Standards, Compliance, and Enforcement Bulletin

May 26–31, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)			
Project	**Action**	**Start Date**	**End Date**
Project 2019-03 – Cyber Security Supply Chain Risks	CIP-005-7, CIP-010-4, and CIP-013-2	Additional Ballot and Non-binding Poll	06/12/20	06/22/20
Join Ballot Pools			
	Action	**Start Date**	**End Date**
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Posted for Comment			
Project	**Action**	**Start Date**	**End Date**

RELIABILITY | RESILIENCE | SECURITY

Project 2020-03 – Supply Chain Low Impact Revisions	Standard Authorization Request	Comment Period	04/03/20	06/03/20
Project 2020-04 – Modifications to CIP-012	Standard Authorization Request	Comment Period	04/08/20	06/11/20
Project 2019-03 – Cyber Security Supply Chain Risks	CIP-005-7, CIP-010-4, and CIP-013-2	Comment Period	05/07/20	06/22/20
NEW Regional Reliability Standard	PRC-006-5 WECC Variance	Comment Period	05/21/20	07/06/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	**Action**	**Start Date**	**End Date**
NEW Comment Period Open for First Draft of NERC's 2021 Business Plan and Budget: The first draft of NERC's 2021 business plan and budget and the first drafts of the Regional Entities' 2021 business plans and budgets are now available on NERC's website. A presentation providing a summary of the NERC 2021 business plan and budget and ERO Enterprise combined 2021 budgets and assessments are posted as part of these materials. Presentations providing a summary of each Regional Entity business plan and budget are also posted. NERC has posted the following documents for review and/or comment: • Cover Letter from Andy Sharp, Vice President and Interim CFO • NERC 2021 Business Plan and Budget Overview • ERO Enterprise Combined 2021 Budgets and Assessments Overview • First Draft of NERC's 2021 Business Plan and Budget	Submit comments and/or questions to Erika Chanzes.	05/19/20	06/26/20

NEW Comment Period Open for Proposed Changes to NERC Rules of Procedure: NERC is proposing revisions to section 1003 and Appendix 4B of its Rules of Procedure (ROP) in accordance with the directive in FERC's order accepting NERC's Five-Year Performance Assessment. Section 1003 of the ROP describes NERC's infrastructure security program, including, among other things, its operation of the Electricity Information Sharing and Analysis Center and its relationship with the Electricity Subsector Coordinating Council. The purpose of the proposed revisions is to update section 1003 to correct inconsistencies and accurately reflect current operational practices related to NERC's infrastructure security program. Appendix 4B of the ROP describes the NERC Sanction Guidelines, which NERC and the Regional Entities use to determine appropriate monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards by registered entities. The purpose of the proposed revisions is to update Appendix 4B to reflect the current practices of NERC and the Regional Entities in levying monetary and non-monetary sanctions for violations of the NERC Reliability Standards and Regional Reliability Standards and address specific directives from FERC in its order accepting NERC's Five-Year Performance Assessment. NERC requests comments on the proposed revisions to the NERC Rules of Procedure. The posted sections are: • Summary of Proposed Revisions to Section 1003 • Summary of Proposed Revisions to Appendix 4B • Redlined Text of Proposed Revisions to Rules of Procedure Section 1003	Submit comments electronically to ROPcomments@nerc.net.	05/21/20	07/10/20

• Redlined Text of Proposed Revisions to Appendix 4B of the NERC Rules of Procedure			

STANDARDS NEWS

With the implementation of the Align Project in 2020, there will be changes to the Reliability Standards web page and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

NEW **Resources Posted**
NERC posted the streaming webinar and slide presentation for the May 14 Project 2019-03 – Cyber Security Supply Chain Risks Industry webinar.

Quick Links
Register in the SBS
Projected Standards Posting Schedule
Project Tracking Spreadsheet
2019–2021 Reliability Standards Development Plan
Quality Review Application
One-Stop Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWs)
CIP Standards Efficiency Review

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

There is no additional Compliance or Enforcement news to report for this week.

Quick Links
Risk-Based CMEP
CIP V5 Transition Program
ERO Enterprise Program Alignment Process
Consistency Reporting Tool (EthicsPoint)
Risk-Based Registration Initiative
Reliability Standard Audit Worksheets
Enforcement & Mitigation: Enforcement Actions
Align Project (formerly CMEP Technology Project)

Please refer to the U.S. Effective Dates page for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	**Standard(s)**
October 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s) CIP-010-3 – Cyber Security — Configuration Change Management and Vulnerability Assessments CIP-013-1 – Cyber Security — Supply Chain Risk Management
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)
January 1, 2021	CIP-008-6 – Cyber Security — Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11) PRC-012-2 – Remedial Action Schemes PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1. 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5 – Transmission System Planning Performance Requirements	Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

NEW NERC Filings

On May 18, 2020, NERC and WECC submitted to FERC their response to the data request regarding proposed regional Reliability Standard BAL-002-WECC-3 – Contingency Reserve. The transmittal letter and Attachment 1 and Attachment 2 are now available.

NERC's 2020 filings to FERC are available here.

NEW FERC Issuances

On May 19, 2020, FERC issued a letter order approving regional Reliability Standard BAL-001-TRE-2.

FERC's 2020 issuances can be found here.

NEW Opportunity to Suggest Candidates for NERC Board of Trustees

The Nominating Committee for the NERC Board of Trustees is providing an opportunity for stakeholders to suggest candidates to serve as an independent member of NERC's Board of Trustees.

A link to the summary memo from Ken DeFontes, chair of the Nominating Committee, outlining the role of the Committee and the process for the nomination and election of independent members of the NERC Board is available here. Russell Reynolds has been retained by the Nominating Committee to assist in the search for and screening of candidates. Please submit all suggestions for candidates to Jennifer Rockwood of Russell Reynolds. All suggestions for candidates must be received by **June 5, 2020.**

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the NERC calendar.

Workshops and Conferences
There are no workshops or conferences currently scheduled.

Webinars
- **NEW** Project 2016-02 – Modifications to CIP Standards | Hypervisor and Storage Systems Webinar – 2:00–3:00 p.m. Eastern, May 28, 2020 | Register

Standing Committee Meetings and Conference Calls
- **NEW** Finance and Audit Committee Informational Session and Webinar – 1:00–3:00 p.m. Eastern, June 4, 2020 | Register | Agenda Package
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 17, 2020 | Register

Standard Drafting Team Meetings and Conference Calls
The Standards calendar provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.
There are no standard drafting team meetings currently scheduled.

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email Amy Klagholz with feedback on this bulletin. The current bulletin and old bulletins are available under "Program News" on both the Standards home page and the Compliance & Enforcement home page.

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the Help Desk. Select the "NERC Email Distribution List" option under the "Applications" menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).