

Standards, Compliance, and Enforcement Bulletin

November 11–17, 2019

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Initial Ballots and Non-Binding Polls	12/03/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Additional Ballot and Non-Binding Poll	12/06/19	12/16/19
Technical Rationale for Reliability Standards	Non-Binding Polls	12/09/19	12/18/19
Join Ballot Pools			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Join Ballot Pools	10/29/19	11/27/19

Technical Rationale for Reliability Standards	Join Ballot Pools	11/04/19	12/03/19
Posted for Comment			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Comment Period	10/29/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Comment Period	11/01/19	12/16/19
Technical Rationale for Reliability Standards	Comment Period	11/04/19	12/18/19

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: In November 2017, NERC published the Special Reliability Assessment: Potential Bulk Power System (BPS) Impacts Due to Severe Disruptions on the Natural Gas System. In the report, NERC made recommendations for assessing disruptions to natural gas infrastructure and related impacts to the reliable operation of the BPS in planning studies, several of which were assigned to the NERC Planning Committee (PC).</p> <p>In November 2018, the PC provided the NERC Board of Trustees with their action plan to address concerns from the special assessment. The action plan included development of the Fuel Assurance and Fuel-Related Reliability Risk Analysis for the BPS Draft Reliability Guideline by the newly formed Electric Gas Working Group (EGWG). The draft reliability guideline contains proposed guidance for industry planners to use in evaluating fuel and energy supply risks within their generation resource portfolios to address potential impacts to the BPS. The NERC PC Executive Committee (PCEC) reviewed the draft</p>	Submit comments via email using the comment form .	11/04/19	12/18/19

guideline and authorized posting for industry comment. For more information or assistance, please contact [Scott Barfield McGinnis](#).

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Nomination Period Open for Reliability and Security Technical Committee

The NERC Board of Trustees approved the formation of the NERC [Reliability and Security Technical Committee \(RSTC\)](#) at its November 5, 2019 meeting and is seeking nominations for Sector representatives. For the initial seating of the RSTC, members will be elected for either a two-year or a three-year term. Please be sure to select which term you prefer on the [nomination form](#) (the final term will be assigned by the RSTC Nominating Subcommittee).

The Sector nomination period will run from November 12–December 6, 2019, and two members for each Sector will be sought. The Sector representation process will follow the current OC and PC election process. If no more than two nominations are received for a particular Sector, the two nominees will be deemed to have been elected for those positions. In the event that there are more than two nominees in any Sector, there will be a Sector election process to determine the two representatives for that Sector. Any unfilled Sector positions on the initial seating will convert to at-large seats to be filled through the Nominating Subcommittee.

Sector nominees need not be NERC members in the sector for which they are nominated; however, they must be eligible to be a member in that sector.

- For example, Company X is an investor-owned utility holding company that owns a utility and an unregulated merchant generation. Company X, which can only join one NERC membership sector, is a NERC member in the investor-owned utility sector; however, it could have joined the merchant electricity generator sector. Therefore, nominees from either sector may be submitted by Company X.
- Canadian sector nominations are encouraged.

Only [NERC members](#) may elect the RSTC members in each sector.

The [RSTC Sector Nomination form](#), [RSTC Open Member Positions](#), and [RSTC Charter](#) are available online. If you have any questions about the RSTC nomination or election process, please contact [Stephen Crutchfield](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2020	CIP-003-7 – Cyber Security – Security Management Controls
	IRO-002-6 – Reliability Coordination – Monitoring and Analysis
	PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)
	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)
April 1, 2020	CIP-003-8 – Cyber Security – Security Management Controls
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-1 – Cyber Security – Supply Chain Risk Management
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
October 1, 2020	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Board of Trustees Approves New Committee Structure; Accepts RISC Report; Approves Regional Bylaws, Standards Development Plan

The NERC Board of Trustees met in an abbreviated, open session for its quarterly meeting on November 5. The new format was undertaken as part of NERC's continuing effectiveness and efficiency efforts. During the MRC meeting earlier in the day, a new chair and vice chair were elected: Jennifer Sterling of Exelon and Paul Choudhury of BC Hydro, respectively. The MRC also received an update on supply chain activities, specifically the results of the Supply Chain Risk Assessment Data Request.

President and CEO Jim Robb called attention to the anniversary of the 1965 blackout in his President's Report, which was submitted as part of the Board agenda package. The blackout brought awareness to the need for reliability coordination across the international, interconnected bulk power system (BPS) in North America and, ultimately, led to the creation of the ERO Enterprise, Robb noted.

"Electricity is fundamental to modern society, and nearly 400 million North American citizens rely on an uninterrupted electricity supply to support their way of life. Electric reliability and security depends on a complex fabric of utilities, suppliers, generators, and public/private entities," Robb wrote in his remarks. "NERC and the ERO Enterprise strengthen that fabric through our critical role in reliability, resilience, and security." The full announcement is available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Probabilistic Analysis Forum – December 11–13, 2019, Atlanta | [Register](#)

Webinars

- Project 2019-01 – Modifications to TPL-007-3 Webinar – 11:30 a.m.–1:00 p.m. Eastern, November 12, 2019 | [Register](#)
- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 3:00–3:30 p.m. Eastern, November 14, 2019 | [Register](#)
- **NEW** Project 2017-07 – Standards Alignment with Registration Webinar – 2:00–3:00 p.m. Eastern, November 18, 2019 | [Register](#)

- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 2:00–2:30 p.m. Eastern, December 9, 2019 | [Register](#)

Standing Committee Meetings and Conference Calls

- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, November 20, 2019 | [Register](#)
- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – December 10–11, 2019, Atlanta | [OC Registration](#) | [PC Registration](#) | [CIPC Registration](#) | [Hotel Registration](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2019-03 – Cyber Security Supply Chain Risks Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).