

Standards, Compliance, and Enforcement Bulletin

November 18–24, 2019

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
NEW Project 2019-01 – Modifications to TPL-007-3	Final Ballot	11/13/19	11/22/19
Project 2017-07 – Standards Alignment with Registration	Initial Ballots and Non-Binding Polls	12/03/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Additional Ballot and Non-Binding Poll	12/06/19	12/16/19
Technical Rationale for Reliability Standards	Non-Binding Polls	12/09/19	12/18/19

Join Ballot Pools			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Join Ballot Pools	10/29/19	11/27/19
Technical Rationale for Reliability Standards	Join Ballot Pools	11/04/19	12/03/19
Posted for Comment			
Project	Action	Start Date	End Date
Project 2017-07 – Standards Alignment with Registration	Comment Period	10/29/19	12/12/19
Project 2016-02 – Modifications to CIP Standards CIP-002-6 (Draft 4)	Comment Period	11/01/19	12/16/19
Technical Rationale for Reliability Standards	Comment Period	11/04/19	12/18/19

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: In November 2017, NERC published the Special Reliability Assessment: Potential Bulk Power System (BPS) Impacts Due to Severe Disruptions on the Natural Gas System. In the report, NERC made recommendations for assessing disruptions to natural gas infrastructure and related impacts to the reliable operation of the BPS in planning studies, several of which were assigned to the NERC Planning Committee (PC).</p> <p>In November 2018, the PC provided the NERC Board of Trustees with their action plan to address concerns from the special assessment. The action plan included development of the Fuel Assurance and Fuel-Related Reliability Risk Analysis for the BPS Draft Reliability Guideline by the newly formed Electric Gas Working Group (EGWG). The draft</p>	Submit comments via email using the comment form .	11/04/19	12/18/19

<p>reliability guideline contains proposed guidance for industry planners to use in evaluating fuel and energy supply risks within their generation resource portfolios to address potential impacts to the BPS. The NERC PC Executive Committee (PCEC) reviewed the draft guideline and authorized posting for industry comment. For more information or assistance, please contact Scott Barfield McGinnis.</p>			
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STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW Webinar Resources Posted

NERC has posted the [slide presentation](#) and [recording](#) from the November 12, 2019 Project 2019-01 – Modifications to TPL-007-3 webinar.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance and Enforcement news to report for this week.

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Webinar Resources Posted

NERC has posted the [slide presentation](#) and [recording](#) from the November 8, 2019 Guideline for Distributed Energy Resource (DER) Modeling for Bulk Power System Planning Assessments webinar.

NEW Nomination Period Open for New Reliability Issues Steering Committee Members

As the terms expire for a number of Reliability Issues Steering Committee (RISC) members on January 31, 2020, volunteers from the Member Representatives Committee (MRC) and industry are being sought to serve on the RISC for two-year terms ending January 31, 2022. The RISC is an advisory committee that reports directly to the NERC Board of Trustees (Board) and triages and provides front-end, high-level leadership and accountability for issues of strategic importance to bulk power system (BPS) reliability and security. The RISC assists the Board, NERC technical committees, NERC staff, regulators, Regional Entities, and industry stakeholders in establishing a common understanding of the scope, priority, and goals for the development of solutions to these issues. In doing so, the RISC provides a framework for developing recommendations to help NERC and the industry effectively focus their resources on the critical issues needed to improve the reliability and security of the BPS. The Board approved the [2019 ERO Reliability Risk Priorities Report](#) on November 5, 2019. The RISC holds in-person meetings and conference calls, at least once per quarter.

As described in the [RISC charter](#), nominees for RISC should possess the following general qualifications:

- Executive-level position within the electric utility industry;
- High-level understanding and strategic perspectives on reliability risks; and
- Commitment to regular participation on the RISC in a collaborative and consensus-building manner.

When determining a final slate of recommended members, the RISC Nominating Committee will evaluate nominees based on experience and qualifications, as well as diversity within the context of the entire membership of the RISC based on the following considerations:

- Geographic and international diversity, such that Eastern, Western, and Texas Interconnections, along with Canada are represented on the RISC;
- Sector, size, and asset (transmission, distribution, load, generation, etc.) diversity; and
- Diversity in professional background and experience.

If you are interested in nominating either yourself or another person, please complete the [nomination form](#). Nominations are due on or before December 13, 2019.

