

# Standards, Compliance, and Enforcement Bulletin

October 1–7, 2018

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 and CIP-003-8	<a href="#">Initial Ballots and Non-binding Polls</a>	09/28/18	10/09/18
<a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>	<a href="#">Initial Ballots, Additional Ballots, and Non-binding Polls</a>	10/08/18	10/17/18

### Join Ballot Pools (ballot pool windows close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
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### Posted for Comment

Project	Action	Start Date	End Date
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<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 and CIP-003-8	<a href="#">Comment Period</a>	08/23/18	10/09/18
<a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>	<a href="#">Comment Period</a>	08/24/18	10/17/18

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Proposed Revisions to the NERC Rules of Procedure:</b> NERC is proposing revisions to Sections 600 (Personnel Certification) and 900 (Training and Education) of its currently effective Rules of Procedure (ROP). The purpose of these revisions is in response to the Federal Energy Regulatory Commission’s directives issued in the July 19, 2018 <i>Order Approving in Part and Denying in Part Revisions to North American Electric Reliability Corporation Rules of Procedure and Requiring Compliance Filing</i>, 164 FERC ¶ 61,023 (2018) to (i) submit a compliance within 120 days restoring ROP Sections 603, 604, and 605, and (ii) correct typographical errors in proposed Sections 600 and 900.</p> <p>NERC proposes to request that these revisions become effective immediately after filing with FERC.</p> <p>NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The 45-day comment period begins August 27, 2018 and ends October 11, 2018.</p>	<p>Submit comments to <a href="mailto:ROPcomments@nerc.net">ROPcomments@nerc.net</a>.</p>	08/27/18	10/11/18

A redlined version of NERC Rules of Procedure, Sections 600 and Section 900 showing the proposed revisions are available on the [NERC Rules of Procedure](#) page.

STANDARDS NEWS

**NEW Nomination Period Open for Project Management and Oversight Subcommittee**

Nominations are being sought for Project Management and Oversight Subcommittee (PMOS) members through October 9, 2018.

The PMOS is seeking to fill one to three positions. Desirable qualifications include candidates knowledgeable of the Standard Processes Manual and have a broad historical knowledge of Reliability Standards. The PMOS works with NERC staff to support industry subject matter experts in all aspects of standard development.

Consider reviewing the [PMOS Scope](#) for additional information. This includes advising and supporting standard drafting teams, enhanced periodic review teams, and special projects to achieve standard goals specified in the Reliability Standards Development Plan. The PMOS reports to the Standards Committee (SC).

The PMOS maintains and updates a [Project Tracking Spreadsheet](#) which shows the expected and actual project milestones for each project. In addition, the PMOS works with NERC staff in maintaining a deliberate pace of development, while staggering posting periods of projects to ensure there is no industry burden during comment periods. Each project has an assigned PMOS liaison that maintains active engagement with their assigned standard development project team(s) and developer(s), as well as, reports updates during PMOS meetings and conference calls to other subcommittee members and SC leadership.

Use the [electronic form](#) to submit a nomination. If you experience issues using the electronic form, contact [Wendy Muller](#). An unofficial Word version of the nomination form is posted in the [Related Files](#) section of the [PMOS web page](#). By submitting a nomination form, you are indicating your willingness and agreement to participate in PMOS meetings. If selected, members are expected to attend face-to-face PMOS meetings, as well as participate in all the PMOS meetings held via conference calls. The time commitment for this effort is one face-to-face

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [Standards Related Questions – Single Portal](#)
- [2017–2019 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)

meeting per quarter held prior to the SC meeting with four to eight conference calls scheduled as needed. SC members and others interested in NERC Reliability Standards are encouraged to submit nominations for representatives on the PMOS.

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Two Reliability Standard Audit Worksheets Posted**

Two Reliability Standard Audit Worksheets (RSAWs) are now available on the [RSAW page](#) of NERC's website under the heading "Current RSAWs for Use:"

- EOP-004-4 (Event Reporting) applies to many entities and the effective date of the Standard is 4/1/2019. EOP-004-4 will replace EOP-004-3.
- EOP-006-3 (System Restoration Coordination) applies only to Reliability Coordinators, and the effective date of the Standard is 4/1/2019. EOP-006-3 replaces EOP-006-2.
- MOD-026-1 (Verification of Models and Data for Generator Excitation Control System or Plant Volt/Var Control Functions) applies to Generator Owners and Transmission Planners has an errata change, changing a reference to a verification date to a transmittal date in order to align with the Standard.

