

# Standards, Compliance, and Enforcement Bulletin

September 27–October 3, 2021

## INSIDE THIS EDITION

[Active Standards Postings](#) | [Other Active Comment Periods](#) | [Standards News](#) | [General Compliance and Enforcement News](#) | [Other News](#) | [Standards Subject to Future Enforcement](#) | [Board of Trustees and FERC Action](#) | [Upcoming Events](#) | [About the SC&E Bulletin](#)

## ACTIVE STANDARDS POSTINGS

### Current and Upcoming Ballots (ballot periods close at 8:00 p.m. Eastern)

Project	Action	Start Date	End Date
<a href="#">Project 2020-03 – Supply Chain Low Impact Revisions</a>   CIP-003-X (Draft 1)	<a href="#">Initial Ballot and Non-Binding Poll</a>	10/01/21	10/11/21

### Join Ballot Pools

Project	Action	Start Date	End Date
<a href="#">Project 2020-03 – Supply Chain Low Impact Revisions</a>   CIP-003-X (Draft 1)	<a href="#">Join Ballot Pools</a>	08/27/21	09/27/21

### Posted for Comment

Project	Action	Start Date	End Date
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<a href="#">Project 2020-03 – Supply Chain Low Impact Revisions</a>   CIP-003-X (Draft 1)	<a href="#">Comment Period</a>	08/27/21	10/11/21
OTHER ACTIVE COMMENT PERIODS			
Posted for Comment			
Posting	Action	Start Date	End Date
<p><b>Comment Period Open for SERC Regional Reliability Standard:</b> SERC requested that NERC post Regional Reliability Standard PRC-006-SERC-03 – Automatic Underfrequency Load Shedding for industry review and comment in accordance with the NERC Rules of Procedure.</p> <p>The revisions to the PRC-006-SERC-02 Automatic Underfrequency Load Shedding (UFLS) include:</p> <ol style="list-style-type: none"> <li>1. Removal of the requirement to allow Planning Coordinators to build a contiguous island;</li> <li>2. Changes to account for the Florida peninsula, including: (i)modification of UFLS settings; and Addition of a second method to calculate the percent of load shed;</li> <li>3. Elimination of the SERC UFLS Database; and</li> <li>4. Addition of Planning Coordinator as a requestor in Requirement R8 to facilitate post-event analysis of frequency disturbances.</li> </ol> <p>Documents and information about this project are available on the <a href="#">SERC Standards page</a>.</p>	<p>Use the <a href="#">Standards Balloting and Commenting System (SBS)</a> to submit comments.</p>	08/25/21	10/08/21

## STANDARDS NEWS

There is no additional Standards news to report for this week.

### Quick Links

- [Register in the SBS](#)
- [Projected Standards Posting Schedule](#)
- [Project Tracking Spreadsheet](#)
- [2019–2021 Reliability Standards Development Plan](#)
- [Quality Review Application](#)
- [One-Stop Shop \(Status, Purpose, Implementation Plans, FERC Orders, RSAWs\)](#)
- [CIP Standards Efficiency Review](#)
- [Submit Questions or Feedback](#)

## GENERAL COMPLIANCE AND ENFORCEMENT NEWS

### **NEW RSAW Posted for Newly Effective Standard**

An [RSAW for FAC-008-5](#) has been posted. Requirement 7 from FAC-008-3 was retired, leading to this new version of the Standard—[FAC-008-5 – Facility Ratings](#)—which has an effective date of **October 1, 2021**. FAC-008-5 applies to Generation Owners and Transmission Owners.

