



NORTH AMERICAN ELECTRIC RELIABILITY COUNCIL

Princeton Forrestal Village, 116-390 Village Boulevard, Princeton, New Jersey 08540-5731

Implementation Plan — Version 0 Reliability Standards

Effective Date

The Version 0 Reliability Standards are proposed to become effective on **April 1, 2005**. The effective date is contingent on the approval of the reliability standards by a vote of the ballot pool that will be conducted December 3–13, 2004. A second ballot, if needed, will be conducted December 20, 2004 – January 7, 2005. The effective date is also contingent on adoption of the Version 0 Reliability Standards by the NERC Board of Trustees. The Board will approve the final effective date when it adopts the standards for implementation. The standards are scheduled for consideration by the Board on February 8, 2005.

Compliance with Version 0 Reliability Standards

Once the Version 0 Reliability Standards are effective, all responsible entities identified in the standards are expected to comply with the standards. Since the Version 0 Reliability Standards are generally a translation and clarification of existing operating policies and planning standards, entities that are in compliance with NERC policies and standards today are expected to be able to remain in compliance with the Version 0 Reliability Standards with their existing procedures, tools, and practices. An EXCEL spreadsheet has been posted with the Version 0 Reliability Standards mapping all requirements to each applicable function in the Functional Model.

Compliance Monitoring and Reporting

The NERC Compliance Program and the Regional Reliability Organizations (Compliance Monitors) will adopt the Version 0 Reliability Standards into their compliance monitoring and reporting activities effective April 1, 2005. The first compliance reporting period for the new standards will be April 1 to June 30, 2005. Existing compliance templates have been translated into the Version 0 Reliability Standards. Therefore, the compliance monitoring beginning April 1 will be a smooth transition from existing compliance reporting based on the information contained in *Section D Compliance* of the applicable standards. The numbering of standards and requirements will be changed and no longer refer to operating policies and planning standards. Where there was no compliance information previously (i.e., there was no compliance template), the Version 0 Reliability Standards show “Not specified.” in the applicable sections. Additions or revisions to the compliance information in the standards require a revision of the standard through the ANSI-accredited process.

Responsible Entities

A notable change in the compliance monitoring process for Version 0 Reliability Standards will be the designation of the responsible entities to be monitored. A functional registration of organizations is ongoing until January 28. Five types of responsible entities will be registered:

- Reliability Coordinators
- Balancing Authorities
- Transmission Operators
- Planning Authorities
- Transmission Planners

The entities identified in this registration process will be incorporated into the compliance monitoring program. In most cases, these will be the same organizations that are currently being monitored, just with different functional designations.

Other functions identified in the Version 0 Reliability Standards are expected to comply with the applicable requirements. However, these other functions will not be made a formal part of the compliance monitoring process for the Version 0 Reliability Standards.

Status of Operating Policies, Planning Standards and Compliance Templates

All existing operating policies, planning standards, and compliance templates will be retired concurrently with, and contingent upon, the adoption of the Version 0 Reliability Standards on April 1, 2005.