

Standards, Compliance, and Enforcement Bulletin

November 23–29, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2015-09 – Establish and Communicate System Operating Limits	Additional Ballots and Non-Binding Poll	11/27/20	12/07/20

Join Ballot Pools

	Action	Start Date	End Date
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Posted for Comment

Project	Action	Start Date	End Date
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Project 2015-09 – Establish and Communicate System Operating Limits	Comment Period	10/23/20	12/07/20
Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Standard Authorization Request	Comment Period	11/12/20	12/11/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: The Model Verification of Aggregate DER Models Used in Planning Studies draft reliability guideline provides Transmission Planners (TPs) and Planning Coordinators (PCs) with tools and techniques that can be adapted for their specific systems to verify that the aggregate DER models created are a suitable representation of these resources in planning assessments.</p> <p>The Reliability and Security Technical Committee reviewed the guideline and approved a 45-day posting for industry comment.</p>	Please submit comments via email using the comment form .	11/02/20	12/17/20
<p>Comment Period Open for Proposed Revisions to NERC Rules of Procedure: NERC is proposing changes to its Rules of Procedure (ROP) as part of a modernization initiative to reflect current business practices and is requesting comments on the proposed revisions.</p> <p>For the Compliance Monitoring and Enforcement Program, NERC proposes revisions to Sections 400 and 1500 and Appendices 2 and 4C. For the Personnel Certification and Credential Maintenance Program, NERC proposes revisions to Section 600. For the Training and Education Program, NERC proposes revisions to Section 900. NERC</p>	Please submit comments electronically to ROPcomments@nerc.net .	11/04/20	12/18/20

intends to submit these changes to the NERC Board of Trustees for approval at their February 2021 meeting.			
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STANDARDS NEWS

Nomination Period Open for Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Drafting Team Member

The standards team is seeking nominations for Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 drafting team members through **December 11, 2020**. By submitting a nomination form, you are indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls. Previous drafting team experience is beneficial but not required. See the [project page](#) for additional information. The Standards Committee is expected to appoint members to the drafting team in February 2021. Nominees will be notified shortly after they have been appointed. Please use the [electronic form](#) to submit a nomination. Contact [Wendy Muller](#) regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#).

Quick Links

[Register in the SBS](#)
[Projected Standards Posting Schedule](#)
[Project Tracking Spreadsheet](#)
[2019–2021 Reliability Standards Development Plan](#)
[Quality Review Application](#)
[One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
[CIP Standards Efficiency Review](#)
[Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Align Newsletters Posted

The Align project team has posted the [Regional Entity](#) and [registered entity](#) Align newsletters for November.

NEW Standard Coming Into Effect

On December 1, 2020, [BAL-003-2 – Frequency Response and Frequency Bias Setting](#) becomes effective. BAL-003-2 requires sufficient Frequency Response from the Balancing Authority (BA) to maintain Interconnection Frequency within predefined bounds by arresting frequency deviations and supporting frequency until the frequency is restored to its scheduled value. The standard also provides consistent methods for measuring Frequency Response and determining the Frequency Bias Setting.

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

NEW NERC Adds New Self-Identified Alignment Issue

NERC added a self-identified alignment issue pertaining to CMEP practice guide on considerations on virtualization for ERO Enterprise CMEP staff. The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a [centralized repository](#). The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues—anonously, if desired.

NEW 2021 ERO Enterprise Compliance Monitoring and Enforcement Program Implementation Plan Updated

As announced previously, NERC posted the [2021 ERO Compliance Monitoring and Enforcement Program \(CMEP\) Annual Implementation Plan](#). The Implementation Plan is the annual operating plan used by Compliance Enforcement Authorities (CEAs) to implement the CMEP. The CEAs, which include NERC and the six Regional Entities, carry out CMEP activities in accordance with the NERC Rules of Procedure (ROP) and Appendix 4C. NERC has updated the CMEP IP to include links to Regions' monitoring schedules. For more information or assistance, please contact [Ryan Mauldin](#).

