system that the Transmission Service Provider maintains for transmission access data and that allows all transmission customers to view the data simultaneously.
Open Access Transmission Tariff [Archive]	OATT	2/8/2005	3/16/2007	Electronic transmission tariff accepted by the U.S. Federal Energy Regulatory Commission requiring the Transmission Service Provider to furnish to all shippers with non-discriminating service comparable to that provided by Transmission Owners to themselves.
Operating Plan [Archive]		2/7/2006	3/16/2007	A document that identifies a group of activities that may be used to achieve some goal. An Operating Plan may contain Operating Procedures and Operating Processes. A company-specific system restoration plan that includes an Operating Procedure for black-starting units, Operating Processes for communicating restoration progress with other entities, etc., is an example of an Operating Plan.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Operating Procedure [Archive]		2/7/2006	3/16/2007	A document that identifies specific steps or tasks that should be taken by one or more specific operating positions to achieve specific operating goal(s). The steps in an Operating Procedure should be followed in the order in which they are presented, and should be performed by the position(s) identified. A document that lists the specific steps for a system operator to take in removing a specific transmission line from service is an example of an Operating Procedure.
Operating Process [Archive]		2/7/2006	3/16/2007	A document that identifies general steps for achieving a generic operating goal. An Operating Process includes steps with options that may be selected depending upon Real-time conditions. A guideline for controlling high voltage is an example of an Operating Process.
Operating Reserve [Archive]		2/8/2005	3/16/2007	That capability above firm system demand required to provide for regulation, load forecasting error, equipment forced and scheduled outages and local area protection. It consists of spinning and non-spinning reserve.
Operating Reserve – Spinning [Archive]		2/8/2005	3/16/2007	The portion of Operating Reserve consisting of: <ul style="list-style-type: none"> • Generation synchronized to the system and fully available to serve load within the Disturbance Recovery Period following the contingency event; or • Load fully removable from the system within the Disturbance Recovery Period following the contingency event.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Operating Reserve – Supplemental [Archive]		2/8/2005	3/16/2007	The portion of Operating Reserve consisting of: <ul style="list-style-type: none"> • Generation (synchronized or capable of being synchronized to the system) that is fully available to serve load within the Disturbance Recovery Period following the contingency event; or • Load fully removable from the system within the Disturbance Recovery Period following the contingency event.
Operating Voltage [Archive]		2/7/2006	3/16/2007	The voltage level by which an electrical system is designated and to which certain operating characteristics of the system are related; also, the effective (root-mean-square) potential difference between any two conductors or between a conductor and the ground. The actual voltage of the circuit may vary somewhat above or below this value.
Operational Planning Analysis [Archive]		10/17/2008	3/17/2011	An analysis of the expected system conditions for the next day's operation. (That analysis may be performed either a day ahead or as much as 12 months ahead.) Expected system conditions include things such as load forecast(s), generation output levels, and known system constraints (transmission facility outages, generator outages, equipment limitations, etc.).
Outage Transfer Distribution Factor [Archive]	OTDF	8/22/2008	11/24/2009	In the post-contingency configuration of a system under study, the electric Power Transfer Distribution Factor (PTDF) with one or more system Facilities removed from service (outaged).

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Overlap Regulation Service [Archive]		2/8/2005	3/16/2007	A method of providing regulation service in which the Balancing Authority providing the regulation service incorporates another Balancing Authority's actual interchange, frequency response, and schedules into providing Balancing Authority's AGC/ACE equation.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Participation Factors [Archive]		8/22/2008	11/24/2009	A set of dispatch rules such that given a specific amount of load to serve, an approximate generation dispatch can be determined. To accomplish this, generators are assigned a percentage that they will contribute to serve load.
Peak Demand [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. The highest hourly integrated Net Energy For Load within a Balancing Authority Area occurring within a given period (e.g., day, month, season, or year). 2. The highest instantaneous demand within the Balancing Authority Area.
Performance-Reset Period [Archive]		2/7/2006	3/16/2007	The time period that the entity being assessed must operate without any violations to reset the level of non compliance to zero.
Physical Security Perimeter [Archive]	PSP	5/2/2006	1/18/2008	The physical, completely enclosed ("six-wall") border surrounding computer rooms, telecommunications rooms, operations centers, and other locations in which Critical Cyber Assets are housed and for which access is controlled.
Planning Assessment [Archive]		8/4/2011		Documented evaluation of future Transmission system performance and Corrective Action Plans to remedy identified deficiencies.
Planning Authority [Archive]	PA	2/8/2005	3/16/2007	The responsible entity that coordinates and integrates transmission facility and service plans, resource plans, and protection systems.
Planning Coordinator [Archive]	PC	8/22/2008	11/24/2009	See Planning Authority.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Point of Delivery [Archive]	POD	2/8/2005	3/16/2007	A location that the Transmission Service Provider specifies on its transmission system where an Interchange Transaction leaves or a Load-Serving Entity receives its energy.
Point of Receipt [Archive]	POR	2/8/2005	3/16/2007	A location that the Transmission Service Provider specifies on its transmission system where an Interchange Transaction enters or a Generator delivers its output.
Point to Point Transmission Service [Archive]	PTP	2/8/2005	3/16/2007	The reservation and transmission of capacity and energy on either a firm or non-firm basis from the Point(s) of Receipt to the Point(s) of Delivery.
Postback [Archive]		08/22/2008	Not approved; Modification directed 11/24/09	Positive adjustments to ATC or AFC as defined in Business Practices. Such Business Practices may include processing of redirects and unscheduled service.
Power Transfer Distribution Factor [Archive]	PTDF	08/22/2008	11/24/2009	In the pre-contingency configuration of a system under study, a measure of the responsiveness or change in electrical loadings on transmission system Facilities due to a change in electric power transfer from one area to another, expressed in percent (up to 100%) of the change in power transfer
Pro Forma Tariff [Archive]		2/8/2005	3/16/2007	Usually refers to the standard OATT and/or associated transmission rights mandated by the U.S. Federal Energy Regulatory Commission Order No. 888.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Protection System [Archive]		2/7/2006	3/17/2007 Will be retired 4/1/2013	Protective relays, associated communication systems, voltage and current sensing devices, station batteries and DC control circuitry.
Protection System ⁴ [Archive] [Implementation Plan]		11/19/2010	2/3/2012	Protection System – <ul style="list-style-type: none"> • Protective relays which respond to electrical quantities, • Communications systems necessary for correct operation of protective functions • Voltage and current sensing devices providing inputs to protective relays, • Station dc supply associated with protective functions (including batteries, battery chargers, and non-battery-based dc supply), and • Control circuitry associated with protective functions through the trip coil(s) of the circuit breakers or other interrupting devices.

