

Standards, Compliance, and Enforcement Bulletin

November 30–December 6, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2015-09 – Establish and Communicate System Operating Limits	Additional Ballots and Non-Binding Poll	11/27/20	12/07/20
Join Ballot Pools			
Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date

Project 2015-09 – Establish and Communicate System Operating Limits	Comment Period	10/23/20	12/07/20
Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Standard Authorization Request	Comment Period	11/12/20	12/11/20

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: The Model Verification of Aggregate DER Models Used in Planning Studies draft reliability guideline provides Transmission Planners (TPs) and Planning Coordinators (PCs) with tools and techniques that can be adapted for their specific systems to verify that the aggregate DER models created are a suitable representation of these resources in planning assessments.</p> <p>The Reliability and Security Technical Committee reviewed the guideline and approved a 45-day posting for industry comment.</p>	Please submit comments via email using the comment form .	11/02/20	12/17/20
<p>Comment Period Open for Proposed Revisions to NERC Rules of Procedure: NERC is proposing changes to its Rules of Procedure (ROP) as part of a modernization initiative to reflect current business practices and is requesting comments on the proposed revisions.</p> <p>For the Compliance Monitoring and Enforcement Program, NERC proposes revisions to Sections 400 and 1500 and Appendices 2 and 4C. For the Personnel Certification and Credential Maintenance Program, NERC proposes revisions to Section 600. For the Training and Education Program, NERC proposes revisions to Section 900. NERC</p>	Please submit comments electronically to ROPcomments@nerc.net .	11/04/20	12/18/20

intends to submit these changes to the NERC Board of Trustees for approval at their February 2021 meeting.			
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STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

Standard Effective This Week

On December 1, 2020, [BAL-003-2 – Frequency Response and Frequency Bias Setting](#) becomes effective. BAL-003-2 requires sufficient Frequency Response from the Balancing Authority (BA) to maintain Interconnection Frequency within predefined bounds by arresting frequency deviations and supporting frequency until the frequency is restored to its scheduled value. The standard also provides consistent methods for measuring Frequency Response and determining the Frequency Bias Setting.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions Align Project \(formerly CMEP Technology Project\)](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
December 1, 2020 EFFECTIVE THIS WEEK	BAL-003-2 – Frequency Response and Frequency Bias Setting
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
	TOP-001-5 – Transmission Operations
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan

January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
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BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On November 23, 2020, NERC and the Regional Entities submitted [joint comments](#) to FERC in response to FERC’s Notice of Inquiry regarding equipment and services produced or provided by certain entities identified as risks to national security.

On November 24, 2020, NERC filed the [3rd Quarter 2020 Application for Approval of Reliability Standards](#) with the Nova Scotia Utility and Review Board. NERC’s Canadian Filings (Nova Scotia) are available [here](#).

NERC’s 2020 filings to FERC are available [here](#).

NEW FERC Issuance

On November 23, 2020, FERC issued a [letter order](#) approving revisions to the Northeast Power Coordinating Council Regional Standard Processes Manual.

FERC’s 2020 issuances are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- Align Webinar: Registered Entity Self-Built Secure Evidence Lockers – 1:00–2:00 p.m. Eastern, November 30, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Technical Talk with RF – 2:00–3:30 p.m. Eastern, December 14, 2020 | [Register](#)

- **REGIONAL ENTITY EVENT** Talk with Texas RE: Upcoming O&P Standards – 1:30–2:30 p.m. Central, December 17, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Standards Committee Meeting – 1:00–3:00 p.m. Eastern, December 9, 2020 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 12:00–5:00 p.m. Eastern, December 8–9, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).