

Standards, Compliance, and Enforcement Bulletin

September 13–19, 2021

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ACTIVE STANDARDS POSTINGS

Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
Project 2020-03 – Supply Chain Low Impact Revisions CIP-003-X (Draft 1)	Initial Ballot and Non-Binding Poll	10/01/21	10/11/21

Join Ballot Pools

Project	Action	Start Date	End Date
Project 2020-03 – Supply Chain Low Impact Revisions CIP-003-X (Draft 1)	Join Ballot Pools	08/27/21	09/27/21

Posted for Comment

Project	Action	Start Date	End Date
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Project 2020-03 – Supply Chain Low Impact Revisions CIP-003-X (Draft 1)	Comment Period	08/27/21	10/11/21
OTHER ACTIVE COMMENT PERIODS			
Posted for Comment			
Posting	Action	Start Date	End Date
<p>Comment Period Open for SERC Regional Reliability Standard: SERC requested that NERC post Regional Reliability Standard PRC-006-SERC-03 – Automatic Underfrequency Load Shedding for industry review and comment in accordance with the NERC Rules of Procedure.</p> <p>The revisions to the PRC-006-SERC-02 Automatic Underfrequency Load Shedding (UFLS) include:</p> <ol style="list-style-type: none"> 1. Removal of the requirement to allow Planning Coordinators to build a contiguous island; 2. Changes to account for the Florida peninsula, including: (i)modification of UFLS settings; and Addition of a second method to calculate the percent of load shed; 3. Elimination of the SERC UFLS Database; and 4. Addition of Planning Coordinator as a requestor in Requirement R8 to facilitate post-event analysis of frequency disturbances. <p>Documents and information about this project are available on the SERC Standards page.</p>	<p>Use the Standards Balloting and Commenting System (SBS) to submit comments.</p>	08/25/21	10/08/21

STANDARDS NEWS

There is no additional Standards news to report for this week.

Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

GENERAL COMPLIANCE AND ENFORCEMENT NEWS

NEW Webinar Resources Posted

NERC posted the [presentation](#) and [streaming webinar](#) from the August 27, 2021 Joint CCC/ERO Enterprise webinar on Supply Chain.

NEW Data Handling in Align and the Secure Evidence Locker

The ERO Enterprise at times must review information owned or produced by registered entities that relates to bulk power system (BPS) operations and planning. This information often includes evidence registered entities must provide to demonstrate compliance with NERC and Regional Reliability Standards and Requirements, as well as information to determine the risk on the BPS. The ERO Enterprise has implemented the Secure Evidence Locker (SEL), provided and maintained by the ERO Enterprise, for use by registered entities to submit evidence (also known as artifacts) for assessment.

NERC posted a [guidance document](#) that provides clarity regarding the storage and maintenance of registered entity and ERO Enterprise-created or -provided information related to Compliance Monitoring and Enforcement Program (CMEP) activities in Align and the SEL. This guidance is to provide general principles to support ERO Enterprise program alignment, but individual facts and circumstances, as well as experience with the Align and SEL systems, may shape ERO Enterprise actions.

Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

OTHER NEWS

NEW Webinar Resources Posted

NERC posted the [presentation](#) and [streaming webinar](#) from the September 2, 2021 Preparation for Severe Cold Weather webinar.

STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards

U.S. Effective Date	Standard(s)
October 1, 2021	FAC-008-5 – Facility Ratings
January 1, 2022	PRC-012-2 – Remedial Action Schemes (Requirement R9) TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)
July 1, 2022	CIP-012-1 – Cyber Security – Communications between Control Centers PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)
October 1, 2022	CIP-005-7 – Cyber Security – Electronic Security Perimeter(s) CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments CIP-013-2 – Cyber Security – Supply Chain Risk Management PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources
January 1, 2023	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)
July 1, 2023	TPL-001-5.1 – Transmission System Planning Performance Requirements Implementation Plan
January 1, 2024	TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)

BOARD OF TRUSTEES AND FERC ACTION

NEW Fourth Quarter Board Meetings to be Virtual

In response to ongoing COVID-19 developments, many utilities and organizations' travel restrictions are still in place to protect the health of their workforce. Based on this, NERC's Board of Trustees and the Member Representatives Committee leadership decided to convert all NERC 2021 4th Quarter meetings to virtual via WebEx. ***WebEx and registration information will be included in the agenda packages due to be posted on or about October 21.***

The revised schedule is structured as follows:

- Board of Trustees Committees' meetings will occur on Wednesday, November 3.
- The Member Representatives Committee and Board of Trustees meetings will occur on Thursday, November 4.

Meeting times take into consideration and accommodate West Coast participants. [Schedule of Events](#)

UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

Workshops and Conferences

- Monitoring and Situational Awareness Technical Conference: Session 1 – Overview of the Bulk Power System – 1:00–3:00 p.m. Eastern, September 23, 2021 | [Register](#)
- **NEW** 2021 Probabilistic Analysis Forum – October 5–7, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#) | [Day 3 Registration](#)
- Monitoring and Situational Awareness Technical Conference: Session 2 – Distributed Energy Resources – 1:00–3:00 p.m. Eastern, October 7, 2021 | [Register](#)
- GridSecCon 2021 – October 19–20, 2021 | [Register](#)
- Monitoring and Situational Awareness Technical Conference: Session 3 – Technique and Workforce Challenges – 1:00–3:00 p.m. Eastern, October 28, 2021 | [Register](#)

Webinars

- [Distributed Energy Resource Modeling Capabilities in Simulation Tools](#) Webinar – 2:00–4:00 p.m. Eastern, October 28, 2021 | [Register](#)
- [2021 EPRI-NERC-NATF Planning and Modeling Webinar](#) – 1:00–4:00 p.m. Eastern (each day), November 3–4, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#)

Standing Committee Meetings and Conference Calls

- Compliance and Certification Committee Main Open Meeting – 10:00 a.m.–1:00 p.m. Eastern, September 22, 2021 | [Register](#)
- Joint Compliance and Certification Committee and Standards Committee Meeting – 10:00 a.m.–3:00 p.m. Eastern, September 23, 2021 | [Register](#)
- **NEW** Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00 a.m.–12:00 p.m. Eastern, October 6, 2021 | [Register](#)
- **NEW** Virtual Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – November 3–4, 2021 | **Registration Details Coming Soon**

Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2021-01 – Modifications to MOD-025 and PRC-019 SAR Drafting Team Conference Call – 1:00–4:00 p.m. Eastern, September 13, 2021 | [Register](#)
- Project 2020-05 – Modifications to FAC-001 and FAC-002 Drafting Team Conference Call – 2:30–4:00 p.m. Eastern, September 13, 2021 | [Register](#)
- Project 2017-01 – Phase II Modifications to BAL-003 Standard Drafting Team Conference Call – 9:30 a.m.–12:30 p.m. Eastern, September 16, 2021 | [Register](#)

Regional Entity and Industry Events

- ReliabilityFirst Annual Reliability and Compliance Virtual Workshop – September 22–23, 2021 | [Register](#)
- **NEW** Texas RE Fall Standards and Compliance Workshop – 9:00 a.m.–1:00 p.m. Central, October 14, 2021 | [Register](#)

ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:Amy.Klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

REGISTRATION: If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.