⁴ This term becomes effective on April 1, 2013.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Protection System Maintenance Program [Archive]	PSMP	11/7/2012		System components are kept in working order and proper operation of malfunctioning components is restored. A maintenance program for a specific component includes one or more of the following activities: Verify — Determine that the component is functioning correctly. Monitor — Observe the routine in-service operation of the component. Test — Apply signals to a component to observe functional performance or output behavior, or to diagnose problems. Inspect — Examine for signs of component failure, reduced performance or degradation. Calibrate — Adjust the operating threshold or measurement accuracy of a measuring element to meet the intended performance requirement.
Pseudo-Tie [Archive]		2/8/2005	3/16/2007	A telemetered reading or value that is updated in real time and used as a “virtual” tie line flow in the AGC/ACE equation but for which no physical tie or energy metering actually exists. The integrated value is used as a metered MWh value for interchange accounting purposes.
Purchasing-Selling Entity [Archive]	PSE	2/8/2005	3/16/2007	The entity that purchases or sells, and takes title to, energy, capacity, and Interconnected Operations Services. Purchasing-Selling Entities may be affiliated or unaffiliated merchants and may or may not own generating facilities.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Ramp Rate or Ramp [Archive]		2/8/2005	3/16/2007	(Schedule) The rate, expressed in megawatts per minute, at which the interchange schedule is attained during the ramp period. (Generator) The rate, expressed in megawatts per minute, that a generator changes its output.
Rated Electrical Operating Conditions [Archive]		2/7/2006	3/16/2007	The specified or reasonably anticipated conditions under which the electrical system or an individual electrical circuit is intend/designed to operate
Rating [Archive]		2/8/2005	3/16/2007	The operational limits of a transmission system element under a set of specified conditions.
Rated System Path Methodology [Archive]		08/22/2008	11/24/2009	The Rated System Path Methodology is characterized by an initial Total Transfer Capability (TTC), determined via simulation. Capacity Benefit Margin, Transmission Reliability Margin, and Existing Transmission Commitments are subtracted from TTC, and Postbacks and counterflows are added as applicable, to derive Available Transfer Capability. Under the Rated System Path Methodology, TTC results are generally reported as specific transmission path capabilities.
Reactive Power [Archive]		2/8/2005	3/16/2007	The portion of electricity that establishes and sustains the electric and magnetic fields of alternating-current equipment. Reactive power must be supplied to most types of magnetic equipment, such as motors and transformers. It also must supply the reactive losses on transmission facilities. Reactive power is provided by generators, synchronous condensers, or electrostatic equipment such as capacitors and directly influences electric system voltage. It is usually expressed in kilovars (kvar) or megavars (Mvar).

