

Standards, Compliance, and Enforcement Bulletin

March 1–7, 2021

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2019-06 – Cold Weather EOP-011-2, IRO-010-4, TOP-003-5	Initial Ballots and Non-Binding Polls	03/03/21	03/12/21
Project 2016-02 – Modifications to CIP Standards Virtualization	Initial Ballots and Non-Binding Polls	03/12/21	03/22/21
Project 2015-09 – Establish and Communicate System Operating Limits FAC-014-3	Additional Ballot and Non-Binding Polls	03/26/21	04/05/21

Join Ballot Pools

Project	Action	Start Date	End Date
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Posted for Comment			
Project	Action	Start Date	End Date
Project 2019-06 – Cold Weather EOP-011-2, IRO-010-4, TOP-003-5	Comment Period	01/27/21	03/12/21
Project 2016-02 – Modifications to CIP Standards Virtualization	Comment Period	01/22/21	03/22/21
Project 2015-09 – Establish and Communicate System Operating Limits FAC-014-3	Comment Period	02/19/21	04/05/21

OTHER ACTIVE COMMENT PERIODS

Posted for Comment			
Posting	Action	Start Date	End Date
Comment Period Open for Draft Reliability Guideline: Operating Reserve Management - Version 3: This reliability guideline is intended to provide recommended practices for the management of an appropriate mix of Operating Reserve as well as readiness to respond to loss of load events. It also provides guidance with respect to the management of Operating Reserve required to meet the NERC Reliability Standards. The guideline applies primarily to Balancing Authorities (BAs) or, as appropriate, contingency reserve sharing groups (RSGs), regulation RSGs, or frequency response sharing groups. For ease of reference, this guideline uses the common term “responsible entity” for these entities, and allows the readers to make the appropriate substitution applying to them when participating or not in various groups.	Please submit comments via email using the comment form .	02/01/21	03/18/21
Comment Period Open for Draft Balancing and Frequency Control Reference Document: The NERC Resources Subcommittee (RS) drafted this reference document to cover balancing and frequency control concepts, issues, and recommendations. The document is intended to explain the concepts and issues of balancing and frequency control. The goal is to provide an understanding of the	Please submit comments via email by using the comment form .	02/04/21	03/21/21

<p>fundamentals. Nothing in this document is intended to be used for compliance purposes or to establish obligations.</p>			
<p>Comment Period Open for Proposed Revisions to Section 1003 of the NERC Rules of Procedure: NERC is proposing revisions to section 1003 of its Rules of Procedure (ROP) in accordance with the directive in the FERC Order on Compliance Filings related to NERC’s Five Year Performance Assessment. Section 1003 of the ROP describes NERC’s infrastructure security program, including, among other things, its operation of the Electricity Information Sharing and Analysis Center (E-ISAC). Consistent with FERC’s directive, the proposed revision modifies section 1003 to require NERC to share with FERC any All-Points Bulletins. The Clean Text of Proposed Revisions to Rules of Procedure Section 1003 and Redlined Text of Proposed Revisions to Rules of Procedure Section 1003 are available for review. NERC intends to submit these changes to the NERC Board of Trustees for approval at their May 2021 meeting.</p>	<p>Please submit comments electronically to ROPcomments@nerc.net.</p>	<p>02/05/21</p>	<p>03/22/21</p>
<p>Comment Period Open for Draft Reliability Guideline: Area Control Error Diversity Interchange Process – Version 3: The objective of this reliability guideline is to distribute key best practices and information on specific issues critical to maintaining the highest levels of Bulk Electric System (BES) reliability. Reliability guidelines are not to be used to provide binding norms or create parameters by which compliance to standards is monitored or enforced. While the incorporation and use of guideline practices is strictly voluntary, the review, revision, and development of a program using these practices is highly encouraged to promote and achieve the highest levels of reliability for the BES. The NERC Reliability and Security Technical Committee (RSTC) reviewed the initial draft of the Reference Document and approved it to be posted for industry comment.</p>	<p>Please submit comments via email using the comment form.</p>	<p>02/02/21</p>	<p>03/26/21</p>

<p>Comment Period Open for WECC Reliability Standards Development Procedure: The proposed Western Electricity Coordinating Council Reliability Standards Development Procedure (WECC RSDP) is available for comment. Regional Reliability Standards development procedures, such as the WECC RSDP, define a Regional Entity’s process for development, revision, reaffirmation, and withdrawal of a Regional Reliability Standard.</p>	<p>Submit comments using the Standards Balloting and Commenting System (SBS). An unofficial Word version of the comment form is posted on the Regional Reliability Standards Under Development page.</p>	<p>04/02/21</p>
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STANDARDS NEWS

NEW Webinar Resources Posted

NERC posted the [streaming webinar](#) and [slide presentation](#) from the February 23 Project 2016-02 – Modifications to CIP Standards Outreach webinar.

