

Standards, Compliance, and Enforcement Bulletin

August 10–16, 2020

INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks	Additional Ballots and Non-binding Poll	09/01/20	09/10/20
NEW Project 2019-02 – BES Cyber System Information Access Management	Additional Ballots and Non-binding Poll	09/11/20	09/21/20
Join Ballot Pools			
	Action	Start Date	End Date
--	--	--	--
Posted for Comment			

Project	Action	Start Date	End Date
Project 2019-03 – Cyber Security Supply Chain Risks	Comment Period	07/28/20	09/10/20
NEW Project 2019-02 – BES Cyber System Information Access Management	Comment Period	08/06/20	09/21/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>NEW Comment Period Open for Generating Unit Winter Weather Readiness – Current Industry Practices – Version 3 Draft Reliability Guideline:</p> <p>In accordance with current NERC practices, all Reliability Guidelines must undergo a review every three years. The Reliability Guideline: Generating Unit Winter Weather Readiness – Current Industry Practices – Version 3 has been reviewed and revisions have been made to keep the information current.</p> <p>This reliability guideline is applicable to electricity sector organizations responsible for the operation of the bulk power system. Although this guideline was developed as a result of an unusual cold weather event in an area not normally exposed to freezing temperatures, it provides a general framework for developing an effective winter weather readiness program for generating units throughout North America. The focus is on maintaining individual unit reliability and preventing future cold weather related events. This document is a collection of industry practices and while the incorporation of these practices is strictly voluntary, developing a winter weather readiness program using these practices is highly encouraged to promote and achieve the</p>	Submit comments via email using the comment form .	08/07/20	09/21/20

highest levels of reliability for these high impact weather events. The Reliability and Security Technical Committee reviewed Version 3 of the guideline and approved a 45-day posting for industry comment.			
NEW Comment Period Open for Supply Chain Procurement Language Draft Security Guideline: The Reliability and Security Technical Committee Executive Committee reviewed the initial draft of the Supply Chain Procurement Language guideline and approved posting it for industry comment.	Submit comments via email using the comment form .		

STANDARDS NEWS

With the implementation of the [Align Project](#) in 2020, there will be changes to the [Reliability Standards web page](#) and associated reports, including the One-Stop Shop, U.S. Effective Date Status/Functional Applicability spreadsheet, and VRF and VSL matrices. More details will be provided in the coming months.

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Nomination Period Open for Compliance and Certification Committee
The Compliance and Certification Committee (CCC) is requesting nominations to fill the vacant positions through September 5, 2020. The CCC engages with support and advises the NERC Board and NERC regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization Certification Program. Please review the [CCC Charter](#) for information regarding the responsibilities of CCC members.

Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

The following voting positions are currently open for nominations to the CCC:

- 1- Investor-Owned Utility
- 1-State/Municipal Utility
- 1-Federal/Provincial Utility/Federal Power Marketing Administration
- 1- Transmission Dependent Utility
- 1- Electricity Marketer
- 2- Large End-Use Electricity Customer
- 1- Small End-Use Electricity Customer
- 1- ISO/RTO
- 1- U.S. Federal
- 2-U.S. State
- 1- Canadian Federal
- 1-Canadian Provincial

The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may only be nominated for a sector that matches the NERC member's sector under the [NERC membership list](#). Terms will be for three years and will begin on January 1, 2021 after NERC Board approval of membership appointments at its scheduled meeting in November. If you are interested in serving as or nominating a member to the CCC, please complete the [nomination form](#) and send it to CCCElections@nerc.net by **September 5, 2020**.

OTHER NEWS

NEW NERC News Posted

NERC News, NERC's monthly newsletter, is now available for [July](#). Highlights in this edition include:

- ERO Executive Spotlight Features Tim Gallagher, President and CEO, ReliabilityFirst

- FERC, NERC Publish Guide to Identify Supply Chain Vendors
- Grid Demonstrates Improved System Performance and Resilience in 2019
- Robb Speaks at FERC Technical Conference on COVID-19 Impacts
- Upcoming Events

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
October 1, 2020	CIP-005-6 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-3 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-1 – Cyber Security – Supply Chain Risk Management
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R1, R2, R5, 5.1–5.2, R9, 9.1–9.2)
December 1, 2020	BAL-003-2 – Frequency Response and Frequency Bias Setting
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers

	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Board of Trustees Meeting Agenda Packages, Policy Input Letter, and Schedule of Events Posted

Agenda packages for the virtual [Board of Trustees](#), [Member Representatives Committee](#), [Corporate Governance and Human Resources Committee](#), and [Technology and Security Committee](#) meetings on August 19–20, 2020 are available. The agenda package for the Finance and Audit Committee meeting will be posted shortly. The [schedule of events](#) and [policy input letter](#) are also available.

NEW Notice of Proposed Revisions to NERC Rules of Procedure

NERC is proposing revisions to its Rules of Procedure as a part of a modernization initiative to reflect current business practices, provide further clarification and transparency to industry stakeholders, and in response to the Federal Energy Regulatory Commission’s directives issued in the January 23, 2020 Order.

NERC is requesting approval from the NERC Board of Trustees at the Open Board of Trustees Meeting on August 20, 2020. The proposed revisions to the Registration and Certification Programs, Infrastructure Security Program, and Sanction Guidelines are to the following sections and appendices:

- **Registration and Certification Programs**
 - [Section 500 Redlines](#) ([Clean](#))
 - [Appendix 2 Redlines](#)
 - [Appendix 5A Redlines](#) ([Clean](#))
 - [Appendix 5B Redlines](#)
 - [Appendix 5C Redlines](#)

- [ORCP Consideration of Comments \(First Posting\)](#)
- [ORCP Consideration of Comments \(Second Posting\)](#)
- **Infrastructure Security Program**
 - [Section 1003 Redlines \(Clean\)](#)
 - [Section 1003 Consideration of Comments](#)
- **Sanction Guidelines**
 - [Appendix 4B Redlines \(Clean\)](#)
 - [Sanction Guidelines Consideration of Comments](#)

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- **REGIONAL ENTITY EVENT** ReliabilityFirst Sixth Annual Protection System Workshop for Technical Personnel – August 18, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Third Annual Human Performance Workshop – August 20, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Fall Virtual Workshop – August 25, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 1 – September 24, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 2 – October 15, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

Webinars

- **REGIONAL ENTITY EVENT** Technical Talk with ReliabilityFirst – 2:00–3:30 p.m. Eastern, August 17, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** ReliabilityFirst Human Performance Improvement Overview – 8:00 a.m.–12:00 p.m. Eastern, August 19, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Reliability Issues Steering Committee Meeting – 11:00 a.m.–12:00 p.m. Eastern, August 6, 2020 | [Register](#) | [Agenda Package](#)
- Board of Trustees Committees, Member Representatives Committee, Board of Trustees Meetings – August 19–20, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

There are no drafting team meetings currently scheduled.

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).