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Real Power [Archive]		2/8/2005	3/16/2007	The portion of electricity that supplies energy to the load.
Reallocation [Archive]		2/8/2005	3/16/2007	The total or partial curtailment of Transactions during TLR Level 3a or 5a to allow Transactions using higher priority to be implemented.
Real-time [Archive]		2/7/2006	3/16/2007	Present time as opposed to future time. (From Interconnection Reliability Operating Limits standard.)
Real-time Assessment [Archive]		10/17/2008	3/17/2011	An examination of existing and expected system conditions, conducted by collecting and reviewing immediately available data
Receiving Balancing Authority [Archive]		2/8/2005	3/16/2007	The Balancing Authority importing the Interchange.
Regional Reliability Organization [Archive]	RRO	2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. An entity that ensures that a defined area of the Bulk Electric System is reliable, adequate and secure. 2. A member of the North American Electric Reliability Council. The Regional Reliability Organization can serve as the Compliance Monitor.
Regional Reliability Plan [Archive]		2/8/2005	3/16/2007	The plan that specifies the Reliability Coordinators and Balancing Authorities within the Regional Reliability Organization, and explains how reliability coordination will be accomplished.
Regulating Reserve [Archive]		2/8/2005	3/16/2007	An amount of reserve responsive to Automatic Generation Control, which is sufficient to provide normal regulating margin.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Regulation Service [Archive]		2/8/2005	3/16/2007	The process whereby one Balancing Authority contracts to provide corrective response to all or a portion of the ACE of another Balancing Authority. The Balancing Authority providing the response assumes the obligation of meeting all applicable control criteria as specified by NERC for itself and the Balancing Authority for which it is providing the Regulation Service.
Reliability Adjustment RFI [Archive]		10/29/2008	12/17/2009	Request to modify an Implemented Interchange Schedule for reliability purposes.
Reliability Coordinator [Archive]	RC	2/8/2005	3/16/2007	The entity that is the highest level of authority who is responsible for the reliable operation of the Bulk Electric System, has the Wide Area view of the Bulk Electric System, and has the operating tools, processes and procedures, including the authority to prevent or mitigate emergency operating situations in both next-day analysis and real-time operations. The Reliability Coordinator has the purview that is broad enough to enable the calculation of Interconnection Reliability Operating Limits, which may be based on the operating parameters of transmission systems beyond any Transmission Operator's vision.
Reliability Coordinator Area [Archive]		2/8/2005	3/16/2007	The collection of generation, transmission, and loads within the boundaries of the Reliability Coordinator. Its boundary coincides with one or more Balancing Authority Areas.
Reliability Coordinator Information System [Archive]	RCIS	2/8/2005	3/16/2007	The system that Reliability Coordinators use to post messages and share operating information in real time.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Reliability Directive [Archive]		8/16/2012		A communication initiated by a Reliability Coordinator, Transmission Operator, or Balancing Authority where action by the recipient is necessary to address an Emergency or Adverse Reliability Impact.
Remedial Action Scheme [Archive]	RAS	2/8/2005	3/16/2007	See "Special Protection System"
Reportable Disturbance [Archive]		2/8/2005	3/16/2007	Any event that causes an ACE change greater than or equal to 80% of a Balancing Authority's or reserve sharing group's most severe contingency. The definition of a reportable disturbance is specified by each Regional Reliability Organization. This definition may not be retroactively adjusted in response to observed performance.
Request for Interchange [Archive]	RFI	5/2/2006	3/16/2007	A collection of data as defined in the NAESB RFI Datasheet, to be submitted to the Interchange Authority for the purpose of implementing bilateral Interchange between a Source and Sink Balancing Authority.
Reserve Sharing Group [Archive]	RSG	2/8/2005	3/16/2007	A group whose members consist of two or more Balancing Authorities that collectively maintain, allocate, and supply operating reserves required for each Balancing Authority's use in recovering from contingencies within the group. Scheduling energy from an Adjacent Balancing Authority to aid recovery need not constitute reserve sharing provided the transaction is ramped in over a period the supplying party could reasonably be expected to load generation in (e.g., ten minutes). If the transaction is ramped in quicker (e.g., between zero and ten minutes) then, for the purposes of Disturbance Control Performance, the Areas become a Reserve Sharing Group.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Resource Planner [Archive]	RP	2/8/2005	3/16/2007	The entity that develops a long-term (generally one year and beyond) plan for the resource adequacy of specific loads (customer demand and energy requirements) within a Planning Authority Area.
Response Rate [Archive]		2/8/2005	3/16/2007	The Ramp Rate that a generating unit can achieve under normal operating conditions expressed in megawatts per minute (MW/Min).
Right-of-Way [Archive]	ROW	2/7/2006	3/16/2007	A corridor of land on which electric lines may be located. The Transmission Owner may own the land in fee, own an easement, or have certain franchise, prescription, or license rights to construct and maintain lines.
Right-of-Way [Archive]	ROW	11/3/2011		The corridor of land under a transmission line(s) needed to operate the line(s). The width of the corridor is established by engineering or construction standards as documented in either construction documents, pre-2007 vegetation maintenance records, or by the blowout standard in effect when the line was built. The ROW width in no case exceeds the Transmission Owner’s legal rights but may be less based on the aforementioned criteria.
Right-of-Way [Archive]	ROW	5/9/12		The corridor of land under a transmission line(s) needed to operate the line(s). The width of the corridor is established by engineering or construction standards as documented in either construction documents, pre-2007 vegetation maintenance records, or by the blowout standard in effect when the line was built. The ROW width in no case exceeds the applicable Transmission Owner’s or applicable Generator Owner’s legal rights but may be less based on the aforementioned criteria.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Scenario [Archive]		2/7/2006	3/16/2007	Possible event.
Schedule [Archive]		2/8/2005	3/16/2007	(Verb) To set up a plan or arrangement for an Interchange Transaction. (Noun) An Interchange Schedule.
Scheduled Frequency [Archive]		2/8/2005	3/16/2007	60.0 Hertz, except during a time correction.
Scheduling Entity [Archive]		2/8/2005	3/16/2007	An entity responsible for approving and implementing Interchange Schedules.
Scheduling Path [Archive]		2/8/2005	3/16/2007	The Transmission Service arrangements reserved by the Purchasing-Selling Entity for a Transaction.
Sending Balancing Authority [Archive]		2/8/2005	3/16/2007	The Balancing Authority exporting the Interchange.
Sink Balancing Authority [Archive]		2/8/2005	3/16/2007	The Balancing Authority in which the load (sink) is located for an Interchange Transaction. (This will also be a Receiving Balancing Authority for the resulting Interchange Schedule.)
Source Balancing Authority [Archive]		2/8/2005	3/16/2007	The Balancing Authority in which the generation (source) is located for an Interchange Transaction. (This will also be a Sending Balancing Authority for the resulting Interchange Schedule.)