OTHER NEWS

NEW Nomination Period Open for New Reliability Issues Steering Committee Members

As the terms expire for a number of Reliability Issues Steering Committee (RISC) members on January 31, 2021, volunteers are being sought from the Member Representatives Committee (MRC) and industry to serve on the RISC for two-year terms ending January 31, 2023. The RISC is an advisory committee that reports directly to the NERC Board of Trustees (Board) and triages and provides front-end, high-level leadership and accountability for issues of strategic importance to bulk power system (BPS) reliability and security. The RISC assists the Board, NERC technical committees, NERC staff, regulators, Regional Entities, and industry stakeholders in establishing a common understanding of the scope, priority, and goals for the development of solutions to these issues. In doing so, the RISC provides a framework for developing recommendations to help NERC and the industry effectively focus their resources on the critical issues needed to improve the reliability and security of the BPS. The Board approved the [2019 ERO Reliability Risk Priorities Report](#) on November 5, 2019.

As described in the [RISC charter](#), nominees for RISC should meet the following general qualifications:

- Executive-level position within the electric utility industry;
- High-level understanding and strategic perspectives on reliability risks; and
- Commitment to regular participation on the RISC in a collaborative and consensus-building manner.

The RISC holds a combination of in-person meetings and conference calls, at least once per quarter. When determining a final slate of recommended members, the RISC Nominating Committee will evaluate nominees based on experience and qualifications, as well as diversity within the context of the entire membership of the RISC based on the following considerations:

- Geographic and international diversity, such that Eastern, Western, and Texas Interconnections, along with Canada are represented on the RISC;
- Sector, size, and asset (transmission, distribution, load, generation, etc.) diversity; and
- Diversity in professional background and experience.

If you are interested in nominating either yourself or another person, please complete the [nomination form](#) on or before December 16, 2020.

NEW Capacity Supply Adequate for Winter Demand; Extreme Weather, Fuel and Energy Risks in New England and California are Top Reliability Concerns

In its [2020–2021 Winter Reliability Assessment](#), NERC finds sufficient resource capacity is in place across North America to meet winter demand. Noting how extreme weather can challenge grid reliability in specific areas, the assessment closely examines this reliability risk and identifies higher risk areas that are susceptible to emergency operating actions.

During extreme and prolonged winter conditions, vital natural-gas fuel supplies for electricity generation can be at risk in New England, California, and the southwestern United States. High reliance on natural gas-fired generation and limited natural gas infrastructure elevates reliability risk in these areas.

For this assessment, NERC analyzed severe weather scenarios that incorporated generation outages under peak load conditions. NERC noted particular reliability risk in areas within the Midcontinent Independent System Operator, the Canadian Maritimes, Texas, the Rocky Mountain Reserve Group, and the Northwest Power Pool. The full press release is available [here](#).

NEW Transmission Availability Data System Virtual Training Webinars Posted

NERC has posted the presentations and streaming audio from the [October 28, 2020 | TADS 101 Reporting Training](#) and the [November 12, 2020 | TADS 201 Reporting Training](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
December 1, 2020	BAL-003-2 – Frequency Response and Frequency Bias Setting
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
	TOP-001-5 – Transmission Operations
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan

January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
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BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On November 17, 2020, NERC and WECC submitted to FERC a [Joint Answer](#) to the Complaint by Californians for Green Nuclear Power, Inc. under FERC Docket No. EL21-13-000.

On November 17, 2020, NERC submitted an unaudited report of NERC’s budget-to-actual variance information for the third quarter 2020. This [compliance filing](#) is in accordance with FERC’s January 16, 2013 Order, which approved a Settlement Agreement between the FERC Office of Enforcement and NERC, related to findings and recommendations arising out of its 2012 performance audit. FERC Docket No. FA11-21-000.

NERC’s 2020 filings to FERC are available [here](#).

NEW FERC Issuance

On November 20, 2020, FERC issued a [delegated letter order](#) approving NERC’s revisions to the Compliance and Certification Committee Charter.

At its monthly open meeting, FERC did not take action on any items specific to NERC. The item noted on last week’s Sunshine Notice email, Item E-1 — Managing Transmission Line Ratings (Docket No. RM20-16-000), was a [notice of proposed rulemaking](#) (NOPR) regarding a proposal to amend the Open Access Transmission Tariff and the Commission’s regulations to require ambient-adjusted ratings on transmission lines.

FERC’s 2020 issuances are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- Align Webinar: Registered Entity Self-Built Secure Evidence Lockers – 1:00–2:00 p.m. Eastern, November 30, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Standards Committee Meeting – 1:00–3:00 p.m. Eastern, December 9, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 12:00–5:00 p.m. Eastern, December 1–3, 2020 | [Register](#)
- **NEW** Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 12:00–5:00 p.m. Eastern, December 8–9, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like

your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).