

Standards, Compliance, and Enforcement Bulletin

June 1–6, 2021

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2020-04 – Modifications to CIP-012	Initial Ballots and Non-Binding Polls	05/31/21	06/09/21

Join Ballot Pools

Project	Action	Start Date	End Date

Posted for Comment

Project	Action	Start Date	End Date
Project 2020-04 – Modifications to CIP-012	Comment Period	04/26/21	06/09/21

OTHER ACTIVE COMMENT PERIODS

Posted for Comment

Posting	Action	Start Date	End Date
<p>Comment Period Open for Midwest Reliability Organization Regional Reliability Standards Process Manual: The proposed Midwest Reliability Organization Regional Reliability Standards Process Manual (MRO RRSPM) is posted for a 45-day comment period. Regional Reliability Standards development procedures, such as the MRO RRSPM, define a Regional Entity’s process for development, revision, reaffirmation, and withdrawal of a Regional Reliability Standard.</p>	<p>Use the Standards Balloting and Commenting System (SBS) to submit comments. An unofficial Word version of the comment form is posted on the Regional Reliability Standards Under Development page.</p>	04/21/21	06/04/21
<p>NEW Comment Period Open for Drafts of NERC and Regional Entities’ 2022 Business Plans and Budgets: The first draft of the NERC 2022 Business Plan and Budget and the current drafts of the Regional Entities’ 2022 business plans and budgets are now available on NERC’s website for industry comments. NERC has posted the following documents for review and/or comment:</p> <ul style="list-style-type: none"> • Cover Letter from Andy Sharp, Vice President and Chief Financial Officer • NERC 2022 Business Plan and Budget Overview • ERO Enterprise Combined 2022 Budgets and Assessments Overview • First Draft of the NERC 2022 Business Plan and Budget 	<p>Please submit all questions and comments to Erika Chanzas.</p>	05/24/21	06/18/21
<p>Comment Period Open for Proposed Revisions to the NERC Rules of Procedure: NERC is proposing a series of revisions to Section 300 (Reliability Standards Development), Appendix 3B (Procedures for Election of Members of the Standards Committee), and Appendix 3D (Development of the Registered Ballot Body) of its Rules of Procedure. The changes consist of updating language, staff titles, and processes,</p>	<p>Please submit comments electronically to ROPcomments@nerc.net.</p>	05/14/21	06/28/21

<p>removing unnecessary or duplicative obligations, and clarifying roles and responsibilities.</p> <p>Redlined versions of NERC Rules of Procedure, title(s) of proposed revised ROP section(s) and/or appendices showing the proposed revisions are now available at: NERC Rules of Procedure. In addition, the posting includes a separate document that provides a detailed summary of the proposed revisions at: Summary. NERC intends to submit these changes to the NERC Board of Trustees for consideration at its August 2021 meeting.</p>			
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STANDARDS NEWS

NEW 2021 Registered Ballot Body Self-Select Attestation Process Coming Soon

[Appendix 3D Registered Ballot Body Criteria](#) of the NERC Rules of Procedure states: “Each participant, when initially registering to join the Registered Ballot Body (RBB), and annually thereafter, shall self-select to belong to one of the Segments...” Therefore, NERC Standards staff will be initiating the **2021 Annual RBB Self-Select Process** in the coming weeks.

- **June 2021** – Each RBB voting member will receive a notification with a link to the Standards Balloting and Commenting System (SBS) electronic attestation page to confirm that there have been no material changes in the last 12 months that affect the entity’s current Segment selection(s), thus the entity continues to meet the Segment qualifications (as outlined in the qualifications in Appendix 3D: RBB Criteria referenced above).
- **August 2021** – Deadline for all RBB members to self-select their segments via the SBS. **Note:** Proxy Voters **are not** required to submit an attestation.

Notifications regarding the process will be sent via SBS/RBB email, weekly Standards, Compliance, and Enforcement Bulletins, and other NERC email alerts. Specific dates to be determined. For more information or assistance, please contact [Wendy Muller](#).

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

NEW Nomination Results and Election Details for Standards Committee Segment 5 Special Election

The Standards Committee (SC) nomination period for the special election for Segment 5 to fill the remainder of the 2020–2021 term closed on May 24, 2021. The following individuals were nominated to represent Segment 5:

- Karie L. Barczak
- Scott Winner
- Srinivas Kappagantula
- Terri Pyle
- Esteban (Steve) Arreguin

An election will begin on Tuesday, June 1, 2021 for Segment 5, and will remain open for ten calendar days, closing on Thursday, June 10, 2021 at 8:00 p.m. Eastern. Each individual or entity that is a **member of Segment 5** may vote. Detailed information on each candidate is posted on the [Standards Committee's Nominations and Elections web page](#). Click [here](#) to vote if you represent Segment 5. Proxies are allowed; proxy designations should be emailed to [Katrina Blackley](#) prior to voting. If you have any questions, please contact [Alison Oswald](#).