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Special Protection System (Remedial Action Scheme) [Archive]	SPS	2/8/2005	3/16/2007	An automatic protection system designed to detect abnormal or predetermined system conditions, and take corrective actions other than and/or in addition to the isolation of faulted components to maintain system reliability. Such action may include changes in demand, generation (MW and Mvar), or system configuration to maintain system stability, acceptable voltage, or power flows. An SPS does not include (a) underfrequency or undervoltage load shedding or (b) fault conditions that must be isolated or (c) out-of-step relaying (not designed as an integral part of an SPS). Also called Remedial Action Scheme.
Spinning Reserve [Archive]		2/8/2005	3/16/2007	Unloaded generation that is synchronized and ready to serve additional demand.
Stability [Archive]		2/8/2005	3/16/2007	The ability of an electric system to maintain a state of equilibrium during normal and abnormal conditions or disturbances.
Stability Limit [Archive]		2/8/2005	3/16/2007	The maximum power flow possible through some particular point in the system while maintaining stability in the entire system or the part of the system to which the stability limit refers.
Supervisory Control and Data Acquisition [Archive]	SCADA	2/8/2005	3/16/2007	A system of remote control and telemetry used to monitor and control the transmission system.
Supplemental Regulation Service [Archive]		2/8/2005	3/16/2007	A method of providing regulation service in which the Balancing Authority providing the regulation service receives a signal representing all or a portion of the other Balancing Authority's ACE.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Surge [Archive]		2/8/2005	3/16/2007	A transient variation of current, voltage, or power flow in an electric circuit or across an electric system.
Sustained Outage [Archive]		2/7/2006	3/16/2007	The deenergized condition of a transmission line resulting from a fault or disturbance following an unsuccessful automatic reclosing sequence and/or unsuccessful manual reclosing procedure.
System [Archive]		2/8/2005	3/16/2007	A combination of generation, transmission, and distribution components.
System Operating Limit [Archive]	SOL	2/8/2005	3/16/2007	The value (such as MW, MVar, Amperes, Frequency or Volts) that satisfies the most limiting of the prescribed operating criteria for a specified system configuration to ensure operation within acceptable reliability criteria. System Operating Limits are based upon certain operating criteria. These include, but are not limited to: <ul style="list-style-type: none"> • Facility Ratings (Applicable pre- and post-Contingency equipment or facility ratings) • Transient Stability Ratings (Applicable pre- and post-Contingency Stability Limits) • Voltage Stability Ratings (Applicable pre- and post-Contingency Voltage Stability) • System Voltage Limits (Applicable pre- and post-Contingency Voltage Limits)
System Operator [Archive]		2/8/2005	3/16/2007	An individual at a control center (Balancing Authority, Transmission Operator, Generator Operator, Reliability Coordinator) whose responsibility it is to monitor and control that electric system in real time.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Telemetry [Archive]		2/8/2005	3/16/2007	The process by which measurable electrical quantities from substations and generating stations are instantaneously transmitted to the control center, and by which operating commands from the control center are transmitted to the substations and generating stations.
Thermal Rating [Archive]		2/8/2005	3/16/2007	The maximum amount of electrical current that a transmission line or electrical facility can conduct over a specified time period before it sustains permanent damage by overheating or before it sags to the point that it violates public safety requirements.
Tie Line [Archive]		2/8/2005	3/16/2007	A circuit connecting two Balancing Authority Areas.
Tie Line Bias [Archive]		2/8/2005	3/16/2007	A mode of Automatic Generation Control that allows the Balancing Authority to 1.) maintain its Interchange Schedule and 2.) respond to Interconnection frequency error.
Time Error [Archive]		2/8/2005	3/16/2007	The difference between the Interconnection time measured at the Balancing Authority(ies) and the time specified by the National Institute of Standards and Technology. Time error is caused by the accumulation of Frequency Error over a given period.
Time Error Correction [Archive]		2/8/2005	3/16/2007	An offset to the Interconnection's scheduled frequency to return the Interconnection's Time Error to a predetermined value.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
TLR Log [Archive]		2/8/2005	3/16/2007	Report required to be filed after every TLR Level 2 or higher in a specified format. The NERC IDC prepares the report for review by the issuing Reliability Coordinator. After approval by the issuing Reliability Coordinator, the report is electronically filed in a public area of the NERC Web site.
Total Flowgate Capability [Archive]	TFC	08/22/2008	11/24/2009	The maximum flow capability on a Flowgate, is not to exceed its thermal rating, or in the case of a flowgate used to represent a specific operating constraint (such as a voltage or stability limit), is not to exceed the associated System Operating Limit.
Total Transfer Capability [Archive]	TTC	2/8/2005	3/16/2007	The amount of electric power that can be moved or transferred reliably from one area to another area of the interconnected transmission systems by way of all transmission lines (or paths) between those areas under specified system conditions.
Transaction [Archive]		2/8/2005	3/16/2007	See Interchange Transaction.
Transfer Capability [Archive]		2/8/2005	3/16/2007	The measure of the ability of interconnected electric systems to move or transfer power <i>in a reliable manner</i> from one area to another over all transmission lines (or paths) between those areas under specified system conditions. The units of transfer capability are in terms of electric power, generally expressed in megawatts (MW). The transfer capability from "Area A" to "Area B" is <i>not</i> generally equal to the transfer capability from "Area B" to "Area A."

