

Standards, Compliance, and Enforcement Bulletin

December 7–13, 2020

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2015-09 – Establish and Communicate System Operating Limits	Additional Ballots and Non-Binding Poll	11/27/20	12/07/20
NEW Project 2018-03 – Standards Efficiency Review Retirements FAC-008-5	Initial Ballot and Non-Binding Poll	01/04/21	01/13/21
Join Ballot Pools			
Project	Action	Start Date	End Date
NEW Project 2018-03 – Standards Efficiency Review Retirements FAC-008-5	Join Ballot Pools	11/30/20	12/29/20

Posted for Comment			
Project	Action	Start Date	End Date
Project 2015-09 – Establish and Communicate System Operating Limits	Comment Period	10/23/20	12/07/20
Project 2020-05 – Modifications to FAC-001-3 and FAC-002-2 Standard Authorization Request	Comment Period	11/12/20	12/11/20
NEW Project 2018-03 – Standards Efficiency Review Retirements FAC-008-5	Comment Period	11/30/20	01/13/21

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for Draft Reliability Guideline: The Model Verification of Aggregate DER Models Used in Planning Studies draft reliability guideline provides Transmission Planners (TPs) and Planning Coordinators (PCs) with tools and techniques that can be adapted for their specific systems to verify that the aggregate DER models created are a suitable representation of these resources in planning assessments.</p> <p>The Reliability and Security Technical Committee reviewed the guideline and approved a 45-day posting for industry comment.</p>	Please submit comments via email using the comment form .	11/02/20	12/17/20
<p>Comment Period Open for Proposed Revisions to NERC Rules of Procedure: NERC is proposing changes to its Rules of Procedure (ROP) as part of a modernization initiative to reflect current business practices and is requesting comments on the proposed revisions.</p>	Please submit comments electronically to ROPcomments@nerc.net .	11/04/20	12/18/20

<p>For the Compliance Monitoring and Enforcement Program, NERC proposes revisions to Sections 400 and 1500 and Appendices 2 and 4C. For the Personnel Certification and Credential Maintenance Program, NERC proposes revisions to Section 600. For the Training and Education Program, NERC proposes revisions to Section 900. NERC intends to submit these changes to the NERC Board of Trustees for approval at their February 2021 meeting.</p>			
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STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links
Register in the SBS
Projected Standards Posting Schedule
Project Tracking Spreadsheet
2019–2021 Reliability Standards Development Plan
Quality Review Application
One-Stop Shop (Status, Purpose, Implementation Plans, FERC Orders, RSAWs)
CIP Standards Efficiency Review
Submit Questions or Feedback

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Reporting Mechanisms for CIP-008-6

Effective January 1, 2021, applicable NERC registered entities must comply with the expanded incident reporting requirements in revised Reliability Standard [CIP-008-6](#). Reports must be submitted to the E-ISAC and, for those entities subject to the jurisdiction of the United States, the U.S. Department of Homeland Security Cybersecurity, and Infrastructure Agency (DHS CISA). Staff should work with their Compliance departments on their entity's specific requirements and obligations.

To report to the E-ISAC you may use, but are not limited to, the following reporting mechanisms:

- [EOP-004](#)
- E-ISAC Portal Bulletin
- [Email](#) E-ISAC Watch Operations
- Call E-ISAC Watch Operations at 202-790-6000
- Copy of an [OE-417](#)

To report to DHS CISA:

- [CISA Incident Reporting](#): A copy of this report may also be sent to the E-ISAC to reduce duplication of efforts.
- Call 888-282-0870
- Email soc@us-cert.gov or cisaservicedesk@cisa.dhs.gov

CISA will put the process in writing; however, the submission must be completed by one of the ways listed above. Having a CISA employee check the E-ISAC portal will not suffice as a submission to CISA.

If you have specific questions about the revised CIP-008-6 Reliability Standard applicability or guidance, please contact NERC's Compliance Assurance department or your respective Regional Entity Compliance or Enforcement staff. If you have any questions about submitting a CIP-008-6 report to the E-ISAC, please contact [E-ISAC Watch Operations](#) or call 202-790-6000.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

NEW Program Alignment Update

NERC received a new alignment issue pertaining to the assessment criteria for EOP-005-3. The ERO Enterprise Program Alignment Process is intended to enhance efforts to identify, prioritize, and resolve alignment issues across the ERO Enterprise. Using this process, NERC captures identified issues from the various resources in a centralized repository. The Consistency Reporting Tool uses a third-party application, EthicsPoint, which allows stakeholders to submit consistency issues—anonously, if desired.

