

# Standards, Compliance, and Enforcement Bulletin

May 3–9, 2021

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## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Additional Ballots and Non-Binding Polls</a>	04/30/21	05/10/21
<b>NEW</b> <a href="#">Project 2015-09 – Establish and Communicate System Operating Limits</a>   Definitions	<a href="#">Final Ballot</a>	04/29/21	05/10/21
<a href="#">Project 2020-04 – Modifications to CIP-012</a>	<a href="#">Initial Ballots and Non-Binding Polls</a>	05/31/21	06/09/21
Join Ballot Pools			
Project	Action	Start Date	End Date
<a href="#">Project 2020-04 – Modifications to CIP-012</a>	<a href="#">Join Ballot Pools</a>	04/26/21	05/25/21

Posted for Comment			
Project	Action	Start Date	End Date
<a href="#">2020 Periodic Review Standing Review Team</a>   <a href="#">Standards Grading</a>	<a href="#">Comment Period</a>	03/22/21	05/05/21
<a href="#">Project 2019-02 – BES Cyber System Information Access Management</a>	<a href="#">Comment Period</a>	03/25/21	05/10/21
<a href="#">Project 2021-02 – Modifications to VAR-002</a>   Standard Authorization Request	<a href="#">Comment Period</a>	04/14/21	05/13/21
<a href="#">Project 2020-04 – Modifications to CIP-012</a>	<a href="#">Comment Period</a>	04/26/21	06/09/21

**OTHER ACTIVE COMMENT PERIODS**

Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for Midwest Reliability Organization Regional Reliability Standards Process Manual:</b> The proposed Midwest Reliability Organization Regional Reliability Standards Process Manual (MRO RRSPM) is posted for a 45-day comment period. Regional Reliability Standards development procedures, such as the MRO RRSPM, define a Regional Entity’s process for development, revision, reaffirmation, and withdrawal of a Regional Reliability Standard.</p>	<p>Use the <a href="#">Standards Balloting and Commenting System (SBS)</a> to submit comments. An unofficial Word version of the comment form is posted on the <a href="#">Regional Reliability Standards Under Development</a> page.</p>	04/21/21	06/04/21

## STANDARDS NEWS

### **NEW Resources Posted**

NERC posted the [streaming webinar](#) and [slide presentation](#) from the April 27, 2021 Project 2019-02 – BES Cyber System Information Access Management industry webinar.

### **NEW Supplemental Nomination Period Open for Project 2020-02 SAR Drafting Team Members**

NERC is seeking additional nominations for Project 2020-02 – Transmission-connected Dynamic Reactive Resources Standard Authorization Request (SAR) drafting team members through **8 p.m. Eastern, Monday, May 17, 2021**. The potential risk of increasing amounts of reactive power being supplied by nonsynchronous sources was identified in *NERC's 2017 Long-term Reliability Assessment*. In response to the concern, the Planning Committee (PC) assigned the System Analysis and Modeling Subcommittee (SAMS) to study the issue. The SAMS developed the *Applicability of Transmission-Connected Reactive Devices* white paper, which was approved by the PC at its December 2019 meeting. The PC Executive Committee reviewed the draft SAR from SAMS at its January meeting and subsequently approved the SAR by email vote ending on February 11, 2020. The SAR was posted for industry comment March 30–May 13, 2020, and drafting team member nominations were solicited. However, the project was temporarily paused before a SAR drafting team was appointed.