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Transfer Distribution Factor [Archive]		2/8/2005	3/16/2007	See Distribution Factor.
Transmission [Archive]		2/8/2005	3/16/2007	An interconnected group of lines and associated equipment for the movement or transfer of electric energy between points of supply and points at which it is transformed for delivery to customers or is delivered to other electric systems.
Transmission Constraint [Archive]		2/8/2005	3/16/2007	A limitation on one or more transmission elements that may be reached during normal or contingency system operations.
Transmission Customer [Archive]		2/8/2005	3/16/2007	<ol style="list-style-type: none"> 1. Any eligible customer (or its designated agent) that can or does execute a transmission service agreement or can or does receive transmission service. 2. Any of the following responsible entities: Generator Owner, Load-Serving Entity, or Purchasing-Selling Entity.
Transmission Line [Archive]		2/7/2006	3/16/2007	A system of structures, wires, insulators and associated hardware that carry electric energy from one point to another in an electric power system. Lines are operated at relatively high voltages varying from 69 kV up to 765 kV, and are capable of transmitting large quantities of electricity over long distances.
Transmission Operator [Archive]	TOP	2/8/2005	3/16/2007	The entity responsible for the reliability of its "local" transmission system, and that operates or directs the operations of the transmission facilities.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Transmission Operator Area [Archive]		08/22/2008	11/24/2009	The collection of Transmission assets over which the Transmission Operator is responsible for operating.
Transmission Owner [Archive]	TO	2/8/2005	3/16/2007	The entity that owns and maintains transmission facilities.
Transmission Planner [Archive]	TP	2/8/2005	3/16/2007	The entity that develops a long-term (generally one year and beyond) plan for the reliability (adequacy) of the interconnected bulk electric transmission systems within its portion of the Planning Authority Area.
Transmission Reliability Margin [Archive]	TRM	2/8/2005	3/16/2007	The amount of transmission transfer capability necessary to provide reasonable assurance that the interconnected transmission network will be secure. TRM accounts for the inherent uncertainty in system conditions and the need for operating flexibility to ensure reliable system operation as system conditions change.
Transmission Reliability Margin Implementation Document [Archive]	TRMID	08/22/2008	11/24/2009	A document that describes the implementation of a Transmission Reliability Margin methodology, and provides information related to a Transmission Operator's calculation of TRM.
Transmission Service [Archive]		2/8/2005	3/16/2007	Services provided to the Transmission Customer by the Transmission Service Provider to move energy from a Point of Receipt to a Point of Delivery.
Transmission Service Provider [Archive]	TSP	2/8/2005	3/16/2007	The entity that administers the transmission tariff and provides Transmission Service to Transmission Customers under applicable transmission service agreements.

Continent-wide Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Vegetation [Archive]		2/7/2006	3/16/2007	All plant material, growing or not, living or dead.
Vegetation Inspection [Archive]		2/7/2006	3/16/2007	The systematic examination of a transmission corridor to document vegetation conditions.
Vegetation Inspection [Archive]		11/3/2011		The systematic examination of vegetation conditions on a Right-of-Way and those vegetation conditions under the Transmission Owner's control that are likely to pose a hazard to the line(s) prior to the next planned maintenance or inspection. This may be combined with a general line inspection.
Vegetation Inspection [Archive]		5/9/12		The systematic examination of vegetation conditions on a Right-of-Way and those vegetation conditions under the applicable Transmission Owner's or applicable Generator Owner's control that are likely to pose a hazard to the line(s) prior to the next planned maintenance or inspection. This may be combined with a general line inspection.
Wide Area [Archive]		2/8/2005	3/16/2007	The entire Reliability Coordinator Area as well as the critical flow and status information from adjacent Reliability Coordinator Areas as determined by detailed system studies to allow the calculation of Interconnected Reliability Operating Limits.
Year One [Archive]		1/24/2011	11/17/2011	The first twelve month period that a Planning Coordinator or a Transmission Planner is responsible for assessing. For an assessment started in a given calendar year, Year One includes the forecasted peak Load period for one of the following two calendar years. For example, if a Planning Assessment was started in 2011, then Year One includes the forecasted peak Load period for either 2012 or 2013.

