

# Standards, Compliance, and Enforcement Bulletin

December 16–22, 2019

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 (Draft 4)	<a href="#">Additional Ballot and Non-Binding Poll</a>	12/06/19	12/16/19
<a href="#">Technical Rationale for Reliability Standards</a>	<a href="#">Non-Binding Polls</a>	12/09/19	12/18/19

### Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
<a href="#">Project 2016-02 – Modifications to CIP Standards</a>   CIP-002-6 (Draft 4)	<a href="#">Comment Period</a>	11/01/19	12/16/19
<a href="#">Technical Rationale for Reliability Standards</a>	<a href="#">Comment Period</a>	11/04/19	12/18/19

### OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Draft Reliability Guideline:</b> In November 2017, NERC published the <a href="#">Special Reliability Assessment: Potential Bulk Power System (BPS) Impacts Due to Severe Disruptions on the Natural Gas System</a>. In the report, NERC made recommendations for assessing disruptions to natural gas infrastructure and related impacts to the reliable operation of the BPS in planning studies, several of which were assigned to the NERC Planning Committee (PC).</p> <p>In November 2018, the PC provided the NERC Board of Trustees with their action plan to address concerns from the special assessment. The action plan included development of the <a href="#">Fuel Assurance and Fuel-Related Reliability Risk Analysis for the BPS Draft Reliability Guideline</a> by the newly formed Electric Gas Working Group (EGWG). The draft reliability guideline contains proposed guidance for industry planners to use in evaluating fuel and energy supply risks within their generation resource portfolios to address potential impacts to the BPS. The NERC PC Executive Committee (PCEC) reviewed the draft guideline and authorized posting for industry comment. For more information or assistance, please contact <a href="#">Scott Barfield McGinnis</a>.</p>	Submit comments <a href="#">via email</a> using the <a href="#">comment form</a> .	11/04/19	12/18/19

<p><b>Comment Period Open for Regional Reliability Standard BAL-001-TRE-2:</b> The Texas Reliability Entity (Texas RE) requested that NERC post Regional Reliability Standard BAL-001-TRE-2 for industry review and comment in accordance with the NERC Rules of Procedure.</p> <p>Texas RE received a Standard Authorization Request seeking: (i) to remove the governor deadband and droop setting requirements for steam turbines in a combined cycle train and (ii) clarification of the responsible entity for Frequency Measurable Event exclusion requests. The Texas RE drafting team summarized all of the revisions made to Regional Reliability Standard BAL-001-TRE-2, including template edits, in the <a href="#">Summary of Changes</a>. Documents and information about this project are available on the <a href="#">Texas RE Standards</a> page.</p>	<p>Submit comments using the <a href="#">SBS</a>.</p>	<p>11/22/19</p>	<p>01/06/20</p>
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## STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW Update to Program Alignment Issues and Recommendations Tracking**

The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#). The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues— anonymously, if desired.

NERC has resolved the alignment issue pertaining to effective date and phased-in implementation dates for Reliability Standards received in Q3 2019. NERC also updated [the Issues and Recommendations Tracking](#) document to resolve the issue regarding data requests.

### **ReliabilityFirst to Host Internal Control Workshop in 2020**

On February 12, 2020, ReliabilityFirst will host an Internal Control Workshop at its headquarters in Cleveland, Ohio. This workshop, open to all interested participants, will be a working session that focuses on one CIP and O&P Standard, with the goal that each entity will leave with documented controls applicable to their organization, relative to the standard.

During this working session attendees will be:

- Introduced to the ReliabilityFirst Internal Controls Framework (based on COSO);
- Trained on Internal Controls and how to use the framework;
- Provided templates to capture Internal Controls based on the framework; and
- Given time and guidance to complete the templates relative to entity SME and PCC processes.

Registration closes January 31, 2020. Registration details are available [here](#) and under [Upcoming Events](#).

### Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

### **NEW Annual Sector Election Ballot Period Opens for Member Representatives Committee**

The election period for the NERC Member Representatives Committee (MRC) began on December 9, 2019, and ends at midnight on December 19, 2019. Newly elected representatives' terms will expire in February 2022. For more information or assistance, please contact [MRC Election@nerc.net](mailto:MRC_Election@nerc.net). Please [submit your ballot](#) by midnight on December 19, 2019, noting the following rules.

1. You must be the NERC Member's representative or alternate to submit a ballot.
2. The person casting the NERC Member's ballot (*i.e.*, the NERC Member's representative or alternate) must cast it in the sector in which the Member is registered.
3. Only one ballot per NERC Member may be cast.
4. Vote for one candidate from among those listed in your sector.
5. Your ballot is invalid if you choose more than one nominee.

MRC Sector Nominees	
Sector	Nomination
1 - Investor-Owned Utility	<a href="#">Thad Ness (Xcel Energy)</a>
2 - State/ Municipal Utility	<a href="#">Carol Chinn (Agent of Lakeland Electric)</a>
3 - Cooperative Utility	<a href="#">Greg Ford (Georgia System Operations Corporation)</a>
4 - Federal/ Provincial Utility	<a href="#">Martin Huang (Hydro One Networks Inc.)</a> <a href="#">Syvain Clermont (Hydro-Quebec TransEnergie)</a>
5 - Transmission-Dependent Utility	<a href="#">John Twitty (TAPS)</a>
6 - Merchant Electricity Generator	<a href="#">Sean Cavote (PSEG)</a>
7 - Electricity Marketer	<a href="#">Brad Cox (Tenaska Inc.)</a>
8 - Large End-Use Electricity Customer	<a href="#">Venona Greaff (Occidental Chemical Corporation)</a>
9 - Small End-Use Electricity Customer	<a href="#">Jaqueline Roberts (West Virginia Consumer Advocate)</a>
10 - ISO/ RTO	<a href="#">Gordon Van Welie (ISO New England Inc.)</a> <a href="#">Peter Gregg (Independent Electricity System Operator)</a>
12 - State Government	<a href="#">Kate Bailey (New Hampshire Public Utilities Commission/NARUC)</a>

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
January 1, 2020	<a href="#">CIP-003-7 – Cyber Security – Security Management Controls</a>
	<a href="#">IRO-002-6 – Reliability Coordination – Monitoring and Analysis</a>
	<a href="#">PRC-026-1 – Relay Performance During Stable Power Swings (Requirements R2–R4)</a>
	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R5, 5.1, 5.2, R9, 9.1, and 9.2)</a>
April 1, 2020	<a href="#">CIP-003-8 – Cyber Security – Security Management Controls</a>
July 1, 2020	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-1 – Cyber Security – Supply Chain Risk Management</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
October 1, 2020	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
January 1, 2021	<a href="#">CIP-008-6 – Cyber Security – Incident Reporting and Response Planning</a>
	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
July 1, 2021	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)</a>
January 1, 2022	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
July 1, 2022	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
January 1, 2023	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)</a>
January 1, 2024	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW FERC Open Meeting Agenda Posted**

FERC has posted the [agenda](#) for December 19, 2019 open meeting. There are no items of interest to NERC.

### **NEW NERC Filings**

On December 13, 2019, NERC submitted to FERC its [2020–2022 Reliability Standards Development Plan \(RSDP\)](#), which provides a status update on active development projects and a forecast of future work to be undertaken by NERC and its stakeholders throughout the upcoming year.

NERC's 2019 filings to FERC are available [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- **REGIONAL WORKSHOP** ReliabilityFirst Internal Control Workshop – February 12, 2020, Cleveland, OH | [Register](#)

### **Webinars**

There are no webinars currently scheduled.

### **Standing Committee Meetings and Conference Calls**

- Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, December 18, 2019 | [Register](#) | **NEW** [Agenda Package](#)
- **NEW** Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 1:00–2:30 p.m. Eastern, January 9, 2020 | [Register](#)
- **NEW** Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – February 5–6, 2020 | [Register](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2017-07 – Standards Alignment with Registration Drafting Team Meeting – December 17–18, 2019, Atlanta | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).