Use the [electronic form](#) to submit a nomination and contact [Wendy Muller](#) regarding issues with the system. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#). By submitting a nomination form, you are indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls. The time commitment for this project is expected to be one face-to-face meetings per quarter (on average two full working days each meeting) with conference calls scheduled as needed to meet the agreed upon timeline the team sets forth. Face-to-face meetings will be conducted only when CDC health guidelines permit. Team members may also have side projects, either individually or by sub-group, to present for discussion and review. Lastly, an important component of the team effort is outreach. Members of the team will be expected to conduct industry outreach during the development process to support a successful ballot. Previous drafting team experience is beneficial but not required. The Standards Committee is expected to appoint members to the drafting team in June or July 2021. Nominees will be notified shortly after they have been appointed.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

### **NEW Supplemental Nomination Period Open for Project 2020-01 SAR Drafting Team Members**

NERC is seeking additional nominations for SAR drafting team members—**specifically, employees with MOD-025/PRC-019 experience from Transmission Planner registered entities**—through **8 p.m. Eastern, Thursday, May 20, 2021**. Use the [electronic form](#) to submit a nomination. Contact [Linda Jenkins](#) regarding issues using the electronic form. An unofficial Word version of the nomination form is posted on the [Standard Drafting Team Vacancies](#) page and the [project page](#). By submitting a nomination form, you are indicating your willingness and agreement to actively participate in face-to-face meetings and conference calls. The time commitment for this project can be up to two face-to-face meetings per quarter (on average two full working days each meeting) with conference calls scheduled as needed to meet the agreed upon timeline the team sets forth. Team members may also have side projects, either individually or by sub-group, to present for discussion and review. Lastly, an important component of the team effort is outreach. Members of the team will be expected to conduct industry outreach during the development process to support a successful ballot. Previous drafting team experience is beneficial but not required. The Standards Committee is expected to appoint members to the SAR drafting team in June 2021. Nominees will be notified shortly after they have been appointed.

### **NEW Nomination Period Open for Standards Committee Special Election for Segment 5**

Section 5 – Electric Generators of the Standards Committee currently has a vacancy for the remainder of the 2020–2021 term. The Standards Committee is accepting nominations through May 24, 2021 in preparation for a special election for Segment 5. Registered Ballot Body (RBB) members and others interested in NERC Reliability Standards are encouraged to submit nominations for industry segment representatives on the SC. Reliability Standards are mandatory for all bulk power system owners, operators, and users in North America, and the SC’s oversight role is therefore increasingly important. The SC consists of two members from each of the ten Industry Segments that make up the RBB. In addition, Appendix 3B of the Rules of Procedure contains special provisions to be used to achieve a balance of representation between the United States and Canada. The SC is elected by industry stakeholders and reports directly to the NERC Board of Trustees. The SC meets up to twelve times each year with meetings usually being held virtually. The [Standards Committee Charter](#) provides a description of the SC’s responsibilities.

Anyone may submit a nomination. To be eligible for nomination, a nominee shall be an employee or agent of an entity belonging in the applicable Segment. **To allow verification of affiliation, a nominee must be a registered user in the NERC RBB.** It is not required that the nominee be the same person as the entity’s RBB representative for the applicable Segment. Instructions are provided in the [Election of Members of the NERC Standards Committee Procedure](#) and nomination forms are posted on the [Standards Committee Election web page](#). To submit a nomination, complete the attached nomination form and submit to [Katrina Blackley](#) no later than **May 24, 2021**. Once received, nominations will be posted on the SC’s Nominations and Election web page. The special election will be conducted **June 3–10, 2021**. Election results will be announced shortly after the election closes.

**NEW Nomination Results and Opening of Standards Committee Special Election for Segment 4**

The Standards Committee (SC) nomination period for the special election for Segment 4 to fill the remainder of the 2021–2022 term closed April 20, 2021. The following individuals were nominated to represent Segment 4:

- Alice Wright
- Karie L. Barczak

An election began on Thursday, April 29, 2021 for Segment 4, and will remain open for ten calendar days closing on Monday, May 10, 2021 at 8:00 p.m. Eastern. Each individual or entity that is a **member of Segment 4** may vote. Detailed information on each candidate is posted on the [Standards Committee's Nominations and Elections web page](#). Click [here](#) to vote if you represent Segment 4. Proxies are allowed; proxy designations should be emailed to [Katrina Blackley](#) prior to voting.