ReliabilityFirst Regional Definitions

The following definitions were developed for use in ReliabilityFirst Regional Standards.

RFC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Resource Adequacy [Archive]		08/05/2009	03/17/2011	The ability of supply-side and demand-side resources to meet the aggregate electrical demand (including losses)
Net Internal Demand [Archive]		08/05/2009	03/17/2011	Total of all end-use customer demand and electric system losses within specified metered boundaries, less Direct Control Management and Interruptible Demand
Peak Period [Archive]		08/05/2009	03/17/2011	A period consisting of two (2) or more calendar months but less than seven (7) calendar months, which includes the period during which the responsible entity's annual peak demand is expected to occur
Wind Generating Station [Archive]		11/03/2011		A collection of wind turbines electrically connected together and injecting energy into the grid at one point, sometimes known as a "Wind Farm."
Year One [Archive]		08/05/2009	03/17/2011	The planning year that begins with the upcoming annual Peak Period

NPCC Regional Definitions

The following definitions were developed for use in NPCC Regional Standards.

NPCC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Current Zero Time [Archive]		11/04/2010	10/20/2011	The time of the final current zero on the last phase to interrupt.
Generating Plant [Archive]		11/04/2010	10/20/2011	One or more generators at a single physical location whereby any single contingency can affect all the generators at that location.

WECC Regional Definitions

The following definitions were developed for use in WECC Regional Standards.

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Area Control Error [†] [Archive]	ACE	3/12/2007	6/8/2007	Means the instantaneous difference between net actual and scheduled interchange, taking into account the effects of Frequency Bias including correction for meter error.
Automatic Generation Control [†] [Archive]	AGC	3/12/2007	6/8/2007	Means equipment that automatically adjusts a Control Area's generation from a central location to maintain its interchange schedule plus Frequency Bias.
Automatic Time Error Correction [Archive]		3/26/2008	5/21/2009	A frequency control automatic action that a Balancing Authority uses to offset its frequency contribution to support the Interconnection's scheduled frequency.
Average Generation [†] [Archive]		3/12/2007	6/8/2007	Means the total MWh generated within the Balancing Authority Operator's Balancing Authority Area during the prior year divided by 8760 hours (8784 hours if the prior year had 366 days).
Business Day [†] [Archive]		3/12/2007	6/8/2007	Means any day other than Saturday, Sunday, or a legal public holiday as designated in section 6103 of title 5, U.S. Code.
Disturbance [†] [Archive]		3/12/2007	6/8/2007	Means (i) any perturbation to the electric system, or (ii) the unexpected change in ACE that is caused by the sudden loss of generation or interruption of load.

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Extraordinary Contingency [†] [Archive]		3/12/2007	6/8/2007	Shall have the meaning set out in Excuse of Performance, section B.4.c. language in section B.4.c: <i>means any act of God, actions by a non-affiliated third party, labor disturbance, act of the public enemy, war, insurrection, riot, fire, storm or flood, earthquake, explosion, accident to or breakage, failure or malfunction of machinery or equipment, or any other cause beyond the Reliability Entity's reasonable control; provided that prudent industry standards (e.g. maintenance, design, operation) have been employed; and provided further that no act or cause shall be considered an Extraordinary Contingency if such act or cause results in any contingency contemplated in any WECC Reliability Standard (e.g., the "Most Severe Single Contingency" as defined in the WECC Reliability Criteria or any lesser contingency).</i>
Frequency Bias [†] [Archive]		3/12/2007	6/8/2007	Means a value, usually given in megawatts per 0.1 Hertz, associated with a Control Area that relates the difference between scheduled and actual frequency to the amount of generation required to correct the difference.
Generating Unit Capability [†] [Archive]		3/12/2007	6/8/2007	Means the MVA nameplate rating of a generator.
Non-spinning Reserve [†] [Archive]		3/12/2007	6/8/2007	Means that Operating Reserve not connected to the system but capable of serving demand within a specified time, or interruptible load that can be removed from the system in a specified time.
Normal Path Rating [†]		3/12/2007	6/8/2007	Is the maximum path rating in MW that has been demonstrated to WECC through study results or actual operation, whichever

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
[Archive]				is greater. For a path with transfer capability limits that vary seasonally, it is the maximum of all the seasonal values.
Operating Reserve [±] [Archive]		3/12/2007	6/8/2007	Means that capability above firm system demand required to provide for regulation, load-forecasting error, equipment forced and scheduled outages and local area protection. Operating Reserve consists of Spinning Reserve and Nonspinning Reserve.
Operating Transfer Capability Limit [±] [Archive]	OTC	3/12/2007	6/8/2007	Means the maximum value of the most critical system operating parameter(s) which meets: (a) precontingency criteria as determined by equipment loading capability and acceptable voltage conditions, (b) transient criteria as determined by equipment loading capability and acceptable voltage conditions, (c) transient performance criteria, and (d) post-contingency loading and voltage criteria.
Primary Inadvertent Interchange [Archive]		3/26/2008	5/21/2009	The component of area (n) inadvertent interchange caused by the regulating deficiencies of the area (n).
Secondary Inadvertent Interchange [Archive]		3/26/2008	5/21/2009	The component of area (n) inadvertent interchange caused by the regulating deficiencies of area (i).
Spinning Reserve [±] [Archive]		3/12/2007	6/8/2007	Means unloaded generation which is synchronized and ready to serve additional demand. It consists of Regulating reserve and Contingency reserve (as each are described in Sections B.a.i and ii).

