

# Standards, Compliance, and Enforcement Bulletin

October 28–November 3, 2019

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2018-04 – Modifications to PRC-024-2</a>   PRC-024-3 (Draft 2)	<a href="#">Additional Ballot and Non-Binding Poll</a>	10/25/19	11/04/19

Project	Action	Start Date	End Date
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### Posted for Comment

Project	Action	Start Date	End Date
<a href="#">Project 2018-04 – Modifications to PRC-024-2</a>   PRC-024-3 (Draft 2)	<a href="#">Comment Period</a>	09/20/19	11/04/19
<a href="#">Project 2019-06 – Cold Weather</a>   SAR	<a href="#">Comment Period</a>	10/04/19	11/05/19

## OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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## STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW** Compliance Monitoring and Enforcement Program Quarterly Report Now Available

This report highlights key ERO Enterprise Compliance Monitoring and Enforcement Program (CMEP) activities that occurred in Q3 2019 and provides information and statistics regarding those activities.

In Q3 2019, CMEP activities throughout the ERO Enterprise reflected continued implementation of a risk-based approach and program alignment. The ERO Enterprise:

- Approved two multi-region registered entities (MRREs) for entry into the Coordinated Oversight Program,

### Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

- Certified one new Reliability Coordinator and reviewed one already certified and operational registered entity,
- Processed 46 functional registration changes,
- Completed one Bulk Electric System (BES) Registration Exception Request,
- Filed one Full Notice of Penalty (NOP),
- Filed 12 Spreadsheet Notices of Penalty (SNOP), and
- Began to develop the revised Compliance Oversight Plan template.

The full report is currently available as **Agenda Item 3** in the [Compliance Committee Agenda Package](#) for the [November 5 NERC Board of Trustees Meeting](#).

## OTHER NEWS

### **NEW Ninth Annual Grid Security Conference Highlights Information Sharing, Lessons Learned, and Solutions**

Strong public and private sector information sharing was the focus of the ninth grid security conference, GridSecCon. More than 600 security experts from across North America attended the two-day conference to discuss the evolution of the grid security environment and the future of cyber and physical security.

The conference, hosted by NERC and the SERC Reliability Corporation, emphasized the programs necessary to protect the grid from constantly changing cyber and physical security threats. Conference participants represented a cross-section of industry and government partners in North America, who gathered to share information that included best practices and lessons learned. GridSecCon takes place each October to call attention to National Cybersecurity Awareness Month, which was started by the Department of Homeland Security 16 years ago.

“Security and reliability are inextricably linked and respect no geographic boundaries,” said Jim Robb, NERC's president and CEO, in his opening remarks. “Events like GridSecCon help us plan and prepare for contingencies through training, information sharing, and lessons learned. Better grid security across North America depends on our strong partnerships to stay ahead of adversaries and mitigate a dynamic set of emerging threats.” The full announcement is available [here](#).

**NEW Nomination Period Open for Project Management and Oversight Subcommittee**

The Project Management and Oversight Subcommittee (PMOS) is soliciting nominations for additional members through **Monday, November 11, 2019**. For details on the time commitment, expectations, or to submit a nomination, please see the [nomination form](#) that is available on the PMOS [related files](#) page. Other general information is found on the [PMOS main page](#).

Nominees are encouraged to observe the next PMOS conference call that is being held via [WebEx](#) on November 13, 2019 from 1:00–3:00 p.m. Eastern. To subscribe to the PMOS distribution list, please (1) click [here](#), (2) select the “NERC Email Distribution List” under the Service drop-down list, and (3) note that you would like to be added to the “PMOS listserv.” For more information or assistance, please contact [Scott Barfield-McGinnis](#).

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2020	<a href="#">CIP-003-7 – Cyber Security — Security Management Controls</a>
	<a href="#">IRO-002-6 – Reliability Coordination – Monitoring and Analysis</a>
	<a href="#">PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)</a>
	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)</a>
April 1, 2020	<a href="#">CIP-003-8 – Cyber Security – Security Management Controls</a>
July 1, 2020	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-3 – Cyber Security — Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-1 – Cyber Security — Supply Chain Risk Management</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
October 1, 2020	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
January 1, 2021	<a href="#">CIP-008-6 – Cyber Security — Incident Reporting and Response Planning</a>

	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
<b>July 1, 2021</b>	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)</a>
<b>January 1, 2022</b>	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
<b>July 1, 2022</b>	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
<b>January 1, 2023</b>	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1. 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)</a>
<b>January 1, 2024</b>	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW NERC Board of Trustees Meeting Agenda Packages, Schedule of Events, and Policy Input Letter Posted**

Agenda packages for the [Board of Trustees](#), [Member Representatives Committee](#), [Compliance Committee](#), [Corporate Governance and Human Resources Committee](#), [Finance and Audit Committee](#), and [Technology and Security Committee](#) meetings on November 5–6, 2019 in Atlanta are now available. The [schedule of events](#) and the [policy input letter](#) are also available.

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- Probabilistic Analysis Forum – December 11–13, 2019, Atlanta | **Registration Coming Soon**

### **Webinars**

- Guideline for Distributed Energy Resource (DER) Modeling for Bulk Power System Planning Assessments Webinar – 11:30 a.m.–12:30 p.m. Eastern, November 8, 2019 | [Register](#)
- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 3:00–3:30 p.m. Eastern, November 14, 2019 | [Register](#)
- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 2:00–2:30 p.m. Eastern, December 9, 2019 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Board Committees, Member Representatives Committee, and Board of Trustee Meetings – November 5–6, 2019, Atlanta | [Meeting and Hotel Registration](#)
- **NEW** Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, November 20, 2019 | [Register](#)
- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – December 10–11, 2019, Atlanta | [OC Registration](#) | [PC Registration](#) | [CIPC Registration](#) | [Hotel Registration](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Standard Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)
- **NEW** Project 2019-03 – Cyber Security Supply Chain Risks Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).