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW NERC Releases Second Episode of Quarterly Compliance Podcast

NERC is pleased to announce the release of the second installment of its quarterly compliance podcast, “Currently Compliant.” Hosted by ERO Enterprise subject matter experts (SMEs), “Currently Compliant” is intended to be a quick way to bring attention to frequently asked questions on which the SMEs have some clear insights to share.

[Currently Compliant: Episode 2](#) covers PRC-027-1 R2 and the related evidence and timelines as well as Supply Chain Risk Management.

The PRC-027 discussion features the following regional SMEs:

- **Mike Hughes**, Principal Technical Auditor, Operations and Planning Monitoring, ReliabilityFirst
- **Serge Beauzile**, P.E. Senior Auditor, Compliance, SERC
- **Phil O'Donnell**, Senior Auditor, Operations and Planning, WECC
- **Ryan Mauldin**, Senior Engineer Compliance Assurance, NERC

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions Align Project \(formerly CMEP Technology Project\)](#)

The Supply Chain Risk Management Discussion, which begins around the 14-minute mark, features the following regional SMEs:

- **Shon Austin**, Principal Technical Auditor, ReliabilityFirst
- **Brian Allen**, CIP Assurance Advisor, NERC

Please see the [full announcement](#) for additional resources.

NEW ERO Enterprise Deploys Release 1 of Align and the ERO SEL in NPCC, ReliabilityFirst, and SERC Regions

The ERO Enterprise is excited to announce the Release 1 launch of the Align tool and the ERO Secure Evidence Locker (SEL) for NPCC, ReliabilityFirst, and SERC as of May 24, 2021. Align and the ERO SEL are designed to process and track all compliance monitoring and enforcement activities with the goal of improving security and standardizing processes across the ERO Enterprise.

NPCC, ReliabilityFirst, and SERC join MRO, NERC, Texas RE, and WECC, which have already begun using the Align tool and the ERO SEL. Release 1 includes self-reporting/self-logging, enforcement processing, and mitigation functionality in Align, as well as the use of the ERO SEL to collect registered entity-provided evidence as part of the ERO Enterprise’s CMEP activities. Subsequent releases will introduce new functionalities to the platform throughout 2021.

“The dedication and collaboration across the Enterprise to get to this point is truly inspiring,” said Jason Blake, president and CEO of SERC.

Numerous resources have been developed to assist users, including registered and Regional Entity newsletters, webinars, and a frequently asked questions document, which are available on the [Align page](#). Additionally, [the NERC Training Site](#) contains all Align and ERO SEL training materials, including training videos and the Start, Stop, Continue Guide as well as Align and ERO SEL user guides.

OTHER NEWS

NEW Summer Assessment Warns of Potential Energy Shortfalls

NERC’s [2021 Summer Reliability Assessment](#) warns that parts of North America are at elevated or high risk of energy shortfalls this summer during above-normal peak temperatures. While NERC’s risk scenario analysis shows adequate resources and energy for most of North America, Texas, New England, MISO and parts of the West are at an “elevated risk” of energy emergencies. In the “high risk” category is California, which relies on large energy imports during peak demand scenarios and when solar resource output retreats in the evening hours. While more than 3 GW of additional resources are expected in California this summer compared to 2020, most will be solar photovoltaic (PV) generation.

These plants can provide energy to support peak demand, however solar PV output falls off rapidly in late afternoon while high demand often remains. Reliance on imports during these periods is an increasing reliability risk. While actions taken by the California Public Utilities Commission, CAISO and utilities to procure additional resources will help, the Western Interconnection's increase in demand and decline in resources may reduce the amount of surplus capacity available when California is in shortfall. [Full Announcement](#) | [2021 SRA Infographic](#)

MEMBER NEWS GET READY: NERC Membership Renewal Coming Summer 2021

Beginning July 7, 2021, NERC will send electronic notices to all NERC members asking them to complete the membership renewal process. All members must complete this process to remain members of NERC. Members that do not complete the required renewal by the deadline will be removed from the NERC membership roster, although they may submit a request to re-join at any time.

This is the first membership renewal process since 2018. Since that time, the NERC Board of Trustees and FERC have approved revisions to the criteria for the NERC membership sectors. The revisions included:

- Specifying that, for **Sectors 1–9 and 12**, in addition to those entities meeting the stated criteria, not-for-profit associations that coordinate and help represent the interests of the members of the sector may also be members of the sector, unless the majority of the sector members object. Previously, consultants, vendors, agents, attorneys, and the like were permitted to join the sector if they provided services to or otherwise represented the interests of the Sector. This language has been removed.
- Refining the criteria for **Sector 9 – Small End-Use Electricity Customer** to help ensure the sector better represents the particular interests of small end-users. Members of this sector now include persons or entities such as associations, state consumer advocates, or other advocacy organizations that represent the collective interests of groups of electricity end users.
- The creation of a new **Sector 13 – Associate** to accommodate candidates for membership that do not meet the definition of another sector.