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
WECC Table 2 [±] [Archive]		3/12/2007	6/8/2007	Means the table maintained by the WECC identifying those transfer paths monitored by the WECC regional Reliability coordinators. As of the date set out therein, the transmission paths identified in Table 2 are as listed in Attachment A to this Standard.
Functionally Equivalent Protection System [Archive]	FEPS	10/29/2008	4/21/2011	A Protection System that provides performance as follows: <ul style="list-style-type: none"> • Each Protection System can detect the same faults within the zone of protection and provide the clearing times and coordination needed to comply with all Reliability Standards. • Each Protection System may have different components and operating characteristics.
Functionally Equivalent RAS [Archive]	FERAS	10/29/2008	4/21/2011	A Remedial Action Scheme (“RAS”) that provides the same performance as follows: <ul style="list-style-type: none"> • Each RAS can detect the same conditions and provide mitigation to comply with all Reliability Standards. • Each RAS may have different components and operating characteristics.
Security-Based Misoperation [Archive]		10/29/2008	4/21/2011	A Misoperation caused by the incorrect operation of a Protection System or RAS. Security is a component of reliability and is the measure of a device’s certainty not to operate falsely.
Dependability-Based Misoperation [Archive]		10/29/2008	4/21/2011	Is the absence of a Protection System or RAS operation when intended. Dependability is a component of reliability and is the measure of a device’s certainty to operate when required.

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Commercial Operation [Archive]		10/29/2008	4/21/2011	Achievement of this designation indicates that the Generator Operator or Transmission Operator of the synchronous generator or synchronous condenser has received all approvals necessary for operation after completion of initial start-up testing.
Qualified Transfer Path Curtailment Event [Archive]		2/10/2009	3/17/2011	Each hour that a Transmission Operator calls for Step 4 or higher for one or more consecutive hours (See Attachment 1 IRO-006-WECC-1) during which the curtailment tool is functional.
Relief Requirement [Archive]		2/10/2009	3/17/2011	The expected amount of the unscheduled flow reduction on the Qualified Transfer Path that would result by curtailing each Sink Balancing Authority's Contributing Schedules by the percentages listed in the columns of WECC Unscheduled Flow Mitigation Summary of Actions Table in Attachment 1 WECC IRO-006-WECC-1.
Transfer Distribution Factor [Archive]	TDF	2/10/2009	3/17/2011	The percentage of USF that flows across a Qualified Transfer Path when an Interchange Transaction (Contributing Schedule) is implemented. [See the WECC Unscheduled Flow Mitigation Summary of Actions Table (Attachment 1 WECC IRO-006-WECC-1).]
Contributing Schedule [Archive]		2/10/2009	3/17/2011	A Schedule not on the Qualified Transfer Path between a Source Balancing Authority and a Sink Balancing Authority that contributes unscheduled flow across the Qualified Transfer Path.
Qualified Transfer Path [Archive]		2/10/2009	3/17/2011	A transfer path designated by the WECC Operating Committee as being qualified for WECC unscheduled flow mitigation.

WECC Regional Term	Acronym	BOT Approved Date	FERC Approved Date	Definition
Qualified Controllable Device [Archive]		2/10/2009	3/17/2011	A controllable device installed in the Interconnection for controlling energy flow and the WECC Operating Committee has approved using the device for controlling the USF on the Qualified Transfer Paths.

Endnotes

¹ FERC approved the WECC Tier One Reliability Standards in the Order Approving Regional Reliability Standards for the Western Interconnection and Directing Modifications, 119 FERC ¶ 61,260 (June 8, 2007). In that Order, FERC directed WECC to address the inconsistencies between the regional definitions and the NERC Glossary in developing permanent replacement standards. The replacement standards designed to address the shortcomings were filed with FERC in 2009.

Exhibit C

**Informational Summary of Each Reliability Standard Applicable to Nova Scotia,
Approved by FERC in Third Quarter 2012**

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

BAL-005-0.2b - This standard establishes requirements for Balancing Authority Automatic Generation Control (AGC) necessary to calculate Area Control Error (ACE) and to routinely deploy the Regulating Reserve. The standard also ensures that all facilities and load electrically synchronized to the Interconnection are included within the metered boundary of a Balancing Area so that balancing of resources and demand can be achieved.

Applicability:

- Balancing Authorities
- Generator Operators
- Transmission Operators
- Load Serving Entities

Description of Errata Change: NERC has replaced Appendix 1 of the BAL-005 standard with the correct version of the interpretation and has made an internal reference correction in the interpretation; changing “BAL-005-1” to “BAL-005-0.” NERC has also updated the version history table to reflect these revisions and to incorporate additional information regarding the adoption of Version 0 of this standard.

On September 13, 2012, errata BAL-005-0.2b was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

EOP-001-0.1b - Each Transmission Operator and Balancing Authority needs to develop, maintain, and implement a set of plans to mitigate operating emergencies. These plans need to be coordinated with other Transmission Operators and Balancing Authorities, and the Reliability Coordinator.

