NERC
NORTH AMERICAN ELECTRIC RELIABILITY CORPORATION

Standards Announcement

Initial Ballot and Non-binding Poll Results

Now available at: https://standards.nerc.net/Ballots.aspx

Project 2007-04 - Certifying System Operators - PER-003

The initial ballot and non-binding poll of VRFs and VSLs for Project 2007-04 — Certifying System Operators both ended on September 24, 2010.

Initial Ballot Results for PER-003-1 — Operating Personnel Credentials and its Implementation Plan

Voting statistics are listed below, and the Ballot Results Web page provides a link to the detailed results:

Quorum: 92.73%
Approval: 79.17%

Since at least one negative ballot included a comment, these results are not final. Another ballot (either a successive ballot or a recirculation ballot) must be conducted.

Violation Risk Factor (VRF) and Violation Severity Level (VSL) Non-binding Poll Results

For the non-binding poll of VRFs and VSLs, 86% of those who registered to participate provided an opinion; 83% of those who provided an opinion indicated support for the VRFs and VSLs that were proposed.

Transition from Reliability Standards Development Procedure Version 7 to Standard Processes Manual

Under the Reliability Standards Development Procedure Version 7, consensus was built with successive formal comment periods, followed by a 30-day pre-ballot review, followed by an initial ballot, and then a recirculation ballot. The intent was to use stakeholder views submitted through the formal comment periods to achieve consensus, and then to confirm that consensus during the balloting. This process did not allow a drafting team to make any changes to a standard between ballots, which incented teams to avoid making improvements once a standard had gone through an initial ballot. If a team made a change between ballots, then the standard was required to be posted for a new comment period, and then another pre-ballot review and another initial ballot, and finally if there were no more changes made to the standard, a recirculation ballot was conducted to confirm consensus.

Under the new Standard Processes Manual, consensus is achieved through parallel comment and ballot periods. Successive comment and ballot periods are conducted until there is consensus, and then a recirculation ballot is conducted to confirm that consensus. There is no 30-day pre-ballot review period, and drafting teams are encouraged to make revisions to the standard between successive ballots to improve the quality of the standard.

Next Steps

The drafting team will consider all comments (those submitted with a comment form, and those submitted with a ballot) and will determine whether to make additional changes to the standard. The team will post its response

to comments.

- If the standard needs significant modifications, the team will post the revised standard for a new 30-day comment period and will conduct a new ballot (called a "successive" ballot) during the last 10 days of that comment period. During a successive ballot, all members of the ballot pool must cast a new ballot, as the standard presented has significant changes.

- If the initial ballot and parallel comment period show that the standard needs either minor or no changes, the team will post the standard and conduct a 10-day recirculation ballot. During a recirculation ballot, members of the ballot pool may cast a new vote but are not required to do so as the standard presented does not have any significant changes.

Project Background

PER-003-0, a "Version 0" standard, requires each Reliability Coordinator, Balancing Authority, and Transmission Operator to staff its real-time operating positions with personnel that have a NERC certification credential. The standard is being revised to address the directives from FERC Order 693 and industry comments from Version 0.

Further details are available on the project page:
http://www.nerc.com/filez/standards/Certifying_SOs_Project_2007-04.html

Applicability of Standards in Project

Balancing Authority
Reliability Coordinator
Transmission Operator

Standards Process

The Standard Processes Manual contains all the procedures governing the standards development process. The success of the NERC standards development process depends on stakeholder participation. We extend our thanks to all those who participate.

For more information or assistance, please contact Monica Benson, Standards Process Administrator, at monica.benson@nerc.net or at 609.452.8060.

North American Electric Reliability Corporation
116-390 Village Blvd.
Princeton, NJ 08540
609.452.8060 | www.nerc.com