

Standards, Compliance, and Enforcement Bulletin

October 5–11, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
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Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
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OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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STANDARDS NEWS

NEW Resources Posted

NERC posted the [streaming webinar](#) and [slide presentation](#) for the September 30 Project 2016-02 – Modifications to CIP Standards | Virtualization webinar.

NEW Joint Industry Webinar Announced

The North American Transmission Forum (NATF), along with ReliabilityFirst and SERC, is hosting an industry-wide special webinar on “Identifying and Managing Potential Compromise of Network Interface Cards” on October 22, 2020 from 3:00–4:30 p.m. Eastern. This webinar is being presented as part of a pilot collaboration between the NATF, ReliabilityFirst, and SERC focused on mitigation practices that entities can employ on their systems, equipment, and networks to reduce risk introduced via the supply chain. The webinar will feature an overview of the NERC/FERC Joint Staff White Paper on Supply Chain Vendor Identification, in addition to the following planned topics:

- Overview of NATF–ERO Collaboration Pilot
- NATF Supplier Cyber Security Assessment Model: How Entity Mitigation Fits In
- Regional Entity Perspectives on Network Interface Card (NIC) Compromise Risks and Mitigation
- NATF Member Subject Matter Expert Perspectives/Experiences with NIC Compromise Mitigation

Advance registration is [required](#).

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Webinar Announcement

The Align project team is hosting a public 30-minute [stakeholder webinar](#) to answer questions regarding registered entity self-built secure evidence lockers on October 29. The webinar will review the expected functionality as stated in the functional requirements posted [here](#) on April 29, 2020. Please email AskAlign@nerc.net with any questions about the project or the upcoming webinar. The project team updates the [Align FAQs page](#) with answers to questions as needed. Register [here](#) or under [Upcoming Events](#).

CORES Survey Notice

The ERO Enterprise will be seeking feedback regarding functionality on the ERO Portal regarding registration activities. The survey invitation will be sent to PCCs and ACCs on October 16 and will include a Survey Monkey link. The survey, which will be open for 30 days, will ask questions regarding user experience with CORES functionality, in particular New Entity Registrations and My Entity updates. There are four parts to the survey, including the ERO Portal, New Registrations, My Entity, and Training. The ERO Enterprise will use the survey results to focus on enhancing future releases with the registered entities' perspective. Survey Monkey estimated that most respondents will be able to complete the survey between 1–7 minutes.

Quick Links

[Risk-Based CMEP](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW NERC News Posted

NERC News, NERC's monthly newsletter, is now available on for [September](#). Highlights in this edition include:

- ERO Executive Spotlight featuring Edward Schwerdt, president and CEO of NPCC
- Newly Effective Standards
- Posted Webinar Resources
- Align Project Update
- Upcoming NERC and Regional Entity Events

NEW Nomination Period Open for Project Management and Oversight Subcommittee

The Project Management and Oversight Subcommittee (PMOS) is soliciting nominations for additional members through **Monday, October 26, 2020**. For details on the time commitment, expectations, or to submit a nomination, please see the [nomination form](#) that is available on the [PMOS main page](#). Nominees are encouraged to observe the next PMOS conference call that is being held via [WebEx](#) on October 28, 2020 from 1:00–3:00 p.m. Eastern.

To be added to the PMOS distribution list click [here](#). Choose the “NERC Email Distribution List” under the Service drop down list and noting “PMOS listserv.”

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
December 1, 2020	BAL-003-2 – Frequency Response and Frequency Bias Setting
January 1, 2021	CIP-008-6 – Cyber Security — Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	PER-006-1 – Specific Training for Personnel
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources

January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On September 28, 2020, NERC submitted to FERC the following two filings:

- **Annual Report of NERC on Wide-Area Analysis of Technical Feasibility Exceptions:** NERC submitted to FERC the [2020 Annual Report of the Wide-Area Analysis of Technical Feasibility Exceptions](#) in compliance with Paragraphs 220 and 221 of FERC’s Order No. 706.
- **Compliance Filing on Five-Year Order:** NERC submitted its second [compliance filing](#) in response to FERC’s five-year performance review Order. This filing includes Rules of Procedure updates relating to the Certification Program; NERC’s Infrastructure Security Program; the Compliance Monitoring and Enforcement Program’s Sanction Guidelines; and the Registration Program. This compliance filing also addresses the directive to provide additional information on the E-ISAC’s use of All Points Bulletins as a way to increase industry awareness of security threats and vulnerabilities. You can find the filing [here](#).

NERC’s 2020 filings to FERC are available [here](#).

NEW FERC Issuances

On October 2, 2020, FERC issued an [order](#) denying a complaint related to Reliability Standard CIP-013-1 that was filed May 12, 2020.

FERC’s 2020 issuances are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Monitoring and Situational Awareness Technical Conference Session 2 – October 15, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Texas RE Fall Standards and Compliance Workshop – October 22, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

Webinars

- **JOINT INDUSTRY EVENT** NATF, Reliability First, and SERC Special Webinar: Identifying and Managing Potential Compromise of Network Interface Cards | 3:00–4:30 Eastern, October 22, 2020 | [Register](#)
- **NEW** Align Webinar: Registered Entity Self-Built Secure Evidence Lockers – 1:00–1:30 p.m. Eastern, October 29, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Talk with Texas RE: Standards Update – 1:30–2:30 p.m. Central, November 19, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00 a.m.–12:30 p.m. Eastern, October 7, 2020 | [Register](#) | **NEW** [Agenda Package](#)
- **NEW** Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, October 21, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–4:30 p.m. Eastern, October 8, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).