Applicability:

- Balancing Authorities.
- Transmission Operators.

Description of Errata Change: NERC has updated the title of Attachment 1 and corrected internal references throughout the standard by changing “Attachment EOP-001-0b” to “Attachment EOP-001.” In addition, an incorrect internal reference in Appendix 2 of Reliability Standard EOP-001-0b has been changed from “R2.2” to “R3.2.” NERC has also updated the version history table to reflect these revisions.

On September 13, 2012, errata EOP-001-0.1b was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

EOP-001-2.1b - Each Transmission Operator and Balancing Authority needs to develop, maintain, and implement a set of plans to mitigate operating emergencies. These plans need to be coordinated with other Transmission Operators and Balancing Authorities, and the Reliability Coordinator.

Applicability:

- Balancing Authorities.
- Transmission Operators.

Description of Errata Change: NERC has updated the title of Attachment 1 and corrected internal references throughout the standard by changing “Attachment EOP-001-0b” to “Attachment EOP-001.” NERC has also updated references in Appendix 1 from “EOP-001-0” to “EOP-001-2.” NERC has also updated the version history table to reflect these revisions.

On September 13, 2012, EOP-001-2.1b was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

EOP-002-3.1 - To ensure Reliability Coordinators and Balancing Authorities are prepared for capacity and energy emergencies.

Applicability:

- Balancing Authorities.
- Reliability Coordinators.
- Load-Serving Entities.

Description of Errata Change: NERC has updated the title of Attachment 1 and corrected internal references to Attachment 1 throughout the standard from “Attachment 1-EOP-002-0 Energy Emergency Alert Levels” to “Attachment 1-EOP-002 Energy Emergency Alerts.” NERC has removed the parenthetical in Requirement R9 that references a retired Attachment in IRO-006. NERC has also updated the version history table to reflect these revisions.

On September 13, 2012, EOP-002-3.1 was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

IRO-005-3.1a - The Reliability Coordinator must be continuously aware of conditions within its Reliability Coordinator Area and include this information in its reliability assessments. The Reliability Coordinator must monitor Bulk Electric System parameters that may have significant impacts upon the Reliability Coordinator Area and neighboring Reliability Coordinator Areas.

Applicability:

- Reliability Coordinators.
- Balancing Authorities.
- Transmission Operators.
- Transmission Service Providers.
- Generator Operators.
- Load-Serving Entities.
- Purchasing-Selling Entities.

Description of Errata Change: NERC has removed outdated internal references in Measures M10 and M11 to “Part 2” of Requirements R10 and R11, as no such Parts currently exist. NERC has also updated the version history table to reflect these revisions and to add clarifying language regarding Versions 2a and 3a of this standard.

On September 13, 2012, IRO-005-3.1a was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

PER-001-0.2 - Transmission Operator and Balancing Authority operating personnel must have the responsibility and authority to implement real-time actions to ensure the stable and reliable operation of the Bulk Electric System.

Applicability:

- Transmission Operators.
- Balancing Authorities.

Description of Errata Change: NERC has made a minor clarifying correction to the language in Measure M1.4 by changing the placement of the word “Interconnection.” Measure M1.4 currently states: “Such actions shall include shedding of firm load to prevent or alleviate System Operating Limit Interconnection or Reliability Operating Limit violations.” NERC’s proposed errata clarifies that the correct term intended to apply in this Measure is “Interconnection Reliability Operating Limit,” which is a defined term in the NERC Glossary of Terms Used in Reliability Standards. NERC has also updated the version history table to reflect this revision.

On September 13, 2012, PER-001-0.2 was approved by the Federal Energy Regulatory Commission.

EXHIBIT C: Informational Summary of Each Reliability Standard Applicable to Nova Scotia, Approved by FERC in Third Quarter 2012

TOP-002-2.1b - Current operations plans and procedures are essential to being prepared for reliable operations, including response for unplanned events.

Applicability:

- Balancing Authority.
- Transmission Operator.
- Generator Operator.
- Load Serving Entity.
- Transmission Service Provider.

Description of Errata Change: It has come to NERC's attention that on page 1 of Reliability Standard TOP-002-2b included in Exhibit B of the original filing, the following language in the Effective Date section should be omitted, "FERC Approved 12/2/09." In addition, Requirement R14 contains two sub-requirements (R.14.1 and R.14.2), that are no longer effective as they were retired, as stated therein, on August 1, 2007. NERC has removed these sub-requirements, and also removed the following outdated parenthetical from R.14.1, "(Effective August 1, 2007)." NERC has also updated Measure M7 to reflect these changes to Requirement R14. The modified Measure M7 states:

"Each Generator Operator shall have and provide upon request evidence that could include, but is not limited to, voice recordings or transcripts of voice recordings, electronic communications, or other equivalent evidence that will be used to confirm that without any intentional time delay, it notified its Balancing Authority and Transmission Operator of changes in real output capabilities. (Requirement 14)"

NERC has also updated the version history table to reflect these revisions.

On September 13, 2012, TOP-002-2.1b was approved by the Federal Energy Regulatory Commission.