Nomination Period Open for Reliability and Security Technical Committee

The NERC Board of Trustees approved the formation of the NERC [Reliability and Security Technical Committee \(RSTC\)](#) at its November 5, 2019 meeting and is seeking nominations for Sector representatives. For the initial seating of the RSTC, members will be elected for either a two-year or a three-year term. Please be sure to select which term you prefer on the [nomination form](#) (the final term will be assigned by the RSTC Nominating Subcommittee).

The Sector nomination period will run from November 12–December 6, 2019, and two members for each Sector will be sought. The Sector representation process will follow the current OC and PC election process. If no more than two nominations are received for a particular Sector, the two nominees will be deemed to have been elected for those positions. In the event that there are more than two nominees in any Sector, there will be a Sector election process to determine the two representatives for that Sector. Any unfilled Sector positions on the initial seating will convert to at-large seats to be filled through the Nominating Subcommittee.

Sector nominees need not be NERC members in the sector for which they are nominated; however, they must be eligible to be a member in that sector.

- For example, Company X is an investor-owned utility holding company that owns a utility and an unregulated merchant generation. Company X, which can only join one NERC membership sector, is a NERC member in the investor-owned utility sector; however, it could have joined the merchant electricity generator sector. Therefore, nominees from either sector may be submitted by Company X.
- Canadian sector nominations are encouraged.

Only [NERC members](#) may elect the RSTC members in each sector. The [RSTC Sector Nomination form](#), [RSTC Open Member Positions](#), and [RSTC Charter](#) are available online. If you have any questions about the RSTC nomination or election process, please contact [Stephen Crutchfield](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2020	CIP-003-7 – Cyber Security – Security Management Controls
	IRO-002-6 – Reliability Coordination – Monitoring and Analysis
	PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)
	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)
April 1, 2020	CIP-003-8 – Cyber Security – Security Management Controls
July 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-1 – Cyber Security – Supply Chain Risk Management
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
October 1, 2020	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-012-2 – Remedial Action Schemes
July 1, 2021	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)
January 1, 2022	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)
January 1, 2024	TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)

BOARD OF TRUSTEES AND FERC ACTION

NEW Board Appoints Sonia Mendonca as Senior Vice President, General Counsel, and Corporate Secretary

NERC's Board of Trustees appointed Sonia Mendonca as senior vice president, general counsel, and corporate secretary, effective immediately. In this role, Mendonca will serve as chief legal advisor to the president and chief executive officer (CEO), the Board, staff and stakeholders on all legal and regulatory matters affecting NERC. Mendonca had been serving as interim general counsel and corporate secretary since September.

“We are pleased to announce that Sonia will be taking on the duties of general counsel permanently for NERC,” said Jim Robb, president and CEO. “During her eight years at NERC, she has been instrumental in streamlining our Enforcement process to make it more effective and efficient, among countless other initiatives. Sonia's dedication to the mission of the ERO Enterprise over the years made her a top candidate for this important job. I know she will continue to excel.” The [full announcement](#) is available.

NEW NERC Filings

On November 14, 2019, NERC submitted to FERC a compliance [filing](#), consisting of the unaudited report of the NERC budget-to-actual spending variances during the preceding quarter, filed under Docket No. FA11-21-000. This compliance filing was submitted in accordance with FERC's January 16, 2013 Order, which approved a Settlement Agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit of NERC.

NERC's 2019 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for standard drafting teams, other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Probabilistic Analysis Forum – December 11–13, 2019, Atlanta | [Register](#)

Webinars

- Project 2017-07 – Standards Alignment with Registration Webinar – 2:00–3:00 p.m. Eastern, November 18, 2019 | [Register](#)
- Improvements to Compliance and Enforcement Pages on NERC.com Webinar – 2:00–2:30 p.m. Eastern, December 9, 2019 | [Register](#)

Standing Committee Meetings and Conference Calls

- Compliance and Certification Committee Meeting – November 19–20, 2019, Austin, TX | [Register](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, November 20, 2019 | [Register](#)
- Operating Committee, Planning Committee, and Critical Infrastructure Protection Committee Meetings – December 10–11, 2019, Atlanta | [OC Registration](#) | [PC Registration](#) | [CIPC Registration](#) | [Hotel Registration](#)
- **NEW** Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 18, 2019 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2019-03 – Cyber Security Supply Chain Risks Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)
- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – November 19–21, 2019, Atlanta | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).