### Quick Links

[Risk-Based CMEP](#)  
[CIP V5 Transition Program](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions](#)  
[CMEP Technology Project](#)

### **NEW Issues and Recommendations Tracking Spreadsheet Updated**

On September 28, 2018, the [Issues and Recommendations Tracking spreadsheet](#) on the [ERO Enterprise Program Alignment page](#) was updated.

### **NEW Newly Effective Standard**

On October 1, 2018, [BAL-004-WECC-3 – Automatic Time Error Correction](#) became effective.

## STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
<b>October 1, 2018</b> <b>EFFECTIVE THIS WEEK</b>	<a href="#">BAL-004-WECC-3 – Automatic Time Error Correction</a>
<b>January 1, 2019</b>	<a href="#">BAL-005-1 – Balancing Authority Control</a>
	<a href="#">FAC-001-3 – Facility Interconnection Requirements</a>
	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirement 5, 5.1–5.2)</a>
<b>April 1, 2019</b>	<a href="#">EOP-004-4 – Event Reporting</a>
	<a href="#">EOP-005-3 – System Restoration from Blackstart Resources</a>
	<a href="#">EOP-006-3 – System Restoration Coordination</a>
	<a href="#">EOP-008-2 – Loss of Control Center Functionality</a>
<b>January 1, 2020</b>	<a href="#">CIP-003-7 – Cyber Security – Security Management Controls</a>
	<a href="#">PRC-026-1 – Relay Performance During Stable Power Swings (Requirements 2–4)</a>
<b>July 1, 2020</b>	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements 2–4, 6–11)</a>
<b>October 1, 2020</b>	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
<b>January 1, 2021</b>	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 6, 6.1–6.4)</a>
<b>January 1, 2022</b>	<a href="#">TPL-007-1 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements 3,4,7)</a>
<b>July 1, 2022</b>	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements 2–4, 6–11)</a>

## BOARD OF TRUSTEES AND FERC ACTION

**NEW NERC Filings**

On September 26, 2018, NERC, Midwest Reliability Organization (MRO), and Reliability First Corporation (RF) filed with FERC a [joint petition](#) seeking approval for the proposed registration transfer request of Wisconsin Public Service Corporation and Upper Michigan Energy Resources Corporation from the MRO footprint to the RF footprint. At this time, the joint petition remains un-docketed. NERC will update the docket number on its website when it is assigned.

On September 28, 2018, NERC submitted to FERC an informational filing regarding BAL-001-2 in Docket No. RM14-10-000.

On September 28, 2018, NERC also filed with FERC an annual report on Wide-Area Analysis of Technical Feasibility Exceptions in Docket No. RM10-1-000 and RM13-3-000.

NERC's 2018 filings to FERC are available [here](#).

**NEW FERC Issuances**

On August 17, 2018, NERC filed with FERC a [petition](#) for approval of proposed Reliability Standard BAL-002-3 – Disturbance Control Standard – Contingency Reserve for Recovery from a Balancing Contingency Event. On September 25, FERC issued a delegated [letter order](#) approving NERC's August petition filing in Docket No. RD18-7-000.

On June 4, 2018, NERC filed with FERC a [petition](#) for approval of amendments to its Bylaws. On September 25, FERC issued a [letter order](#) accepting the revisions to the NERC Bylaws in Docket No. RR18-7-000.

FERC's 2018 issuances are available [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- Monitoring and Situational Awareness Technical Conference – October 2–3, 2018, Carmel, Indiana | [Register](#) | [Hotel Registration](#) | [Presentations from Previous Conferences](#)
- GridSecCon 2018 – October 15–19, 2018, Las Vegas, Nevada | [Register](#)

### Webinars

- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Webinar – 2:00–4:00 p.m. Eastern, October 4, 2018 | [Register](#)

### Standing Committee Meetings and Conference Calls

- **NEW** Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00 a.m.–12:30 p.m. Eastern, October 10, 2018 | [Register](#)
- Board of Trustees Committees, Member Representatives Committee, Board of Trustees Meetings – November 6–7, 2018, Atlanta | [Registration and Hotel Information](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2015-10 – Single Points of Failure Standard Drafting Team Meeting – October 2–4, 2018, Austin, TX | [Register](#)
- **NEW** Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – October 23–25, 2018, Atlanta | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Desselle](#)

with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).