In accordance with the Procedure for Election of Members of the SC contained in Appendix 3B of the NERC Rules of Procedure, to be elected a nominee must meet both of the following criteria:

- Receive the highest total number of votes in that Segment; and
- Receive a simple majority of the votes cast in that Segment.

If you have any questions, please contact [Alison Oswald](#).

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### NEW Compliance Guidance Update

NERC updated the [ERO Enterprise Non-Endorsed Implementation Guidance tracking spreadsheet](#) has been updated to include the following:

- CIP-005-6 R2.4\_R2.5 Vendor Support via Web Conferencing (NATF)

### Quick Links

[Compliance Monitoring & Enforcement Program One-Stop Shop](#)  
[CIP V5 Transition Program](#)  
[ERO Enterprise Program Alignment Process](#)  
[Consistency Reporting Tool \(EthicsPoint\)](#)  
[Risk-Based Registration Initiative](#)  
[Reliability Standard Audit Worksheets](#)  
[Enforcement & Mitigation: Enforcement Actions](#)  
[Align Project \(formerly CMEP Technology Project\)](#)

## STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

### U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
July 1, 2021	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)</a>
January 1, 2022	<a href="#">PRC-012-2 – Remedial Action Schemes (Requirement R9)</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
July 1, 2022	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
October 1, 2022	<a href="#">CIP-005-7 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-2 – Cyber Security - Supply Chain Risk Management</a>
	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
January 1, 2023	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
July 1, 2023	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>

January 1, 2024	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>
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**BOARD OF TRUSTEES AND FERC ACTION**

**NEW NERC Filings**

On April 29, 2021, NERC submitted to FERC a [compliance filing](#) on the Revised Delegation Agreements with Regional Entities.

On April 30, 2021, NERC submitted to FERC an [Informational Filing](#) regarding the Geomagnetic Disturbance Research Work Plan Final Report. The filing includes the final report.

NERC’s 2021 filings to FERC are available [here](#).

**NEW Board of Trustees Committees, Member Representatives Committee, Board of Trustees Quarterly Meeting Agenda Packages and Policy Input Letter Posted**

Resources for Board of Trustees and Board Committee Meetings	
May 12, 2021	May 13, 2021
<b>Corporate Governance and Human Resources Committee</b> <a href="#">Agenda Package</a> 11:00 a.m. Eastern	<b>Member Representatives Committee</b> <a href="#">Agenda Package</a> 11:00 a.m. Eastern
<b>Technology and Security Committee</b> <a href="#">Agenda Package</a> 12:30 p.m. Eastern	<b>Board of Trustees</b> <a href="#">Agenda Package</a> 2:00 p.m. Eastern
<b>Finance and Audit Committee</b> Agenda Package <b>[to be posted by May 6, 2021]</b> 2:30 p.m. Eastern	<a href="#">Policy Input</a>

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### Workshops and Conferences

- Human Performance in Electric Power Virtual Session – 1:00–5:00 p.m. Eastern, May 6, 2021 | [Register](#) | [Agenda](#)

### Webinars

There are no webinars currently scheduled.

### Standing Committee Meetings and Conference Calls

- Board of Trustees, Board Committees, and Member Representatives Committee Meetings – May 12–13, 2021 | [Register](#) | [Schedule of Events](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, May 19, 2021 | [Register](#)

### Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Conference Call – 1:00–4:00 p.m. Eastern, May 3, 2021 | [Register](#)
- Project 2019-04 – Modifications to PRC-005-6 Drafting Team Conference Call – 11:30 a.m.–1:30 p.m. Eastern, May 4, 2021 | [Register](#)
- **NEW** Project 2020-06 – Verifications of Models and Data for Generators SAR Drafting Team Conference Call – 12:00–2:00 p.m. Eastern, May 5, 2021 | [Register](#)
- **NEW** Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Conference Call – 1:00–4:00 p.m. Eastern, May 11, 2021 | [Register](#)

### Regional Entity and Industry Events

- Texas RE CIP Workshop – 10:00 a.m.–3:00 p.m. Central, June 3, 2021 | [Register](#)



## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).