

# Standards, Compliance, and Enforcement Bulletin

January 13–21, 2020

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Additional Ballot and Non-Binding Poll</a>	1/24/20	02/03/20
Join Ballot Pools			
Project	Action	Start Date	End Date
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Join Ballot Pools</a>	12/20/19	01/20/20
Posted for Comment			
Project	Action	Start Date	End Date

<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Comment Period</a>	12/20/19	02/03/20
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**OTHER ACTIVE COMMENT PERIODS**

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Draft Reliability Guideline:</b> The NERC System Planning Impacts from Distributed Energy Resources Working Group (SPIDERWG) developed the <a href="#">draft Reliability Guideline: Bulk Power System Reliability Perspectives on the Adoption of IEEE 1547-2018</a>. The Reliability Guideline provides high-level guidance and BPS reliability perspectives that should be considered during the adoption and implementation of IEEE 1547-2018. Specifically, the guideline focuses on issues pertaining to DER that have been identified by SPIDERWG as potentially having an impact to the BPS. The guidance is intended for state regulatory staffs, Distribution Providers (DPs), Balancing Authorities (BAs), Reliability Coordinators (RCs), and other entities navigating adoption of IEEE 1547-2018 and its requirements. The Planning Committee reviewed the initial draft of the guideline and approved posting for industry comment.</p>	<p>Submit comments via <a href="#">email</a> using the <a href="#">comment form</a>.</p>	12/20/19	02/12/20

## STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

### Quick Links

[Register in the SBS](#)  
[Projected Standards Posting Schedule](#)  
[Project Tracking Spreadsheet](#)  
[2019–2021 Reliability Standards Development Plan](#)  
[Quality Review Application](#)  
[One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)  
[CIP Standards Efficiency Review](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW** Align Webinar Announced

Stakeholders are invited to attend a webinar on January 27, 2020, that will provide an update to the [Align Project](#), which is a technology solution intended to align the business processes of NERC and the Regional Entities on a single platform; improve documentation, sharing and analysis of compliance work activities; and make Compliance Monitoring and Enforcement Program (CMEP) activities more effective and efficient across the ERO Enterprise.

The webinar will provide information on the ERO Enterprise's approach to collecting, maintaining, and securing registered entity evidence and CMEP documentation, along with enhancements to the project intended to address the issues related to collection and securing of data and evidence described in the November 19, 2019, Release 1 Deployment Update on the [project page](#). Specifically, the webinar will walk through the ERO Enterprise's approach to collecting and maintaining CMEP information and ERO Enterprise work papers and workflow within the Align tool, along with enhancements to existing work paper development processes to support conclusions without the need to store data for extended periods. Finally, the webinar will introduce and explain an evidence locker technology approach, separate from Align, where registered entity evidence will be submitted. Registration is available under [Upcoming Events](#).

### Quick Links

[Risk-Based CMEP](#)  
[CIP V5 Transition Program](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions](#)  
[Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

### **NEW Goulding Retires from NERC Board**

David Goulding, NERC Board of Trustees member, announced his retirement from the Board, effective January 1, 2020. Goulding was elected to the Board in 2010 and served in a variety of positions during his more than 35 years of association with NERC. He most recently chaired the Enterprise-wide Risk Committee and served on the Finance and Audit and Nominating Committees.

“Dave’s contributions to NERC, the Board and industry have been extraordinary. He will be sorely missed by our Board and stakeholders,” said Board Chair Roy Thilly. “Dave brought deep operational and management experience to all facets of the Board’s work. He has been instrumental in revamping our Enterprise-wide Risk Committee, providing pivotal oversight of NERC’s corporate risk management program. We are all very grateful for Dave’s long years of service to bulk power system reliability and dedication to the ERO Enterprise. We wish him the best in retirement.” The full announcement is available [here](#).

### **NEW Manny Cancel Joins NERC as SVP, CEO of E-ISAC**

NERC is pleased to announce that Manny Cancel is joining the organization as NERC senior vice president and chief executive officer of the Electricity Information Sharing and Analysis Center (E-ISAC), effective January 7. Cancel recently retired from ConEdison after a 39-year career, most recently as the chief information officer. He has also supported the Member Executive Committee (MEC), an advisory group formed out of the Electricity Subsector Coordinating Council (ESCC) that provides guidance to the E-ISAC.

In addition to leading the E-ISAC management team, Cancel will serve as the key external face of the E-ISAC to important constituencies, such as the ESCC, government partners, and key industry groups. One of his near-term priorities will be to spearhead the next iteration of the *E-ISAC Long-Term Strategic Plan*. The full announcement is available [here](#).

### **NEW NERC Publishes History Book Looking Back Over the Last 50 Years**

NERC came to be in June 1968 in the wake of the 1965 Northeast Blackout. Over the past 50 years, NERC matured tremendously and became an independent voice dedicated to the reliability, security and resiliency of the North American bulk power system.

In honor of this evolution and those who played key roles in it, NERC published a history illustrating the challenges and changes of those 50 years. [The History of the North American Electric Reliability Corporation](#) records NERC’s growth from a voluntary international organization formed by electric utilities to the collective ERO Enterprise it is today — one that works jointly with users, owners, and operators of bulk power system assets, government partners, and other stakeholders and industry participants to benefit nearly 400 million North American citizens.

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
April 1, 2020	<a href="#">CIP-003-8 – Cyber Security – Security Management Controls</a>
July 1, 2020	<a href="#">CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-1 – Cyber Security – Supply Chain Risk Management</a>
October 1, 2020	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
	<a href="#">PER-006-1 – Specific Training for Personnel</a>
January 1, 2021	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
	<a href="#">CIP-008-6 – Cyber Security – Incident Reporting and Response Planning</a>
July 1, 2021	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R11 and R12)</a>
January 1, 2022	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
July 1, 2022	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
January 1, 2023	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.3, 8.4, and 8.4.1)</a>
January 1, 2024	<a href="#">TPL-007-3 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1, 7.2, 7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, and 7.5.1.)</a>

## BOARD OF TRUSTEES AND FERC ACTION

There is no additional Board or FERC action to report for this week.

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- **REGIONAL WORKSHOP** ReliabilityFirst Internal Control Workshop – February 12, 2020, Cleveland, OH | [Register](#)

### Webinars

- Project 2019-02 BES Cyber System Information Access Management Webinar – 1:00–3:00 p.m. Eastern, January 16, 2020 | [Register](#)
- **NEW** Update on Align Project Webinar – 1:00–4:00 p.m. Eastern, January 27, 2020 | [Register](#)
- Project 2019-03 – Cyber Security Supply Chain Risks – 1:00–2:30 p.m. Eastern, February 4, 2020 | [Register](#)

### Standing Committee Meetings and Conference Calls

- Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – February 5–6, 2020 | [Register](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2016-02 – Modifications to CIP Standards Drafting Team Meeting – January 14–16, 2020, Atlanta | [Register](#)
- Project 2019-05 – Modifications to PER-003-2 Drafting Team Meeting – January 14–15, 2020, Atlanta | [Register](#)
- Project 2019-04 – Modifications to PRC-005-6 Drafting Team Meeting – January 22–23, 2020, Atlanta | [Register](#)
- Project 2015-09 – Establish and Communicate System Operating Limits Drafting Team Meeting – January 22–23, 2020, Atlanta | [Register](#)
- **NEW** Project 2019-06 – Cold Weather Drafting Team Meeting – January 28–30, 2020, Atlanta | [Register](#)
- Project 2017-01 – Modifications to BAL-003-1.1 Drafting Team Meeting – January 29–30, 2020, Atlanta | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).