To get ready for the renewal process, NERC asks each of its members to:

- Review the revised NERC membership [criteria](#) to determine if their current membership assignment remains appropriate, or if they will need to request to be reassigned to a different sector;
- Ensure their membership contact information is up to date in the [ERO Portal](#); and
- Identify the proper primary and secondary contacts for their NERC membership registration.

Note: If a NERC member has merged with, acquired, or otherwise become affiliated with another NERC member, the member should determine which of its membership registrations beyond the single membership allowed under NERC’s rules will be maintained and which will need to be deactivated.

The Standards Registered Ballot Body is not affected by these sector changes or the renewal process described above.

Please refer to the [NERC Members](#) page for more information. This page will be updated in the coming weeks with training opportunities and Frequently Asked Questions. Any questions may be directed to [NERC Membership](#).

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
July 1, 2021	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R12 and R13)
October 1, 2021	FAC-008-5 – Facility Ratings
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9)
	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers
	PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	CIP-005-7 – Cyber Security – Electronic Security Perimeter(s)
	CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments
	CIP-013-2 – Cyber Security - Supply Chain Risk Management
	PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1.4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1, R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW Lauby to Speak at FERC Technical Conference on Climate Change, Extreme Weather, and Electric System Reliability

On June 1 and June 2, FERC will hold a technical conference to discuss issues surrounding the threat to electric system reliability posed by climate change and extreme weather events. **Mark Lauby**, NERC's senior vice president and chief engineer, will speak on Panel 2: Best Practices for Long-Term Planning: Assessing and Mitigating the Risk of Climate Change and Extreme Weather Events at 3:45–5:45 p.m. Eastern on June 1. This panel will explore how well existing planning processes address climate change and extreme weather events and possible improvements to planning processes.

The two-day conference is organized into five panels:

- **Panel 1:** Planning for a Future that Diverges from Historical Trends
- **Panel 2:** Best Practices for Long-Term Planning: Assessing and Mitigating the Risk of Climate Change and Extreme Weather Events
- **Panel 3:** Operating Practices for Addressing Climate Change and Extreme Weather
- **Panel 4:** Recovery and Restoration
- **Panel 5:** Coordination

The full agenda is available [here](#), and the conference is open for the public to [attend remotely](#).

NEW FERC Issuances

On May 27, 2021, FERC issued an [order](#) denying the complaint of Michael Mabee relating to the weather-related power outages in Texas during the second week of February 2021. FERC's 2021 issuances are available [here](#).

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

There are no workshops or conferences currently scheduled.

Webinars

- **NEW** [BPS-Connected Battery Energy Storage Systems and Hybrid Power Plants](#) Webinar – 1:00–3:30 p.m. Eastern, July 15, 2021 | [Register](#)

Standing Committee Meetings and Conference Calls

- **NEW** Finance and Audit Committee Informational Session and Webinar – 2:00–3:30 p.m. Eastern, June 3, 2021 | [Register](#) | [Agenda Package](#)
- **NEW** Reliability and Security Technical Committee Meeting – June 8–9, 2021 | [June 8 Registration](#) and [Agenda Package](#) | [June 9 Registration](#) and [Agenda Package](#)
- Standards Committee Conference Call – 1:00–3:00 p.m. Eastern, June 16, 2021 | [Register](#)
- Compliance and Certification Committee Meeting – June 9–10, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#)

Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2020-03 – Supply Chain Low Impact Revisions Drafting Team Conference Call – 3:00–4:30 p.m. Eastern, June 1, 2021 | [Register](#)
- Project 2020-06 – Verifications of Models and Data for Generators SAR Drafting Team Conference Call – 12:00–3:00 p.m. Eastern, June 2, 2021 | [Register](#)
- **NEW** Project 2020-05 – Modifications to FAC-001 and FAC-002 Drafting Team Conference Call – 1:30–3:30 p.m. Eastern, June 22, 2021 | [Register](#)
- **NEW** Project 2020-05 – Modifications to FAC-001 and FAC-002 Drafting Team Conference Call – 1:30–3:30 p.m. Eastern, June 24, 2021 | [Register](#)

Regional Entity and Industry Events

- Texas RE CIP Workshop – 10:00 a.m.–3:00 p.m. Central, June 3, 2021 | [Register](#)
- ReliabilityFirst Operational Resilience Webinar – 11:30 a.m.–5:00 p.m. Eastern, June 8, 2021 | [Register](#)
- **NEW** SERC “The Scoop on Insider Threat” Webinar – 9:00 a.m.–12:00 p.m. Eastern, June 15, 2021 | [Register](#) | [Agenda](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

If you would like to receive this bulletin or be added to the distribution list for a particular project, please submit a ticket through the [Help Desk](#). Select the “NERC Email Distribution List” option under the “Applications” menu. In the Description Box, please specify which lists you would like your email address to be added to or note which announcements you would like to receive. This bulletin is distributed to several lists, including the Standards distro list (Standards-specific announcements) and the NERC-info distro list (most NERC announcements).