OTHER NEWS

NEW NERC News Posted

NERC News, NERC's monthly newsletter, is now available for [November](#). Highlights in this edition include:

- ERO Executive Spotlight Features Sara Patrick, President and CEO, MRO
- E-ISAC Expands Key Cybersecurity Program
- Robb Participates in New England-Canada Business Council Conference
- Cancel Addresses Senior Canadian Electricity Executives
- Align Project Update
- Upcoming Events

NEW Monitoring and Situational Awareness Technical Conference Resources Posted

The presentations and streaming webinars from Sessions 1, 2, and 3 of the 2020 Monitoring and Situational Awareness Technical Conference are now available on the NERC website.

Click here for Session 1: [Presentation](#) | [Streaming Webinar](#)

Click here for Session 2: [Presentation](#) | [Streaming Webinar](#)

Click here for Session 3: [Presentation](#) | [Streaming Webinar](#)

Click here for: [Presentations from previous conferences](#)

NEW E-ISAC Expands Key Cybersecurity Program

NERC's Electricity Information Sharing and Analysis Center (E-ISAC) recently partnered with the Department of Energy (DOE) on an expansion of the Cybersecurity Risk Information Sharing Program (CRISP) to include operational technology. The CRISP expansion, to include two operational technology pilots, marks a major milestone to improve E-ISAC capabilities that strengthen grid security in North America. The purpose of the new pilots is to identify potential cyber threats to utilities' industrial control systems by capturing raw and/or refined operational technology data and comparing it to CRISP information technology data. The full announcement is available [here](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for more detail on the standards listed below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
January 1, 2021	CIP-008-6 – Cyber Security – Incident Reporting and Response Planning
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (50% compliance for Requirements R2–R4, R6–R11)
	PRC-012-2 – Remedial Action Schemes
	PRC-025-2 – Generator Relay Loadability (phased-in implementation of Attachment 1: Relay Settings, Table 1 Options 5b, 14b, 15b, and 16b)
April 1, 2021	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
TOP-001-5 – Transmission Operations	
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On December 1, 2020, NERC submitted to FERC its [2020 Frequency Response Annual Analysis report](#) for the administration and support of Reliability Standard BAL-003 – Frequency Response and Frequency Bias Setting.

NERC's 2020 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **REGIONAL ENTITY EVENT** Technical Talk with RF – 2:00–3:30 p.m. Eastern, December 14, 2020 | [Register](#)
- **REGIONAL ENTITY EVENT** Talk with Texas RE: Upcoming O&P Standards – 1:30–2:30 p.m. Central, December 17, 2020 | [Register](#)

Standing Committee Meetings and Conference Calls

- Standards Committee Meeting – 1:00–3:00 p.m. Eastern, December 9, 2020 | [Register](#) | **NEW** [Agenda](#)
- **NEW** Reliability Issues Steering Committee Meeting – 4:00–5:00 p.m. Eastern, December 9, 2020 | [Register](#) | [Agenda Package](#)
- **NEW** Board of Trustees Meeting – 2:00–3:00 p.m. Eastern, December 10, 2020 | [Register](#) | [Agenda Package](#)
- **NEW** Reliability and Security Technical Committee Meeting – 1:00–4:00 p.m. Eastern, December 15–16, 2020 | [Register](#) | [Agenda Day 1](#) | [Agenda Day 2](#)

Standard Drafting Team Meetings and Conference Calls

The [Standards calendar](#) provides dial-in information for the in-person meetings below. The calendar also provides information about conference calls that drafting teams may hold in addition to in-person meetings.

- Project 2016-02 – Modifications to CIP Standards Drafting Team Conference Call – 12:00–5:00 p.m. Eastern, December 8–9, 2020 | [Register](#)
- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Standard Drafting Team Conference Call – 10:00 a.m.–12:00 p.m. Eastern, December 10, 2020 | [Register](#)
- **NEW** Project 2015-09 – Establish and Communicate System Operating Limits Standard Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, December 14, 2020 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).