NERC also posted the [streaming webinar](#) and [slide presentation](#) from the February 22 Update on CIP Standards Projects webinar.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Registered Entity Maintained Secure Evidence Locker Functional Document Updated

NERC posted a revised [Registered Entity Maintained Secure Evidence Lockers functional specification document](#). The document contains updates to the key functional and business requirements for registered entity maintained secure evidence lockers the ERO Enterprise functionality checklist and process, and the minimum software versions required.

Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)
[CIP V5 Transition Program](#)
[ERO Enterprise Program Alignment Process](#)
[Consistency Reporting Tool \(EthicsPoint\)](#)
[Risk-Based Registration Initiative](#)
[Reliability Standard Audit Worksheets](#)
[Enforcement & Mitigation: Enforcement Actions](#)
[Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Save the Dates for GridSecCon 2021

NERC and Texas RE are co-hosting the 10th grid security conference, GridSecCon, on October 19–20, with training opportunities available on October 18. GridSecCon brings together cyber and physical security leaders from industry and government to deliver expert training sessions, share best practices, present lessons learned, and share effective threat mitigation programs.

The event will be held virtually due to the ongoing pandemic. More details will be made available on the [E-ISAC website](#), [NERC website](#), and [Texas RE website](#). We look forward to seeing you there virtually. For more information or assistance, please contact events@eisac.com.

NEW Lessons Learned Posted

Two new lessons learned have been posted on the NERC website on the [Lessons Learned](#) page:

- [Transient Induced Misoperation: Approach I \(Control Circuit Transient Misoperation of Microprocessor Relay\)](#)
- [Transient Induced Misoperation: Approach II \(Loss of Protection during Severe Lightning Event\)](#)

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
April 1, 2021	FAC-002-3 – Facility Interconnection Studies
	INT-006-5 – Evaluation of Interchange Transactions
	INT-009-3 – Implementation of Interchange
	IRO-002-7 – Reliability Coordination – Monitoring and Analysis
	IRO-010-3 – Reliability Coordinator Data Specification and Collection
	MOD-031-3 – Demand and Energy Data
	MOD-033-2 – Steady-State and Dynamic System Model Validation
	NUC-001-4 – Nuclear Plant Interface Coordination
	PER-006-1 – Specific Training for Personnel
	PRC-004-6 – Protection System Misoperation Identification and Correction
July 1, 2021	PRC-006-4 – Automatic Underfrequency Load Shedding
	PRC-027-1 – Coordination of Protection Systems for Performance During Faults
January 1, 2022	TOP-001-5 – Transmission Operations
	TOP-003-4 – Operational Reliability Data
July 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
	PRC-012-2 – Remedial Action Schemes (Requirement R9)
October 1, 2022	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
	CIP-012-1 – Cyber Security – Communications between Control Centers
January 1, 2023	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
July 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan

January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)
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BOARD OF TRUSTEES AND FERC ACTION

NEW NERC Filings

On February 26, 2021, NERC submitted to FERC a [petition](#) for approval of the revised SERC Regional Reliability Standards Development Procedure (RSDP). NERC also submitted a [petition](#) for approval of Texas RE Bylaws revisions.

NERC's 2021 filings to FERC are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **UPDATED** Project 2016-02 – Modification to CIP Standards Industry Webinar Part 2 – 2:00–4:00 p.m. Eastern, March 3, 2021 | [Register](#)

Standing Committee Meetings and Conference Calls

- Reliability and Security Technical Committee Meeting – March 2–3, 2021 | Day 1 [Registration](#) and [Agenda](#) | Day 2 [Registration](#) and [Agenda](#)
- Standards Committee Meeting – 1:00–3:00 p.m. Eastern, March 17, 2021 | [Register](#)

Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, March 12, 2021 | [Register](#)

- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, March 15, 2021 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, March 19, 2021 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, March 23, 2021 | [Register](#)
- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, March 24, 2021 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](#) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).