### Quick Links

- [Compliance Monitoring & Enforcement Program One-Stop Shop](#)
- [CIP V5 Transition Program](#)
- [ERO Enterprise Program Alignment Process](#)
- [Consistency Reporting Tool \(EthicsPoint\)](#)
- [Risk-Based Registration Initiative](#)
- [Reliability Standard Audit Worksheets](#)
- [Enforcement & Mitigation: Enforcement Actions](#)
- [Align Project \(formerly CMEP Technology Project\)](#)

## OTHER NEWS

### **NEW Save the Date for Human Performance in Electric Power Virtual Session #3**

Please save the date and join us on October 21, 2021 from 1:00–5:00 p.m. Eastern for our third Human Performance in Electric Power virtual session. The Electric Reliability Organization Enterprise, the Human Performance Community of Practice (KnowledgeVine and ResilientGrid), and our mutual partners are exploring the vital importance that human and organizational performance play in the reliability and security of the

North American power grid. We are finalizing our speaker lineup for our October session and will send the agenda with conference registration soon, but in the meantime, please make sure you save the date to attend.

### STANDARDS SUBJECT TO FUTURE ENFORCEMENT

Please refer to the [U.S. Effective Dates page](#) for the most up-to-date information on effective dates and for more detail on the below.

U.S. Effective Dates for Reliability Standards	
U.S. Effective Date	Standard(s)
<b>October 1, 2021</b> <b>EFFECTIVE THIS WEEK</b>	<a href="#">FAC-008-5 – Facility Ratings</a>
<b>January 1, 2022</b>	<a href="#">PRC-012-2 – Remedial Action Schemes (Requirement R9)</a>
	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R6, 6.1–6.4, R10, 10.1–10.4)</a>
<b>July 1, 2022</b>	<a href="#">CIP-012-1 – Cyber Security – Communications between Control Centers</a>
	<a href="#">PRC-002-2 – Disturbance Monitoring and Reporting Requirements (100% compliance for Requirements R2–R4, R6–R11)</a>
<b>October 1, 2022</b>	<a href="#">CIP-005-7 – Cyber Security – Electronic Security Perimeter(s)</a>
	<a href="#">CIP-010-4 – Cyber Security – Configuration Change Management and Vulnerability Assessments</a>
	<a href="#">CIP-013-2 – Cyber Security – Supply Chain Risk Management</a>
	<a href="#">PRC-024-3 – Frequency and Voltage Protection Settings for Generating Resources</a>
<b>January 1, 2023</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R3, R4, 4.1, 4.1.1–4.1.2, 4.2, 4.3, 4.3.1, R8, 8.1, 8.1.1–8.1.2, 8.2, 8.3, 8.3.1)</a>
<b>July 1, 2023</b>	<a href="#">TPL-001-5.1 – Transmission System Planning Performance Requirements</a>   <a href="#">Implementation Plan</a>
<b>January 1, 2024</b>	<a href="#">TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events (Requirements R7, 7.1–7.3, 7.3.1–7.3.2, 7.4, 7.4.1–7.4.3, 7.5, 7.5.1., R11, 11.1–11.3, 11.3.1–11.3.2, 11.4, 11.4.1–11.4.3, 11.5, and 11.5.1)</a>

## BOARD OF TRUSTEES AND FERC ACTION

### **NEW FERC, NERC Staff Review 2021 Winter Freeze, Recommend Standards Improvements**

The electric and natural gas industries need to strengthen their winterization and cold weather preparedness and coordination to prevent a recurrence of the unprecedented February 2021 power outages to millions of people during the February 2021 freeze in Texas and the Midwest.

That is the assessment of a preliminary report presented at the FERC meeting by a joint inquiry team of staff from FERC and NERC and its Regional Entities. The report reviews what happened during the freeze and outlines a series of recommendations, including mandatory electric reliability standards, to prevent its recurrence. [Full Announcement](#) | [Preliminary Findings and Recommendations Full Presentation](#)

### **NEW NERC Filings**

On September 23, 2021, NERC submitted [comments](#) in response to the Notice Inviting Post-Technical Comments issued on August 11, 2021 in the Climate Change, Extreme Weather, and Electric System Reliability technical conference proceeding.

You can find all filings to FERC for 2021 [here](#).

## UPCOMING EVENTS

For information about other NERC events, such as meetings and conference calls for other standing committees, subcommittees, task forces, and working groups, please refer to the [NERC calendar](#).

