

# Standards, Compliance, and Enforcement Bulletin

October 19–25, 2020

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
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Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
<a href="#">SERC Regional Reliability Standards Development Procedure</a>	<a href="#">Comment Period</a>	10/07/20	11/20/20

## OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
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## STANDARDS NEWS

### **NEW Resources Posted**

NERC posted the [streaming webinar](#) and [slide presentation](#) for the BAL-003-2 Requirement Training webinar.

NERC also posted the [streaming webinar](#) and [slide presentation](#) for the PRC-024-3 Requirement Training webinar.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW 2021 ERO Enterprise Data Submittal Schedule and 2021 ERO Compliance Monitoring and Enforcement Program Implementation Plan Posted**

NERC has posted the [2021 ERO Enterprise Data Submittal Schedule](#) and the [2021 ERO Compliance Monitoring and Enforcement Program \(CMEP\) Annual Implementation Plan \(Implementation Plan\)](#) on the NERC website. The Implementation Plan includes the annual identification and prioritization of risk elements. The ERO identifies and prioritizes risks following the Risk Elements Development Process, which is found as Appendix C in the [ERO Enterprise Guide for](#)

### Quick Links

- [Risk-Based CMEP](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

[Compliance Monitoring](#). The risk elements are becoming more risk-based this year as risks selected for increased focus are more specific than previously.

**UPDATED CORES Survey Notice**

The ERO Enterprise will be seeking feedback regarding functionality on the ERO Portal regarding registration activities. The survey invitation was sent to PCCs and ACCs on October 16 and included a [Survey Monkey link](#). The survey, which is open for 30 days, asks questions regarding user experience with CORES functionality, in particular New Entity Registrations and My Entity updates. There are four parts to the survey, including the ERO Portal, New Registrations, My Entity, and Training. The ERO Enterprise will use the survey results to focus on enhancing future releases with the registered entities’ perspective. Survey Monkey estimated that most respondents will be able to complete the survey between 1–7 minutes.

**STANDARDS SUBJECT TO FUTURE ENFORCEMENT**

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

**U.S. Effective Dates for Reliability Standards**

U.S. Effective Date	Standard(s)
December 1, 2020	<a href="#">BAL-003-2 – Frequency Response and Frequency Bias Setting</a>
January 1, 2021	<a href="#">CIP-008-6 – Cyber Security – Incident Reporting and Response Planning</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)</a>
	<a href="#">PRC-012-2 – Remedial Action Schemes</a>
	<a href="#">PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)</a>
April 1, 2021	<a href="#">PER-006-1 – Specific Training for Personnel</a>
	<a href="#">PRC-027-1 – Coordination of Protection Systems for Performance During Faults</a>
July 1, 2021	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)</a>
January 1, 2022	<a href="#">PRC-012-2 – Remedial Action Schemes (Requirement R9)</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
July 1, 2022	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>

October 1, 2022	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
January 1, 2023	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
July 1, 2023	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
January 1, 2024	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### NEW FERC Issuances

On October 16, 2020, FERC issued a [notice of proposed rulemaking](#) proposing to: (1) approve proposed Regional Reliability Standard BAL-002-WECC-3 – Contingency Reserve; and (2) direct NERC and WECC to submit an informational filing following its implementation. You can find all of FERC’s orders for 2020 [here](#).

### NEW NERC Filings

On October 16, 2020, NERC submitted to FERC a [petition](#) for approval of the Amended and Restated Bylaws.

NERC’s 2020 filings to FERC are available [here](#).

### NEW Board of Trustees Committees, Member Representatives Committee, Board of Trustees Quarterly Meetings Move to Teleconference

In response to ongoing COVID-19 developments, many utilities and organizations’ travel restrictions are still in place to protect the health of their workforce. Based on this, NERC’s Board of Trustees and the Member Representatives Committee leadership have decided to convert all NERC 2020 fourth quarter meetings to teleconference/WebEx. **Teleconference/WebEx information will be included in the agenda packages due to be posted on or about October 22.** The revised [schedule](#) is structured as follows:

- Board of Trustees Committees meetings will occur on Wednesday, November 4.
- The Member Representatives Committee and Board of Trustees meetings will occur on Thursday, November 5.

Meeting times take into consideration and accommodate West Coast participants. Registration details are available under [Upcoming Events](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- **REGIONAL ENTITY EVENT** Texas RE Fall Standards and Compliance Workshop – October 22, 2020 | [Register](#)
- Monitoring and Situational Awareness Technical Conference Session 3 – November 10, 2020 | [Register](#)

### Webinars

- **JOINT INDUSTRY EVENT** ReliabilityFirst and MRO Joint Webinar on Align Project Status – 11:00 a.m.–12:00 p.m. Eastern, October 20, 2020 | [Register](#)
- **JOINT INDUSTRY EVENT** NATF, Reliability First, and SERC Special Webinar: Identifying and Managing Potential Compromise of Network Interface Cards | 3:00–4:30 Eastern, October 22, 2020 | [Register](#)
- **NEW** Lesson Learned – Verification of AC Quantities during Protection System Design and Commissioning Webinar – 1:00–2:30 p.m. Eastern, October 27, 2020 | [Register](#)
- Align Webinar: Registered Entity Self-Built Secure Evidence Lockers – 1:00–1:30 p.m. Eastern, October 29, 2020 | [Register](#)
- **NEW** Implementation Guidance Webinar – 1:00–2:00 p.m. Eastern, November 18, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Talk with Texas RE: Standards Update – 1:30–2:30 p.m. Central, November 19, 2020 | [Register](#)
- **JOINT INDUSTRY EVENT** [2020 EPRI-NERC-NATF Power System Modeling Webinar](#) – 2:00–4:00 p.m. Eastern, November 19, 2020 | [Register](#)

### Standing Committee Meetings and Conference Calls

- **NEW** Board of Trustees Committees, Member Representatives Committee, Board of Trustees Quarterly Meetings – November 4–5, 2020 | [Register](#) | [Schedule of Events](#)

### Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- **NEW** Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 3:30–4:45 p.m. Eastern, October 21, 2020 | [Register](#)
- **NEW** Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 1:30–4:30 p.m. Eastern, October 22, 2020 | [Register](#)

- **NEW** Project 2019-06 – Cold Weather Drafting Team Meeting Conference Call – 1:00–5:00 p.m. Eastern, October 27–29, 2020 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).