### **Workshops and Conferences**

- Monitoring and Situational Awareness Technical Conference: Session 1 – Overview of the Bulk Power System – 1:00–3:00 p.m. Eastern, September 23, 2021 | [Register](#)
- 2021 Probabilistic Analysis Forum – October 5–7, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#) | [Day 3 Registration](#)
- Monitoring and Situational Awareness Technical Conference: Session 2 – Distributed Energy Resources – 1:00–3:00 p.m. Eastern, October 7, 2021 | [Register](#)
- GridSecCon 2021 – October 19–20, 2021 | [Register](#)
- **NEW** Human Performance in Electric Power Virtual Session #3 – 1:00–5:00 p.m. Eastern, October 21, 2021 | **Registration Coming Soon**
- Monitoring and Situational Awareness Technical Conference: Session 3 – Technique and Workforce Challenges – 1:00–3:00 p.m. Eastern, October 28, 2021 |

## Webinars

- Align and Secure Evidence Locker Webinar – 2:00–3:30 p.m. Central, September 28, 2021 | [Register](#)
- [Distributed Energy Resource Modeling Capabilities in Simulation Tools](#) Webinar – 2:00–4:00 p.m. Eastern, October 28, 2021 | [Register](#)
- [2021 EPRI-NERC-NATF Planning and Modeling Webinar](#) – 1:00–4:00 p.m. Eastern (each day), November 3–4, 2021 | [Day 1 Registration](#) | [Day 2 Registration](#)

## Standing Committee Meetings and Conference Calls

- Finance and Audit Committee Meeting – 12:15–12:30 p.m. Eastern, September 28, 2021 | [Agenda Package](#) | **NEW** [Presentation](#)
- Board of Trustees Open Meeting – 12:35–12:50 p.m. Eastern, September 28, 2021 | [Agenda Package](#)
- Member Representatives Committee Pre-Meeting Conference Call and Informational Webinar – 11:00 a.m.–12:00 p.m. Eastern, October 6, 2021 | [Register](#)
- Virtual Board of Trustees Committees, Member Representatives Committee, and Board of Trustees Meetings – November 3–4, 2021 | **Registration Details Coming Soon**

## Standard Drafting Team Meetings and Conference Calls

- **NEW** Project 2020-04 – Modifications to CIP-012 Standard Drafting Team Conference Call – 1:00–3:00 p.m. Eastern, September 29, 2021 | [Register](#)
- **NEW** Project 2021-03 – CIP-002 Transmission Owner Control Centers (TOCC) Standard Drafting Team – 12:00–2:00 p.m. Eastern, October 5, 2021 | [Register](#)

## Regional Entity and Industry Events

- Texas RE Fall Standards and Compliance Workshop – 9:00 a.m.–1:00 p.m. Central, October 14, 2021 | [Register](#)

## ABOUT THE STANDARDS, COMPLIANCE, AND ENFORCEMENT BULLETIN

This weekly bulletin compiles a list of standards, compliance, and enforcement projects with actionable deadlines, as well as upcoming events, recently posted resources, other NERC documents posted for comment, and other relevant news and information. Please email [Amy Klagholz](mailto:amy.klagholz@nerc.gov) with feedback on this bulletin. The current bulletin and old bulletins are available under “Program News” on both the [Standards home page](#) and the [Compliance & Enforcement home page](#).

**REGISTRATION:** If you would like to receive this bulletin or be added to a NERC distribution list, you **must** first register an account in the [ERO Portal](#). Once you have registered your ERO Portal account, authenticated your credentials with DUO, and completed your profile, please submit a ticket through the [Help Desk](#) by selecting the “NERC Email Distribution List” option under the Applications menu. In the Description Box, please specify which lists you would like your email address to be added to. If you are unsure of which distribution list you would like to be added to, an excellent starting point is the “[Committee](#)” page. You can note the committee abbreviation, which represents the distribution list you would like to join, in the Description Box. If you have other NERC-related or specific Standards projects you’re interested in, you may request those as well. If you’re interested in general information, please request to be added to the NERC-info distribution list, which covers most NERC announcements. This bulletin is distributed to several lists, including the Standards distribution list (Standards-specific announcements) and the NERC-info distribution list.